No. 21-2101

IN THE United States Court of Appeals FOR THE SEVENTH CIRCUIT

Susan Doxtator, Arlie Doxtator, and Sarah Wunderlich, as Special Administrators of the Estate of Jonathon Tubby,

Plaintiffs-Appellants,

v.

ERIK O'BRIEN, ANDREW SMITH, TODD J. DELAIN, HEIDI MICHEL, CITY OF GREEN BAY, BROWN COUNTY, JOSEPH P. MLEZIVA, NATHAN K. WINISTERFER, THOMAS ZEIGLE, AND JOHN DOES 1-5,

Defendants-Appellees.

On Appeal from the United States District Court for the Eastern District of Wisconsin, No. 1:19-cv-00137

Hon. William C. Griesbach, District Judge

APPELLANTS' SEPARATE APPENDIX

Forrest Tahdooahnippah Timothy J. Droske DORSEY & WHITNEY LLP Suite 1500, 50 South Sixth Street Minneapolis, MN 55402-1498 (612) 340-2600

Counsel for Appellants

CIRCUIT RULE 30(D) CERTIFICATION

I hereby certify this separate appendix includes all materials required by Cir.R. 30(d).

Dated: November 3, 2021 DORSEY & WHITNEY LLP

By <u>/s/ Forrest Tahdooahnippah</u>
Forrest Tahdooahnippah
Timothy J. Droske
50 South Sixth Street, Suite 1500
Minneapolis, MN 55402-1498
Telephone: (612) 340-2600

Counsel for Appellants

CERTIFICATE OF SERVICE

I hereby certify that on November 3, 2021, I electronically filed Appellant's

Separate Appendix with the Clerk of the Court for the United States Court of Appeals for

the Seventh Circuit by using the CM/ECF system. I certify that all participants in the

case are registered CM/ECF users and that service will be accomplished by the CM/ECF

system.

Dated: November 3, 2021

DORSEY & WHITNEY LLP

By <u>/s/ Forrest Tahdooahnippah</u>

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Minneapolis, MN 55402-1498 Telephone: (612) 340-2600

Telephone. (012) 34

Counsel for Appellants

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| Excerpts of Deposition of Officer Colton Wernecke, filed with District Court at ECFs 114-1 and 137-10 |
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Screenshots from DOXT_0000585

1. Prior to Tubby entering the frame, the rear bumper of the car is unobstructed



[continued on next page]

2. After Tubby enters the frame, the flesh of his right hand can be seen by the bumper



Screenshots of ECF 120-3 at 1:44:52—1:45:11



| | Page 1 |
|----|---|
| 1 | IN THE UNITED STATES DISTRICT COURT |
| 2 | FOR THE EASTERN DISTRICT OF WISCONSIN |
| 3 | |
| 4 | SUSAN DOXTATOR, ARLIE DOXTATOR, |
| | and SARAH WUNDERLICH, as Special |
| 5 | Administrators of the |
| | Estate of Jonathon C. Tubby, |
| 6 | |
| | Plaintiffs, |
| 7 | |
| | vs. Case No. 1:19-cv-00137-WCG |
| 8 | |
| | ERIK O'BRIEN, ANDREW SMITH, |
| 9 | TODD J. DELAIN, HEIDI MICHEL, |
| | CITY OF GREEN BAY, BROWN COUNTY, |
| 10 | JOSEPH P. MLEZIVA, NATHAN K. WINISTERFER, |
| | THOMAS ZEIGLE, BRADLEY A. DERNBACH, |
| 11 | and JOHN DOES 1-5, |
| 12 | Defendants. |
| 13 | |
| 14 | |
| 15 | Deposition of ERIK O'BRIEN |
| 16 | Thursday, December 19, 2019 |
| 17 | 9:05 a.m. to 4:07 p.m. |
| 18 | |
| 19 | |
| 20 | |
| 21 | |
| 22 | |
| 23 | |
| 24 | Reported by Jennifer M. Steidtmann, RPR, CRR, CRC |
| 25 | |

www.veritext.com

| 1 | | Page 6 | | | Page 8 |
|--|---------------------------|--|--|--------------------------------------|--|
| 1 | | versus uh-huh, un-huh. | 1 | | yesterday. |
| 2 | | Does that make sense? | 2 | Q | And I don't were you ever like today you're |
| 3 | A | I understand. | 3 | | sworn in under oath, right? |
| 4 | Q | You're not and you're not a captive in this room. | 4 | A | Correct. |
| 5 | | If you need to take a break for any reason, just let | 5 | Q | Were you sworn in under oath on October 25th when you |
| 6 | | me know, and I'll do my best to indulge you. The | 6 | | gave this interview? |
| 7 | | only thing that I would typically ask is if there's a | 7 | A | No. |
| 8 | | question pending, like if I've asked you a question, | 8 | Q | But notwithstanding that, you told the truth on the |
| 9 | | that you just answer it, and then we can take a | 9 | | 25th about everything that happened? |
| 10 | | break. | 10 | A | Correct. |
| 11 | | Sound fair to you? | 11 | Q | The contents of this Exhibit 6 is all truthful? |
| 12 | A | I understand. | 12 | A | Correct. |
| 13 | Q | You have a right to understand my questions. If for | 13 | Q | If you flip to the second page of the exhibit. |
| 14 | | some reason you don't understand my question, ask | | A | Second page excluding the cover page, page 2 of |
| 15 | | that you just ask me to clarify. And if you don't | 15 | | document? |
| 16 | | ask me to clarify, I'm going to assume that you | 16 | Q | The second page of the exhibit, the one of that says |
| 17 | | understood the question. | 17 | | page 1 at the bottom. |
| 18 | | Fair enough? | 18 | | Okay. |
| 19 | A | I understand. | 19 | Q | You go down about one, two, three, four paragraphs, |
| 20 | Q | Is there anything about today in particular that | 20 | | it says, "Officer O'Brien was hired as a patrol |
| $\begin{vmatrix} 21 \\ 22 \end{vmatrix}$ | | would make it that would prevent you from | 21 | | officer with the GBPD in December 2012." |
| 23 | ٨ | testifying truthfully? No. | 22 | | Do you see that? |
| 24 | A Q | Anything about today that would prevent you from | 23 | A | |
| 25 | Q | testifying accurately? | 24 25 | Q | All right. Next sentence, if you skip a sentence, it says, "Officer O'Brien was in the U.S. Army." |
| 23 | | | 23 | | |
| 1 | A | Page 7 | 1 | | Page 9 |
| 2 | | No. | 1 | | Do you see that? |
| | | No. (Exhibit 6 marked for identification.) | 2 | A | • |
| 3 | ВУ | | - | A Q | Yes. |
| 3 4 | BY Q | (Exhibit 6 marked for identification.) | 2 | Q | Yes. Okay. Were you in the U.S. Army? |
| - | _ | (Exhibit 6 marked for identification.) WAR. TAHDOOAHNIPPAH: | 2 3 | Q A | Yes. Okay. Were you in the U.S. Army? Yes. |
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| 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 | Q A Q A Q A Q A Q A Q A Q | (Exhibit 6 marked for identification.) MR. TAHDOOAHNIPPAH: I'm going to hand you what's been marked as Exhibit No. 6. Do you recognize Exhibit No. 6? Yes. All right. What is Exhibit 6? It is the Wisconsin Department of Justice DCI interview of myself that took place on October 25, 2018. And after this interview happened, a special agent with DCI wrote this document, correct? That is correct. And you had a chance to review it and make corrections? That is correct. And you reviewed it again today in preparation for your deposition? This day I did not review it. But you reviewed it in preparation for your deposition today, right? | 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 | Q A Q A Q A Q | Yes. Okay. Were you in the U.S. Army? Yes. Okay. When did you join the Army? Immediate recollection, I don't have an exact date in mind. Okay. Do you have a document you would like to provide me to refresh my memory? I don't. Do you have any idea when you were in the Army, about how old you were, about what year it was, or is that just totally vacant from your recollection? Without looking at the document, I would not want to answer that question because I don't know that I would answer it accurately. All right. When did you graduate from high school? In 1993. All right. About how long after high school did you join the Army? Over a decade. |

Page 10 Page 12 1 A A great number of things. 1 A I don't know the exact definition for what that 2 2 Q All right. What was your first? Start right out of means. 3 Q Okay. Why did you leave the Army? high school. What was your first step as far as 3 4 career or education goes? A So my discharge was for pre-existing medical 5 A I had enrolled in Mesa Community College immediately conditions. Okay. What were those medical conditions? after high school. 0 7 Q Okay. Where is that? I had depression. Okay. Anything else? 8 A In Mesa, Arizona. Q 9 Α No. Okay. Did you graduate from Mesa? 10 A I did not. 10 So after -- well, while you were in the Army, 11 obviously you received firearms training? 11 Q What was your next career or education step? 12 A I worked -- I do not want to provide you with an 12 A Correct. 13 Q Did you ever have any interest in law enforcement 13 inaccurate answer as to which jobs I held during within the Army, like join the military police or 14 which time periods, and I do not have my resume in 14 15 anything like that? 15 front of me. 16 A No. 16 Q All right. Just give me a general sense about what 17 Q Did you receive any training with respect to any sort 17 types of industries you worked in, what types of jobs 18 of less-than-lethal implements, TASERs, bean bag 18 you held between 1993 and the time you joined the 19 19 guns, anything like that? 20 A Through the Army? 20 A Okay. I worked in the automotive industry for a 21 company. That was Sun Automotive Group in 21 Q Yeah, through the Army. A No. 22 22 Scottsdale, Arizona. I worked at AT&T Communications 23 All right. After the Army, what was -- what did you 23 as an account representative. I was a stay-at-home Q 24 24 father from 1999 until I believe it was about -- I 25 25 Α I went to school. would say approximately five years. Page 11 Page 13 1 Q All right. Anything else, just generally speaking? 1 Q And is that at New Mexico State? A I might have held miscellaneous employment in retail 2 A Let me clarify. I returned from the Army and 3 3 during that time period. continued my role as a stay-at-home parent and then 4 Q All right. Other than Mesa Community College, did 4 enrolled in college. you attend any educational institutions before 5 Q All right. About when did you start at -- in 5 joining the Army? 6 6 7 A No. A I would say I initially enrolled in 2005 is my belief. 8 Q All right. Did you join the Army after being a 8 9 stay-at-home father, or did you have employment in 9 Q All right. And you got a bachelor's degree in 10 10 between? education from New Mexico State? 11 A Bachelor's of science degree in elementary education, 11 A Correct. 12 Q Okay. So you joined the Army maybe 2004 or so? 12 yes. 13 A It was around that time period. 13 Q Okay. Did you go to just New Mexico State, or did 14 Q And about how old were you at that time? you move around to get that education degree? 14 15 A 29. A The entire degree was through New Mexico State 15 16 Q All right. How long did you serve in the Army? 16 University. 17 A It was a brief period. 17 Q All right. Did you then become a teacher? 18 Q Okay. When you say "brief", are we talking about 18 That is correct. more or less than a year? 19 Where did you teach at? I taught at Heights Elementary School, H-E-I-G-H-T-S 20 A Active duty time, less than one year. 20 A 21 Q What rank did you attain? 21 Q Where is that? 22 A I was a Private First Class. 22 A In Alamogordo, New Mexico. 23 Q All right. Did you receive an honorable discharge? 23 All right. At some point in time, you started 24 A I received a discharge without condition. 24 studying for your master's degree? 25 Q Okay. What does that mean? 25 A Correct.

Page 26 Page 28 made to arrest Mr. Tubby; is that right? 1 particular, are you saying that you don't remember 1 2 whether you observed him search the vehicle, or that 2 A Correct. 3 you did not observe him? And who made that decision? 4 A I have no specific recollection of -- of observing 4 A I don't -- I do not believe there was an overt him that specific day search the vehicle. declaration of who was making that decision. So does that --6 Uh-huh. 6 O I do know it was a pattern -- I'm sorry. Go ahead. 7 A I believe all the officers on scene were aware that a So does that mean you don't remember? 8 8 criminal offense was observed by officers and, therefore, the parties would be taken into custody 9 A Correct. 9 10 Q All right. But you had observed him searching the 10 under arrest. 11 vehicle on several occasions? 11 Q And what was that criminal offense in particular? 12 A I have. 12 A Marijuana was located inside of the vehicle or 13 Q And you hadn't seen any sort of mistakes that he was 13 observed inside of the vehicle. 14 14 All right. So you suspect that you observed 15 15 A No. marijuana. 16 Q And it was your job to observe what he was doing and 16 So at that point, there's just a collective 17 correct any mistakes? 17 unspoken consensus that both of these people will be 18 A Correct. 18 arrested? Q And you on October 19, 2018, even if you didn't 19 A The understanding that those people would be 20 observe everything that he was doing, you certainly 20 21 knew that he had searched the vehicle? 21 Q All right. Who took Mr. Tubby out of the vehicle? 22 A Correct. 22 A Officer Wernecke directed Mr. Tubby out of the 23 Q All right. Prior to the time that Jonathon Tubby is 23 vehicle. 24 arrested, had anyone been in the vehicle? 24 Q All right. Who handcuffed Mr. Tubby? 25 A 25 A Other than Colton and I? Officer Wernecke. Page 27 Page 29 1 Q Right. 1 Q And you assisted him in that? 2 A No. 2 A Yes. 3 Q All right. At some point you initiate a traffic stop 3 Q All right. And how did you assist him? of someone that you later learned is named Jonathon A As Mr. Tubby -- excuse me. As Mr. Tubby exited the Tubby, right? 5 vehicle, his left hand was up by the door frame of the vehicle as he began his exit. I reached and 6 A Officer Wernecke initiated the traffic stop, but that 6 7 would be correct. grabbed his left hand to help assist, put it behind 8 Q Did you exit the vehicle with Officer Wernecke? his back, so Officer Wernecke could then facilitate 8 A We exited the vehicle at a -- about the same time. 9 handcuffing. 10 Q Okay. And Officer Wernecke went to the driver's 10 Q All right. The procedures of the Green Bay Police 11 side? 11 Department for searches incident to arrest, you 12 A That is correct. 12 search the person after they're handcuffed? 13 Q Okay. Did you follow him to the driver's side, or 13 A That is how we train it, yes. did you position yourself somewhere else? 14 Okay. Do you train people to search directly after 15 A My recollection is that I went to the passenger's 15 they're handcuffed, or are they supposed to handcuff 16 side of the vehicle. 16 them and then wait a few minutes and then search 17 Q All right. And at some point in time, Officer Haack 17 them? 18 arrived? 18 A The instruction would be to handcuff and then 19 A Correct. 19 properly position the individual for a search and 20 20 Q Why was that? then conduct your search. 21 A We had requested a cover officer, which is a common 21 Q Okay. In this -- in the case of Mr. Tubby, how 22 practice for us on traffic stops where the vehicle 22 shortly after the handcuffing did -- was he searched? 23 does not immediately come to a stop or soon after the 23 A I do not know. 24 activation of lights come to a stop. 24 Q You don't know or you don't remember? 25 Q All right. At some point in time the decision is 25 A I -- I do not recall specific time frames.

Page 34 Page 36 1 BY MR. TAHDOOAHNIPPAH: 1 screen in the -- in the front of the squad? 2 Q Yeah. Sorry. And that's my fault because you 2 A It is possible to change the camera view on the 3 testified you didn't know whether there was actually 3 camera system to observe that. a formal transportation policy, right? Okay. Did you do that on October 19th? That is correct. I do not believe we did. Okay. So you didn't observe anything through any 6 Q You just said that as far as you know, you just know 7 7 sort of electronic means? that they train to have the transporting officer 8 conduct his own or her own search, right? That night? A The transporting officer does perform a search of the As far as looking in the back of the squad car. 10 person. If they are handed off to them from another 10 On that evening? 11 officer, they reconfirm the search. If that's your 11 Q Yeah. 12 question, the answer is yes. 12 A No. 13 Q Okay. Knowing that, did you ever consider searching 13 0 You get to the Brown County Jail. 14 14 What happens next? Mr. Tubby yourself? 15 A No, I did not consider searching Mr. Tubby myself. 15 Α Are you saying when we arrived on the property? 16 Q And why not? 16 Q 17 A I had faith in Officer Wernecke's training to that 17 A Okay. So we arrive on the property. Officer 18 point on the search that I observed him perform in my 18 Wernecke begins to pull around the driveway area 19 periphery, and it is not common practice for the 19 towards the sally port entrance. He asks me if 20 field training officer to repeat everything that a 20 anyone else had sent a booking sheet over, which is a 21 21 trainee does. I believe that would be a poor sheet that is sent over electronically from our squad 22 educational practice. 22 cars. I informed him that I don't think anyone else 23 23 Q All right. After Mr. Tubby is searched, is he placed did, so that was going to be his job to send that 24 in the vehicle? 24 over. He is placed in Officer Wernecke's squad car, yes. 25 A 25 So he pulled over and stopped the squad Page 35 Page 37 1 Q All right. And at some point, you and Officer 1 outside of the sally port entrance driveway and Wernecke transport him to the Brown County Jail? 2 performed the completion of the booking form, which 3 A Officer Wernecke operates the squad, and I am in the 3 is a series of questions that get asked, as well as 4 passenger seat, that is correct. 4 name information and charge information that gets 5 Q Okay. While you were in the passenger seat, did you 5 e-mailed over to the booking area at the Brown County ever look back and observe what Mr. Tubby was doing? 6 7 A I do recall looking back through the partition. Q All right. Officer Wernecke completed the booking form? 8 Okay. Did you see him putting his handcuffs in front 8 9 of his body? 9 That is correct. 10 A I did not see that. 10 Okay. What happens after he finishes? 11 O When you were looking back, did you observe anything 11 A He pulls up to the speaker box outside of the Brown 12 suspicious? 12 County Jail, announces our presence, and the door to 13 A Nothing suspicious. Mr. Tubby was leaning forward in 13 the sally port is opened for us to enter. 14 the back of the squad car. It appeared that he was 14 Q All right. And then you pull into the sally port? 15 resting the front of his head against the partition 15 A Officer Wernecke pulls into the sally port, parks in 16 that separates the officers from the suspects in the 16 a parking spot on the right -- what would be our 17 back of the vehicle. And that's -- that's all I 17 right-hand side immediately next to a blue transport 18 18 remember observing. van in the sally port. 19 19 Q Okay. Did the sally port door close behind you? And I recall asking Mr. Tubby if he was 20 okay, and I did not receive a response, or I didn't 20 A It would have at some point. That is standard 21 hear his response. 21 procedure. I don't know if it closed immediately 22 Q There's a camera in the -- that records the back of 22 behind us or what time frame it closed, but I do 23 23 the squad car, right? recall that it was closed. 24 24 That is correct. Q Do you know, is that something that is, like, an Α 25 Q 25 Can you observe what's going on through, like, a automated process, or is there someone that's pushing

| | | Page 38 | Page 40 |
|----|---|---|--|
| 1 | | buttons somewhere to open and close it? | opened up the rear passenger door and had asked |
| 2 | A | I quite honestly have no idea the function of that | 2 Mr. Tubby to step out of the vehicle. |
| 3 | | door. | 2) Mr. Tubby to step out of the vehicle. 3 I don't know if he asked once or twice. |
| 4 | Q | All right. You pull in. | And, again, this is happening in my periphery. I'm |
| 5 | | What happens next? | 5 standing at the rear of the vehicle facing the opened |
| 6 | Α | So Officer Wernecke exits the driver's side of the | 6 trunk. Officer Wernecke is to my left. So in my |
| 7 | | squad car and opens the trunk. I am still seated in | 7 peripheral vision, I see Officer Wernecke. |
| 8 | | the front passenger seat of the squad car. I am | 8 Officer Wernecke, again, had given an |
| 9 | | completing some notes for Officer Wernecke's daily | 9 instruction to step out of the vehicle. I did not |
| 10 | | observation report in regards to the incident we were | see anybody stepping out of the vehicle or moving |
| 11 | | just on. | I did not see Mr. Tubby stepping out of the vehicle |
| 12 | | A brief period of time passes while | or moving to step out of the vehicle. |
| 13 | | Officer Wernecke's at the trunk of the squad where he | And I observed Officer Wernecke. It looks |
| 14 | | is removing all of his lethal items and nonlethal | 14 like he appeared to bend down and reach into the |
| 15 | | items such as OC, TASER, baton, firearm, additional | vehicle at which point I see some movement in the |
| 16 | | rounds, magazines, and any knives or anything like | squad through the rear windshield. |
| 17 | | that from his person. That is standard procedure for | I it was just movement. That's all I |
| 18 | | us to place those in the back of the squad car. | could describe it as. The vehicle shifts slightly, |
| 19 | | Shortly after Officer Wernecke goes to the | and Officer Wernecke appears startled and retracts |
| 20 | | back of the squad, I exit my side of the squad, shut | slightly and looks toward me. He looks a little bit |
| 21 | | the passenger door, come around to the back of the | 21 confused. |
| 22 | | squad where I also begin to remove all of my items: | 22 Q All right. What did you do next? |
| 23 | | baton, firearm. | 23 A I wasn't sure what had taken place, so I took the two |
| 24 | Q | All right. At this point in time when you are | and a half, three steps over to Officer Wernecke's |
| 25 | | removing your items to place in the trunk | immediate right where Officer Wernecke was standing |
| | | Page 39 | Page 41 |
| 1 | A | Uh-huh. | in the open door frame of the squad car. So I was |
| 2 | Q | did you perceive any threat or danger from | immediately to his right, and I begin to look down |
| 3 | | Mr. Tubby? | into the squad car to see what's going on. |
| 4 | A | No. | 4 Q And what did you see? |
| 5 | Q | And if you had perceived such a threat, would you | 5 A So from this perspective, I'm looking into the rear |
| 6 | | still have removed all your items? | open door of the squad car. My eyesight immediately |
| 7 | A | No. | 7 falls to the feet because it is the most readily |
| 8 | Q | All right. You didn't remove all of your items. | 8 available area. As I begin to lower my point of |
| 9 | | You kept a backup weapon in your pocket? | 9 view, I can then see higher into the squad, if that |
| 10 | A | For clarification, I was still in the process of | makes sense. |
| 11 | | removing items from my person | My point of view begins at Mr. Tubby's |
| 12 | Q | Okay. | feet and works works their way up towards his legs |
| 13 | A | when there was movement in the squad and Officer | and to his waistband area, at which point I noticed |
| 14 | | Wernecke caught my attention. | that I do not see Mr. Tubby's hands behind his back, |
| 15 | Q | All right. So if that hadn't happened, you would | which would be normally where I would see a subject's |
| 16 | | have taken your backup weapon out of your pocket and | hands. My eye my eye line of sight begins to |
| 17 | | put it in the trunk, also? | continues to follow up along his shoulder line. |
| 18 | A | Correct. | I also observe that Mr. Tubby's shoulders |
| 19 | Q | All right. So you didn't do that because you | are appear to be hunched forward, which again is |
| 20 | | observed something. | contrary to what I would normally observe. Someone |
| 21 | | What did you observe? | whose hands are handcuffed behind their back, their |
| 22 | A | So as I was still removing my items from my person | shoulders tend to be pulled back. |
| 23 | | and putting them in the back of the squad car or, | At this time Officer Wernecke says to me, |
| 24 | | sorry, in the trunk of the squad car, Officer | asks a question or asks a question of me or in |
| 25 | | Wernecke had moved over to the driver's side, had | general, Are his hands in front of him? |

Page 42 Page 44 1 At this point my eyesight is still 1 one to three inches long? 2 climbing up Mr. Tubby. I observe Mr. Tubby is leaned 2 A Again, based on the degree that the clothing was 3 back in a reclined position away from the open squad 3 pulled out, pulled taut over whatever was under his 4 door, leaning back away from me. I observe what I 4 shirt, the gun under his shirt, it would be hard for 567 5 believe to be the barrel of a gun protruding from me to -- honest, it would be hard for me to estimate Mr. Tubby's shirt underneath his clothing. It is 6 what -- I would be purely speculating at the size of 7 pressed up creating tension in the clothing. It the gun, because it could be -- depend on where the 8 appears cylindrical in nature, and it is flat on top. 8 person's hands on are the gun. 9 It does not appear to me to be a finger or other Q Well, it's got to be bigger than at least three 10 instrument. It immediately appears to me to be the 10 inches long, right? 11 barrel of a gun. 11 A That's your words. I -- I cannot say that. 12 Mr. Tubby says either "no" or "don't". I 12 O Well, so what kinds of guns have cylindrical barrels? 13 don't know which negative he used. And he looks me Revolvers tend to have cylindrical barrels. 14 right in the eye, and says, "I'll do it". 14 Q Okay. So did you think it was a revolver? 15 O All right. When you are looking towards his hands --15 That is what I believed I saw. 16 A Uh-huh. 16 Q Okay. What is the size range of a typical revolver? 17 O -- do you see that he's still handcuffed? 17 A They vary very significantly. A Derringer, small 18 A I do not. 18 snub-nose .38 --19 O Can you see either of his hands? 19 Q Well, you didn't think this was a snub-nose, did you? 20 A The hands themselves? 20 A I had no thought other than it was a gun. 21 O Yeah. 21 O Well, you specifically said you thought it was a gun 22 A I cannot see his hands. 22 because you saw a barrel, right? 23 Q So is it both his hands are underneath his shirt? 23 A Correct. 24 A That is my recollection. 24 Q And that barrel would have been bigger than what's 25 Q All right. And you said that you saw what you 25 possible for a snub-nose? Page 45 Page 43 believed to be a barrel of a gun? 1 A You asked -- the question you asked me is: What 1 2 2 A Correct. range of sizes revolvers come in? That was the 3 And you said specifically that you saw that it 3 answer that I was providing you. appeared to you to be cylindrical? 4 4 Q All right. 5 There are a range of sizes. 5 Α Correct. All right. The barrel of a gun is cylindrical? Right. And you didn't think it was a snub-nose, it 6 Q 7 They can be. was the next question I asked, right? 8 Q Can be. The -- how big of a gun did this look like 8 MR. GUNTA: Objection to the form of the 9 9 it was to you? question. 10 A The pressure end -- I don't know if this is even a 10 Go ahead and answer. 11 word -- tautness on his clothing. The barrel could 11 THE WITNESS: I was at that moment not 12 be one, two, three inches long. I don't really know 12 trying to identify what type of firearm it was 13 because I would be speculating at that. 13 underneath his shirt. 14 It was recognized -- to me it appeared to 14 BY MR. TAHDOOAHNIPPAH: 15 be the barrel of a gun. I would -- I would be 15 But -- so you -- it couldn't have been a snub-nose 16 16 speculating if I would say I knew exactly what frame based on what you observed? 17 that would be, what size frame gun that would be. 17 MR. GUNTA: Objection to the form of the 18 18 Q Well, you believed you saw a gun, right? question. 19 A That is correct. 19 Go ahead and answer. 20 Q And, therefore, you must have had some sort of idea 20 THE WITNESS: I can't say that. 21 of what -- how big this gun was. 21 BY MR. TAHDOOAHNIPPAH: 22 How big did you think it was? 22 Q Well, are there any snub-nose revolvers that have 23 23 Big enough that I could identify it as a gun cylindrical barrels that are one to three inches 24 24 underneath his shirt. long? 25 Q All right. You said you thought the barrel was maybe 25 A Maybe one.

Page 58 Page 60 1 BY MR. TAHDOOAHNIPPAH: 1 Go ahead and answer. 2 Q After you see what you believe to be a gun, what 2 THE WITNESS: We would not identify that 3 happens next? 3 as cover. It would be more concealment. A round 4 A So my first reaction is to slam the door on the squad 4 could technically go through a trunk lid, but some 5 5 car. I immediately take my left arm in a waving protection is better than none. 6 BY MR. TAHDOOAHNIPPAH: motion, push back towards Colton, indicating for him 6 7 to get back, and I say out loud, "I think he's got a 7 Q So it was a conscious decision to leave that open? 8 gun." At which time I realize that I'm kind of out 8 A I believe it was, yes. 9 in no man's land in the middle of the sally port 9 Q Going back to just before when you grabbed your 10 without cover or concealment. 10 weapon and he was shouting, "I'll do it", did -- what 11 I wave my arm towards Colton to get behind 11 meaning did you ascribe to those words at the time? 12 me, and I immediately begin to move back and to my 12 A Well, he did not shout. 13 right around the back side of the trunk of the squad 13 Oh, he didn't shout. Okay. He just said, "I'll do 14 14 15 As I'm doing that, I'm continuing to wave 15 A He said, "I'll do it". 16 for Colton to get behind me. As I'm passing behind 16 Q What meaning did you give to that phrase at the time? 17 the open trunk lid of the squad car, I observe that 17 A I believed Mr. Tubby was suicidal. 18 my duty weapon is laying on the -- in the trunk of 18 All right. Had you received any training on how 19 the squad. I take a momentary pause to reach in, 19 to -- on what to do if you're confronted with an 20 grab that duty weapon, and continue to retreat behind 20 armed suicidal person? 21 the blue transport van, continuing to wave Colton to 21 A Yes, and I believe that's exactly what I did. I 22 22 contained the problem, moved to a position of cover, get behind me. 23 23 At that point, I do believe that I did slowed everything down to call for more resources. 24 verbalize to Colton to get behind me so that both of 24 Q All right. So the policies, procedures, customs, 25 us were behind the blue transport van. 25 training of Green Bay Police when you encounter an Page 59 Page 61 When you noticed your duty weapon in the trunk --1 armed suicidal subject: contain the person, slow 1 O 2 2 Α Uh-huh. things down, get additional resources? 3 Q -- there were other weapons in the trunk, right? 3 MR. GUNTA: I'm just going to object to 4 4 A That is correct. the form of the question. 5 Q Okay. But you left the trunk open? 5 You go ahead and answer, sir. 6 A That is correct. 6 THE WITNESS: Okay. So contain the 7 7 Q Is there a reason why you didn't just reach down and problem; establish a perimeter; create time and 8 8 shut your trunk after you got your weapon out? distance, which is the slowing down piece; make the 9 A Certainly. 9 scene as safe as possible; and call for additional 10 Q Why? 10 resources. 11 A If Mr. Tubby had a gun -- my belief was Mr. Tubby had 11 BY MR. TAHDOOAHNIPPAH: 12 a gun. He was seated in the back seat of that squad 12 Q Did he -- did you ever interpret "I'll do it" as a 13 threat towards you, Officer Wernecke, or anyone else car. Shutting that trunk would immediately expose me 13 14 to the rear windshield of that vehicle. That rear 14 other than Mr. Tubby himself? 15 trunk being up provided me a position of concealment 15 A So the potential for threat exists when the person 16 and cover and did not allow for proper target 16 has a firearm in their hand. I, again, would 17 17 reiterate that I believed Mr. Tubby was suicidal at acquisition from Mr. Tubby to me. 18 O So the trunk's made out of metal of some kind? 18 that moment. 19 A It is. 19 Q So you never interpreted "I'll do it" to be meaning 20 Q So having it up against the rear window provides some 20 I'll shoot someone other than myself? 21 cover? 21 A I would not say never. At that instant, my 22 MR. GUNTA: Objection. 22 interpretation was that he was suicidal. 23 THE WITNESS: Concealment. Sorry. 23 Did Mr. Tubby ever make anything that you interpreted 24 MR. GUNTA: Objection to the form of the 24 as a threat against a law enforcement officer? 25 25 A Yes. question.

Page 90 Page 92 1 of the squad. 1 A As I'm progressing through the commands continuing to 2 Officer Salzmann asks Officer Eric Allen 2 give them, there is a single act that takes place over the radio if he should bring the entire BearCat 3 3 inside of the vehicle of a single swipe of the 4 to the scene. Hearing Officer Salzmann repeat that 4 window, clears -- it looks like it clears a small 5 request, him being a much more senior SWAT officer, I 5 patch. I assume that we're getting through and we're 6 agree that his decision-making is probably the getting some compliance, so I continue to give the 7 7 correct course of action, so I agree over the radio commands. However, no further acknowledgment or 8 8 that the BearCat should respond. compliance appears to take place. 9 9 So how many further commands did you give? At this point, several minutes have passed 10 by. Mr. Tubby has been continuing to move around in 10 A I am not sure how many more. 11 the back of the squad. I would imagine from that 11 Q More than 10 times? 12 activity is what causes the windows in the squad car I -- honestly, I do not recall. 13 to begin to fog up, and we begin to lose a visual of 13 Okay. So you can't say more or less than 10? 14 Mr. Tubby in the back of the squad. 14 A 15 So while we can see movement in the back 15 Okay. From -- up until the point that Officer Allen 16 of the squad, I can't see anything specifically 16 arrives and you were behind the transport van, could 17 taking place. 17 you hear anything that Tubby was saying inside the 18 Shortly thereafter, I believe Officer Eric 18 squad car? 19 Allen is the one who arrives on scene, and he is one 19 A No. 20 of our assistant team leaders on the SWAT team. He 20 Q Okay. After -- after Officer Allen arrives up until 21 asks me to ask Mr. Tubby to wipe the windows because 21 the point that they break the rear window, can you 22 22 hear anything that he's saying? we can no longer see into the -- we have -- no 23 23 A No. longer have a clear view into the back of the squad 24 car. At that time, I do begin to give commands for 24 O Okay. After they break the window, could you hear 25 Mr. Tubby to wipe the windows. 25 anything that he was saying? Page 91 Page 93 1 Q And he complied with that? 1 A No. 2 A So not initially. Several commands were given. Q Did you hear anything that he said up until the time 3 3 he was shot between the window's broken and the time Mr. Tubby did wipe the window in one sweeping 4 movement is my recollection, and I continued to give 4 you shot? 5 that command to continue to wipe the windows, wipe 5 A No. 6 6 Q Okay. I wanted to make sure the -- I understand the the windows. 7 That was the only time that I believed time line and it's clear in the record. You said 8 8 that the window was fogged up so you couldn't -- you Mr. Tubby could hear me. 9 9 couldn't see too much in the window at some point? Q So when -- before Officer Allen arrives and you're 10 10 A giving commands, you don't think he can hear you? Or Correct. 11 Q 11 You could see some movement? you don't know? 12 A I don't think he can hear me. I've -- we've given 12 A Correct. commands, and he's not complying quite -- it doesn't But beyond that, you couldn't see anything? 13 13 Q 14 make sense to me why he's not showing his hands, so 14 A 15 my assumption is that he can't hear versus being 15 Okay. Do you know in relation to when he was shot 16 how many minutes before he was shot that was? 16 noncompliant. 17 Q Then Officer Allen shows up. You ask him to wipe the 17 I do not. A 18 18 Q Okay. With respect to when Officer Allen arrived, windows, and he complies. 19 So then you think he can hear you and is 19 can you say that -- was that before or after Officer 20 Allen arrived? being compliant? 20 21 A No, I did not say that. 21 A I don't understand the question. 22 Q Okay. Well, what -- explain to me. Q Yeah. So there's a point in time when the windows 23 A Sure. So I give the command several times with no 23 are too foggy to see anything specific, and I'm just 24 24 trying to pinpoint when exactly that was. And we response. 25 25 Q Uh-huh. can't -- I assume you can't say that was at 9:01 p.m.

Page 94 Page 96 1 or something, right? 1 arrives 2 A Right. 2 Did you ever talk to Wernecke about the 3 Q So I'm just trying to see if there's a benchmark we 3 search? can use, and something that you testified about was 4 A No. the arrival of Officer Eric Allen. 5 You never asked him, Hey, did you notice like a bulge 6 A Yep. in this guy's buttocks? 7 Q So I am just saying, can we benchmark it with respect 7 I was by myself. to that? Was it before or after Officer Eric Allen Okay. You couldn't get on the radio and ask him? arrived? 9 Sure. I could have used the radio. I did not. 10 A So his arrival time might differ from when he 10 And you never asked him, Hey, you know -- hey, did conversed with me, so I -- I don't know. 11 you notice anything about how he was walking? Could 12 Q Okay. 12 he have had a gun in his shoe? 13 A I don't know if he arrived and was speaking with 13 A We did not converse any further that evening. other people prior to him asking me to wipe the 14 Q Okay. Why not? 15 windows. I don't know. 15 A I was focused on my task at hand, which, to me, we 16 Q Okay. But it's -- when you talked to him -- when you 16 were looking forward as how to progress through this 17 were talking to Officer Allen, for sure at that point 17 situation and hopefully come to a peaceful resolution 18 in time the windows were too foggy? 18 to this situation. 19 A Correct. 19 Going back would be something that would 20 Q Okay. Then he wipes it off. Which window? Was he 20 be done after the situation was made safe. 21 wiping the rear window? Or a passenger's side? 21 Q Well, it certainly was in the realm of possibility 22 22 that he didn't actually have a gun, right? Driver's side? 23 A Sure. So it would be the passenger side rear door 23 A Those are not my words. 24 24 Q Well, you disagree? You think that there was a 100 window. 25 Q Okay. Does that help you see inside any better? 25 percent that he had a gun? Page 95 Page 97 1 A 1 A My perception at that time was that he had a gun. No. Okay. So it's still foggy, and all you can really And you were 100 percent confident about that? 3 still see is him moving around? 3 A That was my perception at that -- at that time, yes. 4 Α Correct. 4 Q So you don't think there was even a slight 5 All right. What happens next? 5 possibility that he didn't have a gun and it was just A There's a lull for me. Officers have arrived on his hands or a facsimile under his shirt? 7 scene. We've established a perimeter around the A My perception was that he had a gun. vehicle. The BearCat is en route to the -- the 8 Q And there wasn't even a slight possibility that that 9 scene. Q was wrong? 10 I am at this point taking up what we refer 10 A My perception was that he had a gun. 11 to as a forward observer position. I have probably a But was there a slight possibility that he didn't? 11 Q 12 better angle moving back and forth along that 12 A Again, I will reiterate, my perception was that he 13 transport van into the squad car to try to see 13 had a gun. 14 anything because the trunk being up kind of obscures 14 Q Yeah. And I will ask my question again. 15 some of the view towards the back of the -- back of 15 Is there a slight possibility that he did 16 the squad. 16 not have a gun? 17 17 I try to relay information about if I'm MR. GUNTA: Objection to the form of the 18 18 able to see Tubby. I'm giving commands to the car question. 19 to wipe the windows, continuing to do that. 19 Go ahead. 20 20 At the next -- the next big event is the THE WITNESS: And my answer remains the 21 BearCat arrival --21 same. My perception at that time was that Mr. Tubby 22 Q All right. 22 was in possession of a gun. 23 A -- at the sally port. 23 BY MR. TAHDOOAHNIPPAH: 24 Q Let's pause there, then. At this time, you kind of 24 Q Okay. You're not answering my question, so -- and I 25 25 went into there's this lull before the BearCat don't want to just keep asking it for seven hours.

Page 98 Page 100 1 Q So now you have the sally port with two wide-open 1 So are you refusing to answer the question 2 of whether there was a possibility, however slight, garage doors? 3 A Correct. 3 that he did not have a gun? 4 A In hindsight? 4 Q All right. I believe you testified earlier that the Green Bay Police Department policies, when you have a At the time. 6 suicidal subject, is to have containment? 6 A I don't recall having that thought. 7 Q And if someone -- you don't think it's important if 7 A Correct. someone is potentially armed to try to determine if 8 Q So wasn't having two wide-open garage doors the 8 9 they are, in fact, armed? opposite of containment? 10 MR. GUNTA: Objection to the form of the 10 A At that particular moment, Mr. Tubby was contained inside of a squad car. 11 question. 12 Go ahead and answer. 12 Q Because he was locked in? 13 THE WITNESS: Given the dynamics of the 14 14 Q But certainly didn't help containment to have two situation, I don't know how that would be 100 15 wide-open garage doors? percent answered. Even if I wanted to go down that 16 A I could not disagree with that assessment. 16 road, I don't know how that would have been 17 resolved. 17 Q But it wasn't your call whether those were open or 18 BY MR. TAHDOOAHNIPPAH: 18 shut? Q Well, you could have asked Officer Wernecke whether 19 Α It was not. 20 there was anything -- you know, any bulges or 20 O Whose call was that? 21 anything he saw at the time of the search, right? 21 A I do not know. 22 A Could I have asked him that? Sure. All right. Do you know if that was with Green Bay or 22 23 23 with Brown County? Q But you didn't? 24 A I did not ask him that. 24 A I was not privy to any of that. 25 Q Do you know if anyone else asked him to do that --25 Q All right. The BearCat comes in. It maneuvers into Page 101 Page 99 asked him about that? 1 1 position alongside Officer Wernecke's squad car. 2 A I do not. 2 What happens next? 3 Q If you had talked to him and he had said, Yeah, I 3 A So at this particular time when the BearCat comes 4 searched the guy; I was really confident in the into position, I -- as it's moving into position, I 5 search; I didn't notice anything weird about his 5 am still positioned behind the blue transport van, 6 shoes; I didn't see anything unusual about his 6 which creates a problem for me, tactically speaking. 7 buttocks, would you then have thought maybe he 7 There's a crossfire issue between me and 8 8 doesn't have a gun? the BearCat, and also the BearCat is now going to 9 MR. GUNTA: Objection to the form of the 9 assume the forward observer position. It has a 10 question. 10 better vantage than I would, and it's an armored 11 Go ahead and answer. 11 vehicle. 12 THE WITNESS: I would be speculating on 12 So I extract myself from the sally port. 13 what my thought would have been based on the 13 I follow my line of cover behind the transport van, 14 hypothetical answer from Officer Wernecke. 14 move along that cinder block wall that initially 15 BY MR. TAHDOOAHNIPPAH: 15 Officer Wernecke was behind, and then I move out of 16 Q So can you answer the question? 16 the sally port. In reference to Exhibit 6, just 17 A No, I can't answer that. 17 outside the sally port door. At the top of the page 18 All right. When the BearCat arrives, it backs in 18 immediately to the left of the open garage door is 19 through the exit garage door of the sally port? 19 where I positioned myself. On that exhibit that is 20 It enters. I don't remember if it backed in or not, 20 provided from DCI, there is a circle and an X 21 but it entered through the exit. 21 indicated as to what my position was. 22 Q Okay. And does that exit garage door then shut? 22 Q And so your position specifically was the X? 23 A I don't think so. 23 So it's -- this is not the best copy. My position 24 Q So that door remains open? 24 would be where that farthest left mark begins. 25 A My recollection is that it did not close. 25 Q All right. Since it's not that great of a copy, why

| Page 106 | Page 108 |
|--|--|
| 1 A Yes, because the dynamics of this situation, I was | window? |
| 2 concerned about their safety. The trunk lid itself | 2 A That is correct. |
| _ | 3 Q So now an escape route out of the car has been |
| | 4 created? |
| | 5 A Correct. |
| 5 Q All right. You look in. The trunk has been shut. | 6 Q So now the goal of containment is being frustrated? |
| 6 What happens next? 7 A So I remember Officer Allen coming up out of the | 7 A Yes. |
| | 8 Q All right. So were you would agree that that |
| 8 turret of the BearCat alongside the squad car. He | 9 seems like it's contrary to the standard policies for |
| 9 has a 40 millimeter excuse me 40 millimeter | dealing with a suicidal person? |
| 10 less lethal gun and deploys wooden dowel rounds at | 11 A Yes. |
| 11 the back window of the squad car. | |
| (12 Q All right. At that moment, did you know anything | 12 Q Okay. Did you disagree with that decision being 13 made? |
| (13) about this plan to shoot wooden dowel rounds through(14) the rear window of the squad car? | |
| (14) the rear window of the squad car?(15 A No.) | 14 A At the time, I found it unusual. It did not so as 15 I was standing outside of the sally port, I am a new |
| | 16 squad officer. |
| (16 Q No one had shared with you, like, this is what's) (17) going on? | 17 Q Okay. |
| (17) going on? (18) A No. | 18 A Relatively new. The people that are inside the sally |
| | 19 port are much more experienced than I am. Part of |
| | 20 the reason I came around and peeked into the sally |
| 20 making that decision? 21 A No. | 21 port is I wanted to observe how this situation was |
| | 22 going to be resolved. |
| 22 Q Do you know now who was in charge of making that 23 decision? | 23 I had formulated thoughts in my head, what |
| | 24 would I do, how do we think this would progress. And |
| 24 A I am aware. 25 Q Who was that? | 25 when the back window was dropped, that was not a |
| | |
| Page 107 | Page 109 |
| 1 A My understanding, it was Lieutenant Zeigle from Brown | 1 thought that I I did not think that's the plan |
| 2 County. | 2 that was going to take place, so I was a little |
| 3 Q All right. So the window is broken, the rear window? | 3 perplexed by that decision. |
| 4 A Yes. | 4 Q Of your own personal thoughts, I mean, did you think |
| 5 Q And now Mr. Tubby's no longer contained in the car? | 5 that just waiting it out to see if, you know, he |
| 6 A So initially when the window was broken, the window | 6 would eventually surrender, was that seem like a |
| 7 did not fall. | 7 good idea to you? |
| 8 Q Okay. | 8 A I communicated this over the radio and to Sergeant |
| 9 A The window shatters and is punctured. The glass does | 9 Denney. I knew at some point we were going to have |
| not fall immediately away from the back of the squad. | 10 to get Mr. Tubby out of the car. |
| 11 I can only see the trunk of Squad 42 where Mr. Tubby | 11 Q Because he's locked in? 12 A He's locked in. He can't exit of his own volition at |
| 12 is and a small portion of the rear window based on my | |
| angle past the blue van sorry from the exited | this point. I felt that it would require some compliance from Mr. Tubby in order to do that safely. |
| sally port past the blue van to the squad. | 15 Q In other words, just wait to see more compliance from |
| 15 Q Okay. Could you see him himself? | |
| 16 A I could not see Mr. Tubby. | |
| 17 Q Okay. | 17 A My hope was that the hands would come up to the window. He'd place his hands on the window and then |
| 18 A I do see the BearCat porthole open up and a glass 19 break pole brought out from inside the BearCat. I do | 19 an approach would be made, and he'd be taken out of |
| break pole brought out from inside the BearCat. I do remember radio transmission saying the asking if | 20 the squad. |
| | 21 Q Yeah. What other kind of you referenced some |
| the rest of the window could be broken, at which time the metal pole was used to drop the rest of the | 22 plans kind of in your head. |
| 23 window. It's banged on until all the glass falls | 23 A Sure. |
| 24 away. | 24 Q What were you thinking specifically? |
| 25 Q So at that point, you understood there's no more rear | 25 A When I was them |
| 25 Q 56 at that point, you understood there's no more rear | 25 11 When I was them |

Page 128 Page 126 1 A That is correct. 1 A If I were to give you an estimate, 10, 12 feet. 2 O Could they see anything that was inside the sally 2 O Okay. And the ones that were to your right, how --3 how many feet would you estimate they were away from 4 A I am not certain what they could and could not see. 4 you? Okay. The ones that were behind you and to your 5 The situation was fluid, and people were constantly left, do you know any of their names? in motion and moving. People got as close as three 7 7 A I don't. feet to my right, I would estimate. And people were Do you know approximately how many were there? 8 extended down the length of the wall. 8 Q 9 A I don't. 9 Q All right. When you're looking, you -- you said you 10 Q Okay. There was some officers, I believe, that were 10 could see the spray, but you couldn't actually see 11 around the rear of Sergeant Denney's squad car? 11 the spray, the OC spray hit Jonathon Tubby? 12 A Correct. 12 A From my position, I observed it deployed from the 13 Q Okay. Do you know how many officers were there? 13 canister towards the vehicle. But I did not see it 14 A My recollection is that there were two or three 14 enter the vehicle. 15 15 Q Okay. What did you see, or what happened next? officers in that immediate vicinity directly behind 16 A Shortly after the OC was deployed towards the back of 16 Officer Denney's squad car and towards the rear 17 driver's side quarter panel of that squad car. 17 the vehicle, I heard a noise, sounded like a 18 Q Okay. And was Sergeant Denney one of them? 18 commotion. 19 A That is what I recall, yes. 19 And then I observed Mr. Tubby erupting 20 Q Okay. Do you recall who any of the other ones were? 20 from the back seat of the vehicle towards the trunk. 21 21 A I don't. I know they were Green Bay Police officers. It was a quick movement from the back seat to the 22 22 trunk lid. He appeared to be scrambling. I know who was there. But at that moment, at that 23 23 time, I don't recall which ones were standing in that As he's coming up out of the trunk lid, I 24 24 can see his left hand. And at that point, I realized 25 Q Of the officers behind you, those were Brown County 25 that I am not in a good position, and I retreat back Page 127 Page 129 1 behind just -- it's a small body movement, just to 1 officers? 2 2 A That is my recollection, yes. change my angle behind that wall so that I was no 3 longer exposed to Mr. Tubby as he came out onto that 3 Q Okay. How far behind you was the closest one? 4 trunk. 4 A I don't recall exact distances. I could see them as 5 Okay. So you -- in your line of sight, you actually 5 they moved in my periphery. I was moving my head, so saw him standing on the trunk --6 I picked them up in my peripheral vision. 6 7 7 Q Uh-huh. A No. 8 8 A They were close enough for me to see and acknowledge 9 that they were wearing uniforms and were police I saw him as he was coming up out of the back of 10 the -- of the squad car --10 officers or deputies. 11 O Okay. 11 Q Were they close enough that you could have just 12 A -- and making his way to the trunk. 12 reached behind and touched them? 13 A I don't think any of them ever -- the ones that we 13 14 I never actually saw him stand on the trunk because 14 were referring to that were behind and to my left --15 as he was coming up out of the trunk is when I 15 Q Uh-huh. 16 A -- I don't recall that any of them got that close to 16 changed my relative position and took a different angle of cover behind the wall. 17 me that they could touch me or I could touch them. 17 18 Q Okay. So you saw him moving up out of the rear 18 Q About how many steps away do you think it would have 19 window, but you never actually saw him feet planted, 19 been before you could touch them? 20 20 A Many. standing on the trunk? 21 A That is correct. 21 Q Like three, four steps? Okay. As he was coming up out of the vehicle, you 22 A Probably further than that. Okay. Five, six steps? said you could see his hands? I could see his left hand. 24 A I'm honestly -- I'm guessing. 25 Q You could see his bare left hand? 25 Q Okay.

Page 132 Page 130 1 A Yes. 1 know if there were other considerations they had as Okay. Could you see his right hand? 2 Q 2 far as proximity of people. I just don't know the Α 3 answer to that. 4 O Okay. Where was his right hand? 4 Q So if you had been standing three steps to your left, 5 Concealed under the shirt and behind his left hand. would you have shot him? Okay. Did you see that he was wearing handcuffs at MR. GUNTA: Objection. Vague as to time. 6 7 7 that time? Go ahead. Answer. 8 A I did not. 8 THE WITNESS: Sure. So, again, I fall Q Okay. Did you see a gun in his hand? 9 back on our training, which indicates that the --10 A I did not. 10 the requirement is a weapon, intent, and delivery 11 Q Did you believe that you saw anything that looked 11 system. 12 like a gun in his hand at that point? 12 I believe Mr. Tubby was in possession of a 13 A At that point, no, I did not see anything that 13 weapon. I believe he had the delivery system. I resembled a gun in his hand. 14 14 did not see at that point as he's erupting from the 15 Q Okay. And you -- you retreated because you were 15 back of the squad car any explicit intent or even 16 fearful for your own safety to some extent? 16 implied intent at that particular moment. 17 A Correct. 17 BY MR. TAHDOOAHNIPPAH: 18 Q Because now you have someone that you believe is 18 Q All right. So you retreat behind the wall. 19 armed that is coming out of the vehicle? 19 What happens next? 20 A Yes. A Again, I hear -- this happens in an extremely rapid 21 21 Q You didn't just shoot him right then and there, and dynamic unfolding situation. I hear another 22 right? 22 commotion. I don't know what the sound was. It drew 23 23 A No. my attention back to the sally port area. 24 Q Do you think you should have shot him right then and 24 So, again, with not moving my feet 25 there? 25 necessarily but just changing my relative position Page 131 Page 133 1 and angle on that corner of the sally port, I lean 1 A No. Do you think deadly force would have been justified 2 back out to take a view into the sally port. And 3 that's when I see Jonathon is up and rushing in my 3 at that moment? 4 4 A So, again, I would go back to what the officer's direction. 5 perception is at that time. I had the ability to 5 Okay. His body posture at that point in time, was move to cover. he -- he was running? 7 Q Uh-huh. 7 A He was running. A Other officers were engaged with the suspect. I did Okay. And he had his hands in front of him still? 9 not have the best vantage point. Immediately behind 9 That is correct. 10 10 Mr. Tubby exiting the squad car is our armored And you could still see -- could you still see his left hand? 11 vehicle, the BearCat. 11 12 But the last recollection I have is 12 A I could see his left hand, and I could see his right 13 Officer Allen is still in the turret of the BearCat. hand underneath his shirt or appeared to be concealed 13 14 So due to all of those circumstances, I did not fire 14 under his shirt. 15 15 Q Could you see the handcuffs on his wrists? my weapon. I could retreat to a position of cover. 16 Q All right. If there was some other officers like the 16 A I did not see the handcuffs on his wrists. 17 ones that were to your left that weren't as close to 17 O Did you see a gun? 18 cover, do you think that they would have been 18 A I did not see a gun. 19 justified if they had shot him right then and there? 19 Was he running upright? Or was he kind of falling 20 A That boils down to the individual officers' 20 down? 21 perceptions of the situation at that time. I don't 21 A So when I observed him running towards me, he was in 22 an upright position, but he appeared to be leaning know what they saw. I don't know what they were 22 23 thinking. I don't know what the extent of, you know, 23 forward. 24 their training would be at that point and how 24 Q All right. At that point in time, what happened? 25 comfortable they would be taking that shot. I don't 25 A I saw Mr. Tubby rushing in my direction. And I again

Page 134 Page 136 1 moved my body to conceal myself behind the corner of 1 Q Okay. So you said the gun was pointed in your 2 the sally port entrance. Simultaneously, as that 2 direction? 3 took place, Mr. Tubby comes into my view between --3 A It was pointed in front of him. 4 O Between? In front of him? -- between myself and Sergeant Denney's squad car. Yes. And I was in front of him. Q Okay. So when you see him running towards you, Did you see a barrel sticking out of his shirt? 7 7 A you -- you don't fire yet? Not at that moment, I did not. 8 A Correct. 8 So you just felt that because his hands were in front Q All right. Is that still because you felt that the 9 of him, the gun that you believed he had must be 10 intent element was missing? Or was there another 10 pointing in front of him also? 11 reason why you didn't fire? 11 A Correct. 12 A I was purely reacting to the stimulus in front of me. 12 Q If he's leaning forward, didn't you think maybe he's 13 There was a person rushing at me, and I think a 13 about to fall on his face? 14 A At that moment, that's not what I thought. 14 normal human stimulus is to retreat. 15 Q Okay. But you didn't -- but do you think that you 15 Q Why not? 16 could have lawfully fired at him at that point? 16 A That's not what occurred to me at that time. 17 A Yes. 17 Isn't it -- wouldn't it be strange for someone to be 18 Q That deadly force was justified because he was 18 leaning forward to a significant degree and then at rushing towards you? 19 the same time fire a gun? 20 A Yes, and I believed him to be armed. 20 MR. GUNTA: Objection to the form of the 21 Q Okay. And so you interpreted his running in your 21 question. 22 direction as fulfilling that intent element you just 22 Go ahead and answer. 23 23 talked about a moment ago? THE WITNESS: So as I'm observing you, 24 A His hands were in a position that presented the 24 you're leaning forward a little bit more than my threat of great bodily harm or death. recollection of Mr. Tubby's leaning forward. 25 Page 135 Page 137 1 Q Okay. What do you mean by his hands were in a 1 BY MR. TAHDOOAHNIPPAH: 2 position that presented a threat of great bodily harm 2 Q Okay. So the angle that he was leaning forward, it 3 or death? 3 wasn't an extreme angle? 4 A Mr. Tubby in possession of a firearm. His hands are 4 A It was not an extreme angle. 5 up in front of him. He is leaning forward, postured It wasn't like a 45-degree angle to the ground? 5 6 forward. And if I'm standing immediately in front of At the moment I saw him rushing towards me? 7 him, whether the gun is directly pointed at me or in 7 Q Right. 8 my general vicinity, that is enough of a threat that 8 A No. 9 would warrant deadly force. 9 And in addition to the fact that you thought he was Q 10 10 Q So if someone points a gun at you as a police armed and had a gun in front of him, part of the 11 officer, then you can use deadly force? 11 reason you think that deadly force was justified was 12 MR. GUNTA: Objection to the form of the 12 that he was coming specifically in your direction? 13 question. Vague. 13 A Correct. 14 THE WITNESS: Again, that -- there are a 14 Q Weren't you just standing in an open door? 15 number of factors there that come into play. That's 15 16 a very simplistic, boiled-down version of a 16 How -- why did you interpret his behavior as being 17 17 threatening rather than just trying to escape through scenario. 18 If someone were to point a firearm at me 18 an open door? 19 as a law enforcement officer and I were able to 19 That was my perception at the time. 20 articulate that that is a weapon, the intent is 20 O Uh-huh. 21 there and the delivery system is there, and I 21 A My response at the time was also to change position. 22 believe that they are exhibiting behavior that could 22 The totality of the circumstances, I think kind of 23 23 cause death, great bodily harm to me or another lead to all of this. Mr. Tubby was aware law 24 24 person or persons, then, yes. enforcement was there attempting to take him into

custody under a lawful arrest. He was not

25

25 BY MR. TAHDOOAHNIPPAH:

Page 142 Page 144 1 hand, right hand was still concealed underneath his 1 be commonly referred to as a fight-or-flight 2 2 response. Blood is drawn away from what could be shirt. It still appeared to be pushing out against 3 3 considered unessential parts of the body. Things the shirt and appeared that the gun was pointed in 4 like auditory exclusion take place, so your hearing the direction of the other officers who were, my 5 5 things doesn't necessarily become as important for recollection, were behind Sergeant Denney's squad 6 6 your survival. Memory can be impacted in the way 7 it's sequentially put together. Basically, your body 7 Q Okay. Can you draw where -- where would -- Sergeant 8 is just in survival mode. 8 Denney's squad car would be? 9 Q All right. I want you to turn -- flip the last page 9 A Sure. 10 of that Exhibit 6 over. Flip it over so you have 10 All right. And so can you just draw, like, a circle 11 like a white sheet of paper in front of you. I want 11 where you thought the officers that were in danger 12 you to grab a pen if there's one around you. If not, 12 were? 13 I'll give you one. 13 A (Witness complies.) 14 All right. I want you to just draw a 14 Q All right. So you thought that they specifically 15 square that represents you standing -- standing up. 15 were in danger because that's the direction that you 16 A You're asking me to draw a square that would 16 saw his hands going towards? 17 represent me and my position? 17 A Correct. 18 Q Yeah. Just draw a square, I guess, first of all. 18 Q Let's talk about all of the factors that you felt 19 And then I'll tell you that I want that, you know, to 19 posed a threat to them at that particular moment. 20 represent your position. 20 Was the fact that he was descending 21 21 MR. GUNTA: Here. Use this one. That's downward, did that -- in your estimation, did that 22 better. You don't have to put pressure on it. 22 heighten or diminish the risk that he posed? 23 BY MR. TAHDOOAHNIPPAH: 23 A It does not change it from my perspective at that 24 Q All right. Now I want you to draw a rectangle that 24 moment. 25 represents Jonathon Tubby's position relative to you 25 Okay. Was the fact that he was kind of twisting? Page 143 Page 145 as he's descending downward. 1 A Yes. 1 2 Q A (Witness complies.) Okay. 3 Q All right. Now draw an arrow that says which way was 3 A My perception was that he was moving in a target 4 his head facing. acquisition manner. He was moving, acquiring a 5 So his head is facing forward? 5 target for the -- for shooting. A I'm sorry. I thought you were asking for which way 6 Now, as Mr. Tubby comes into view and he's 6 7 7 is relative -- his head is positioned relative on his descending in front of me, I hear simultaneously a 8 8 body. This is his head. This is his feet. pop --9 Which way was he looking? Draw another arrow. 9 Q Uh-huh. 10 A 10 A Again, I have two flash images of recollection. -- which is also one of the factors that I use in 11 assessing the situation that determined my use of 11 Q Okay. 12 A One is Jonathon's head is facing up, looking this 12 deadly force. 13 way. 13 Q And you thought that that pop was a -- him firing a 14 Q Okay. 14 15 A I have another where Jonathon's head is faced away 15 That was my perception at the time. 16 from me, facing down. 16 All right. If you had never heard that pop, do you think you still would have fired? 17 Q Okay. So that's the twisting? Is his head going 17 18 from facing up that way to facing down that way? I do, but I think it was a contributing factor. 18 19 A Yes. 19 So the mere fact that he's that close to these 20 Q Okay. And at this -- at the moment you fired your 20 officers, and he's twisting, and you think he has a 21 weapon, you believe he posed an imminent threat to 21 gun, that's -- you would have fired? 22 someone? 22 A Yes. 23 A Yes. 23 But you -- at the same time you heard this -- you 24 24 Q And was that to you or to someone else? heard a pop? 25 A When I observed him, his hands were still -- his 25 A Yes.

Page 146 Page 148 1 Q As you're moving from right to left, is that 1 Q All right. From the time you first -- he first came 2 2 into your view until the time that you fired, how movement, is that something that you're trained to 3 3 much time elapsed? A Fractions of a second. 4 A The specific direction of right to left? 5 Q Or just shooting while you're kind of strafing in Q All right. I want you to go back to the front page of the last page of that Exhibit 6, which is the that way? 7 7 A I wouldn't call it strafing. diagram we've been discussing. 8 Okay. Well, how would you describe it? Can you draw a rectangle as to where 9 A We are taught to get off the X is the terminology we Mr. Tubby was when you shot him? 10 MR. GUNTA: I'm sorry. I was looking at 10 train under. It means that a stationary target is 11 something. Could you -- okay. 11 more easily hit. The potential that Mr. Tubby could 12 BY MR. TAHDOOAHNIPPAH: 12 engage the other officers on scene could also Q All right. Do you know where in particular on 13 possibly transfer to me. So in my training, yes, I 14 14 Mr. Tubby's body that you shot him? am taught to move and fire. 15 MR. TAHDOOAHNIPPAH: All right. Let's 15 A No. To clarify, I am now aware. At the time, I was 16 not aware. 16 take a quick break. 17 Q I mean, you didn't see -- or you don't remember 17 MR. GUNTA: Sure. 18 seeing the bullets hit him? 18 (Break taken from 1:32 p.m. to 1:40 p.m.) 19 Α I have no recollection of that. 19 BY MR. TAHDOOAHNIPPAH: 20 Q Okay. But you now know? 20 All right. Officer O'Brien, when you shot Mr. Tubby, 21 A I do. 21 Sergeant Denney and a few other officers were in 22 Q How do you know that? 22 pretty close proximity? 23 A From the DCI report. 23 A That is my recollection. 24 Q Did you look at pictures of him shot or just the 24 Q You weren't worried about any cross fire hitting them 25 narrative that they had? 25 or anything? Page 147 Page 149 1 A Pictures. 1 A So when I am observing Tubby, Mr. Tubby, I have what Okay. So you know he had a couple bullet entry 2 would be termed tunnel vision, and the scope of my 3 points on his left shoulder? I think was it three? 3 vision becomes completely compressed to 4 A Correct. 4 Mr. Tubby's -- essentially the top portion of his And then he had a bullet entry and exit kind of on 5 5 chest and up. And my backdrop at that point is the the nape of his neck? 6 6 back end of the squad car. I see nothing but this at 7 A Yes. 7 that point. So, no, I do not see any cross fire 8 Q And then he had an entry point at the top, kind of 8 9 right side of his -- of his skull? 9 You're not concerned about -- it's just not a factor 10 A That description, I don't know. 10 that you considered? 11 Q Okay. 11 MR. GUNTA: Objection. Asked and 12 A I do know that there was an entry wound in the skull. 12 answered. 13 Q How do you account for those kind of trajectories 13 But go ahead and answer it. 14 with the positions that you drew on the back page a 14 THE WITNESS: Are you asking at that 15 moment ago? 15 moment? 16 MR. GUNTA: I'm going to object to the 16 BY MR. TAHDOOAHNIPPAH: 17 form of the question. 17 Q Yeah, at that moment. 18 But you go ahead and answer, if you can. 18 It would be a consideration. I did not observe 19 THE WITNESS: I am not a trajectory 19 anything that -- I did not observe anything at that 20 expert. I can tell you that I was body in motion 20 moment that appeared to be a cross fire issue. 21 from right to left and backwards as I was firing. I 21 Q If someone was coming at you running towards you with 22 have no recollection of the individual shots that 22 a gun about to kill you and there was potential for 23 were fired. I remember shooting. I remember 23 cross fire, would you still take the shot to save 24 stopping shooting. 24 25 BY MR. TAHDOOAHNIPPAH: 25 MR. GUNTA: Objection to the form of the

| | Page 182 | | Page 184 |
|------------|--|---------------|---|
| 1 | any way, if that's what you're asking. | 1 | exhibit. |
| 2 Q | Right. | 2 | BY MR. TAHDOOAHNIPPAH: |
| 3 A | Yeah. | 3 | Q All right. And before we move on, in preparing for |
| 4 Q | Okay. And this is what you think is reasonable to | 4 | your deposition, did you do anything before Tuesday? |
| ` | assume is the back of his head? | 5 | A In preparation for the deposition, I did not. |
| | I do not know which portion of his body or head that | 6 | Q All right. You have been handed what has been market |
| | would be. | 7 | as Exhibit No. 2. |
| | 11 0 41 41 41 | 8 | Have you ever read this before? |
| 8 Q 9 | Well, it's not it's not his you can't see his face? | | |
| | I cannot see his face. | 9 | |
| 10 A | | 10 | Q All right. I want you to turn to the twelfth page, which has the number 11 at the bottom in the center. |
| 11 Q | It looks like it's hair? | 11 | |
| 12 | MR. GUNTA: Excuse me. | 12 | And it also has what we call a Bates number that ends |
| 13 | THE WITNESS: It could be. I don't know | 13 | in 1112. |
| | what it is. | 14 | MR. GUNTA: That's what he's going to be |
| | / MR. TAHDOOAHNIPPAH: | 15 | referring to. |
| 16 Q | You don't know what it is because you don't remember | $\overline{}$ | THE WITNESS: Okay. |
| l | this transpiring? | 17 | BY MR. TAHDOOAHNIPPAH: |
| | Well, that's not from my perspective or my angle. | 18 | Q All right. There's a figure B and a figure C. |
| | And this is it's all that we have, but it is a | 19 | |
| 20 | blurry image. | 20 | A Yes. |
| 21 Q | So do you remember this transpiring? | 21 | Q All right. It says figure B camera footage from |
| 22 A | | 22 | squad number 42, 9:02:29 p.m. |
| 23 Q | Him falling to the ground as depicted in this photo? | 23 | Do you see that? |
| 24 A | I remember him being on the ground. And like I had | 24 | A Yes. |
| 25 | mentioned before, what appeared to be levitating away | 25 | Q So this is about 10 minutes before Mr. Tubby was |
| | Page 183 | | Page 185 |
| 1 | from me. He was in a descended position. | 1 | shot? |
| 2 Q | But you don't remember this specifically? | 2 | A My recollection is that the shooting was recorded at |
| 3 | MR. GUNTA: Are you referring to the same | 3 | 9:11 p.m., so that's |
| 4 | exhibit? | 4 | Q About nine minutes before? |
| 5 | MR. TAHDOOAHNIPPAH: Uh-huh. | 5 | A Sure. |
| 6 | MR. GUNTA: Okay. | 6 | Q Were you looking into the squad car nine minutes |
| 7 | MR. TAHDOOAHNIPPAH: Exhibit 4. This is | 7 | before he was shot? |
| 8 | Exhibit 4, right? | 8 | A I do not know. |
| 9 | MR. GUNTA: Yes, sir. | 9 | Q We were just talking about the video that was Exhibit |
| 10 | THE WITNESS: So, again, my perspective | 10 | 3. And you had mentioned that sometimes the you |
| 11 | would be different because I don't even appear in | 11 | can't say what happened because it was a different |
| 12 | this frame. So do I remember Mr. Tubby going to the | 12 | angle. |
| | ground? Yes. | 13 | Do you remember that? |
| | MR. TAHDOOAHNIPPAH: | 14 | A Yes. |
| | Uh-huh. So where would you be with respect to this | 15 | Q Were you looking at the squad car through the front |
| | specific frame? | 16 | window at this angle at 9:02 p.m.? |
| 17 A | | 17 | |
| 18 Q | To the | 18 | Q Did you ever look at the squad car through this angle |
| 19 A | to the right. | 19 | |
| 20 O | To the right. | 20 | A During the incident? |
| 20 Q | And, again, you can't say whether this is | 21 | Q During the incident. |
| | before or after the shooting? | 22 | A No. |
| 22 23 A | I cannot. | $\overline{}$ | Q So it's fair to say that you never saw this image |
| 23 A 24 | | 23 24 | |
| | MR. TAHDOOAHNIPPAH: All right. Let's get these organized. We're going to move onto another | - | that's depicted in figure B? A That night? |
| | mese organized. We're going to move onto another | 25 | A That night? |

Page 188 Page 186 1 Q Yeah. 1 BY MR. TAHDOOAHNIPPAH: 2 A No. Q Do you recall this morning you testified that when 3 Q And the same thing is true for figure C? 3 you looked in the door, you saw a cylindrical object? 4 A I did not see that image, correct. 4 Is this what it looked like, figure B? 5 Q Okay. Turn the page. There's a figure D. 5 Does that depict what you're referring to? Did you ever see that image on that night? A So to jump ahead, figure C would be a better 7 A That night? 7 representation of what I observed. 8 Q Yeah. Q All right. So figure B is not -- is not an accurate 9 A No. representation of what you observed? 10 Q Okay. Turn to the next page is figure E. This one 10 A It was not most similar to what I observed. is from 9:04:31 p.m. 11 All right. You said figure C of all these pictures 12 Do you see that? 12 it's the most similar? 13 A Yes. 13 A Correct. 14 Q And you weren't looking into the squad at 9:04 p.m., 14 Q All right. So figure D is not that similar to what 15 were you? 15 you observed? 16 A No. 16 A No. It was more like figure C. 17 Q And you certainly weren't looking at it from that 17 Q All right. And figure E, that's not similar to what 18 angle? 18 you observed? 19 A No. 19 A Correct. 20 Q And you never saw this image on that night? 20 Q All right. Figure F is not similar to what you 21 A No. 21 observed? 22 Q All right. If you turn the page, there's a figure F. 22 A Correct. 23 And, again, this is from 9:04 p.m. 23 Q Figure G is not similar to what you observed? 24 Do you see that? 24 A Correct. 25 A Yes. 25 Q All right. So let's go back to figure C because you Page 187 Page 189 1 Q Again. You weren't looking into the squad at that said this was the most similar? 1 time, right? 2 A Yes. 3 A No. 3 Q How similar is it to what you did observe? 4 Q And certainly not from this angle? 4 A So the reason I would say it's most similar is 5 A Correct. 5 because it does have the most accurate representation 6 Q You never saw this image? to me of the lighting that I observed in the back of 6 7 7 A No. the squad car, as well as the contour of the shirt All right. Figure G on the next page. 8 and appears to be definition of a cylindrical object This is when the window was broken, right? 9 inside of that shirt. 10 10 A Correct. The relative position is not what I saw. 11 Q And I think you testified before that window was 11 In my DCI statement, it's accurate in that I saw the 12 broken, you couldn't see Mr. Tubby? 12 object underneath his shirt pointed towards the 13 13 A Correct. bottom of his chin is my perception, perspective from 14 Q All right. Figure H. You testified that you saw him 14 that position of the squad car. 15 coming out, emerging from the vehicle. 15 So forgetting the other figures in this -- in this Did you see figure H as it was happening? 16 16 report, figure C, is it quite similar, sort of 17 A No. 17 similar, or not all that similar to what you observed 18 18 Q All right. Figure I. It's on the next page. I when you thought you saw a cylindrical -- flat 19 think you testified before you never saw him actually 19 cylindrical object? 20 20 standing on the trunk. MR. GUNTA: Just hold on a second. 21 So I take it to mean you never saw the 21 Objection to the form of the question. 22 22 image in figure I, or you did not see it that night? Go ahead and answer his question, please. 23 A That night, correct. 23 THE WITNESS: So it looks similar. 24 Q All right. Let's go back to the figure B. 24 BY MR. TAHDOOAHNIPPAH: 25 MR. GUNTA: 1112. 25 Q Okay. Not anything more than similar? Certainly not

Page 210 Page 212 1 A I don't know. 1 A Correct. 2 Q Okay. Was this all instances in which you were 2 Q So this box is not 100 percent accurate? 3 convicted of a crime? This box is incomplete. 4 MR. GUNTA: Objection to the form of the 4 Q What was the date that you were convicted of 5 question. disorderly conduct? THE WITNESS: So I was never convicted of 6 A I pled no contest to a disorderly conduct citation. 6 7 I believe that would have been in 1996. a crime. That's the answer to the question. 8 BY MR. TAHDOOAHNIPPAH: 8 Q All right. What happened that led you to be cited for disorderly conduct? Q You've never been convicted of any crime, period? 10 A Of a crime, that is correct. 10 A Sure. So my fiancee at the time and I had gone to a 11 O Okay. 11 furniture store to pick up some furniture items that 12 12 A Now, the -- go ahead. were ordered. The items were not ready when the 13 Q So you've never been convicted of something that 13 staff had informed her that she could pick the items 14 up. She became upset. She was yelling at them. 14 would be a felony? 15 They, in turn, began yelling at her, at which point I 15 A Correct. 16 Q You've never been convicted of anything that would be 16 stepped between the two, and I told the male store 17 a misdemeanor? 17 worker that he had to back up or I would make him 18 18 A Correct. back up, something along those lines. 19 19 Q But you have been convicted of petty misdemeanors? Mesa Police Department was contacted, and 20 20 A Ordinance violations and traffic violations. they issued me a disorderly conduct citation. 21 Q So this is in Mesa, Arizona? 21 Q All right. So speeding is what, a traffic violation? 22 A Correct. 22 That is correct. 23 Q So you listed your three speeding tickets, right? 23 All right. So you threatened the store clerk? That's not how I would put it. 24 A I listed speeding tickets, yes. 24 Α Okay. How would you put it? 25 Q All right. Did you have any other additional 25 O Page 211 Page 213 speeding tickets at this time, January 2012? 1 A I was separating the two. And I was giving him fair 1 2 A I believe I did. 2 warning to not advance any further and to stop his 3 Q Okay. Why didn't you list those? 3 behavior. 4 A I don't know if it was because the number of boxes 4 Q So if he didn't back up but did advance further -that were provided. If I didn't recall them. I A I don't know. That's speculation. I don't want to 5 don't know. speculate as to how that could have otherwise 7 7 Q All right. Did you have any other ordinance unfolded. violations that weren't traffic, like petty Q Okay. It was a physical -- but you would have 9 misdemeanors, ordinance violations or the like that 9 used --10 were not traffic-related? 10 A It was a verbal altercation. 11 A There was a disorderly conduct ticket that does You would have used physical force? That was the 11 O not -- is not included on that -- in that box. 12 threat was to use physical force? 13 Q All right. That was disorderly conduct, you said? 13 A That's not how I would put it, no. 14 A Correct. 14 Q You told him you'd make him back up? 15 Q And that is not a misdemeanor? 15 A 16 A It was an ordinance violation. 16 Q And that's not a threat to use physical force? 17 Q Is disorderly conduct a misdemeanor? 17 A I'm sure it could be interpreted as one. 18 A It can be, yes. 18 Q But you didn't intend it as one? 19 Q But it wasn't in your case? 19 A It was intended to get him to stop and back up. 20 A That is correct. 20 O By threatening physical force? 21 Q Was it charged as a misdemeanor? 21 A Well, I was physically in between he and my fiancee 22 A No. 22 at the time. 23 Q It was charged as an ordinance violation? 23 Q So you think it was self -- or defense of others? 24 A That's the way I interpreted it, yes. 25 Q Why didn't you list disorderly conduct in this box? 25 Q All right. But you didn't -- you didn't fight the

Page 214 Page 216 ticket and assert a defense of others defense? 1 1 Alamogordo public schools? 2 A That is correct, I did not. 2 A Correct. 3 Q You just took the citation? 3 Q And then above, you have accounted for that gap in 4 A Correct. 4 employment from February 2011 to September 2011 by 5 And so by defending others, you were trying to saying you were relocating, and you were at the law threaten physical force in defense of others? enforcement academy? 6 I was asserting that I would not allow the situation 7 A Correct. 8 Q All right. If we flip the page, it says that from to continue. Implying that you would use force against this guy? 9 January 2007 to August 2009, you were teaching, 9 Q 10 A If necessary, maybe, yeah. 10 tech/tutor? 11 Q And you didn't disclose that on your application? 11 A Correct. 12 A On this box, no, I did not. During the application 12 Q The next one, it looks for a summer, you were a youth 13 process I did. 13 group leader? 14 Q How? 14 A Correct. 15 A Through conversation with the professional standards 15 All right. And then the next one is -- goes from 16 16 October '95 to October of 2000, right? 17 Q All right. When did they talk to you about this? 17 A Correct. 18 A I don't know. During that process. I don't know. 18 O And that's customer service team leader? Q All right. Regardless, it's not -- it's not anywhere 19 A Correct. 20 in this application, right? 20 Q So that's a gap in the resume between October of 2000 21 up until August of 2009, right, or up until January 21 A It's not in this box. I don't know if there's 22 22 of 2007 -- excuse me -- right? another place elsewhere. 23 Q Go ahead and read this and let me know if it's 23 A Correct. 24 anywhere in here. 24 Q And if you flip back a page, you account for that by 25 A No, it does not appear. 25 saying October 2000 to January 2007 unemployed but Page 215 Page 217 1 was a full-time stay-at-home parent to a military 1 Q All right. Let's go to the employment history 2 2 section of this. I don't have a page number for you. spouse, correct? 3 3 A Correct. I didn't get this Bates stamped. Sorry. But you'll 4 Q So you don't say that you, yourself, list your Army 4 know you're there because there's a handwritten 5 experience on this application? portion. 6 And on the top, that says 02-11 through 7 09-11 due to relocation and law enforcement academy 7 Q So, again, this is an incomplete work history? 8 8 A It is missing my military service, that is correct, attendance? 9 9 or my time in the military. A Correct. 10 Q Are you there? 10 Q All right. And you said you got a discharge without 11 11 A Yes. condition? 12 Q All right. It says in the box in printed text, 12 A The terminology, I don't know 100 percent, but it is 13 "Please provide below your complete work history for an unconditional discharge without condition. 13 14 the last 10 years or more, if applicable." 14 Q Okay. So that means that you were discharged not due 15 15 Do you see that? to a disability, or due to a medical issue that 16 A Yes. 16 didn't rise to the level of disability? 17 Q All right. It says that from September 2011 to the 17 A Are you asking do I receive disability services from the VA? 18 time that you filled out this application, you worked 18 19 as a building supervisor/security for the YMCA of the 19 Q I am just asking -- I just don't know the levels of Fox Cities? 20 20 discharge. I am just trying to figure it out. 21 A Correct. 21 A I don't either. I am not 100 percent sure on that. 22 Q 22 Q And then from January -- the next entry begins -- and Well, do you receive disability? 23 it says January '10 to February 2011; is that right? 23 A I do not. 24 Q All right. The process of getting discharged, was 24 A Yes.

that something that you initiated or someone else

25

25 Q And you were -- that says classroom teacher,

Page 218 Page 220 1 initiated? 1 A Yes. 2 A I don't recall how the process began. I went and 2 And have you -- have you ever seen this before, this expressed concerns. 4 Q To whom? 4 A I do not recall if I've seen this before or not. 5 A To the platoon leader, who expressed concerns to 5 Q All right. If you go to that heading I just pointed you to and then go down to the second paragraph, it staff about I wanted to talk to a counselor. 7 says, "On the personality assessment inventory, PAI 7 Q Okay. 8 law enforcement corrections and public safety 8 A And I went and spoke with the counselor. And I don't 9 selection reports Erik obtained a valid profile." recall requesting to be discharged from the military. 10 Q Okay. So did that counselor make a recommendation 10 Do you see that? that you be discharged? 11 A Yes. 12 A I don't -- I don't know, to be honest with you. 12 Q It says, "However, he obtained a high," which is 13 Q Do you know who -- do you know who at all recommended 13 italicized, "risk for receiving a poorly suited 14 rating by a psychologist with expertise in law that you be discharged? 15 15 A I don't. enforcement, corrections, and public safety 16 16 Q You wanted -- you said you wanted to talk to a screening." 17 counselor? 17 Do you see that? 18 A Yes. 18 A I do. 19 Q Why was that? 19 Q Did you know that you had obtained a high risk for 20 receiving a poorly suited rating by a psychologist 20 A I was experiencing depression. 21 21 Q Okay. What -- did you have any specific suicidal with expertise in law enforcement, corrections, and 22 22 ideations? public safety screening? 23 23 A No. A No. 24 Q Homicidal ideations? 24 Q Is this the first time you're learning about that? 25 A No. 25 A Yes. Page 219 Page 221 1 Q Just kind of general depression? Q The next sentence says, "Erik's high score is due to 1 2 A To the point I was having difficulty functioning, 2 the fact that he endorsed many antisocial behavior 3 3 items which really had to do with behaviors in high 4 4 Q Was there any sort of incident that occurred in the school." 5 military that caused you to vocalize that? 5 Do you see that? A No. It was a cumulative effect. 6 A Yes. 7 (Exhibit 12 marked for identification.) Okay. What antisocial behaviors were you endorsing in high school? 8 BY MR. TAHDOOAHNIPPAH: 8 9 Q All right. You've been handed Exhibit 12. Turn to A I do not know. 10 10 the third page. There's a letterhead that says You have -- you have no idea what this is talking 11 "Psychological Consultants of Green Bay, Brown 11 about? 12 County." 12 A No. Q Okay. Did you talk to the psychologist about your 13 13 Do you see that? 14 A 14 high school experience? Yes. Do you recall meeting with a psychologist and doing a A I don't recall. I'm sure I did, but I don't recall. 15 Q 15 16 psychological assessment? 16 Q Okay. Is there anything about your high school 17 A I do. 17 experience that you believe was antisocial? 18 Q And this was a part of your application to become a 18 A Not that I recall. 19 police officer with Green Bay? 19 Q Okay. Did you get into a lot of fights in high 20 A Correct. 20 school? 21 Q All right. I want you to flip to the next page. 21 A There was some physical altercations in high school. Okay. With whom? Other students? 22 There's a heading that says "Test results/emotional 22 Q 23 stability/suitability for law enforcement 23 Α Yes. 24 employment." 24 Q Any authority figures? 25 25 A There was an incident with a teacher. Do you see that?

| | Page 1 |
|----|---|
| 1 | IN THE UNITED STATES DISTRICT COURT |
| 2 | FOR THE EASTERN DISTRICT OF WISCONSIN |
| 3 | |
| 4 | SUSAN DOXTATOR, ARLIE |
| | DOXTATOR, and SARAH |
| 5 | WUNDERLICH, as special |
| | administrators of the Estate |
| 6 | of Jonathon C. Tubby, |
| 7 | Plaintiffs, |
| 8 | |
| | -vs- Case No. 1:19-cv-137-WCG |
| 9 | |
| 10 | ERIK O'BRIEN, ANDREW SMITH, |
| | TODD J. DELAIN, HEIDI |
| 11 | MICHEL, CITY OF GREEN BAY, |
| | BROWN COUNTY, JOSEPH P. |
| 12 | MLEZIVA, NATHAN K. |
| | WINISTERFER, THOMAS ZEIGLE, |
| 13 | BRADLEY A. DERNBACH, and |
| | JOHN DOES 1-5, |
| 14 | |
| | Defendants. |
| 15 | |
| | |
| 16 | |
| 17 | Examination of COLTON WERNECKE, taken at |
| 18 | the instance of the Plaintiffs, under and pursuant to |
| 19 | the applicable Rules of Civil Procedure, before |
| 20 | SAMANTHA J. SHALLUE, a Registered Professional |
| 21 | Reporter and Notary Public in and for the State of |
| 22 | Wisconsin, at the Green Bay City Hall, 100 North |
| 23 | Jefferson Street, Green Bay, Wisconsin, on |
| 24 | December 18, 2019, commencing at 8:45 a.m. and |
| 25 | concluding at 12:10 p.m. |

| | | Page 38 | | | Page 40 |
|--|-------------------|---|--|----------------------------------|--|
| 1 | | blurred vision, watering eyes, a feeling that | 1 | Q | Very similar, you said? |
| 2 | | you can't breathe. Is that all accurate? | 2 | A | |
| 3 | Α | It is. | 3 | Q | |
| 4 | Q | Anything else that you experienced when you | 4 | V | distinguish between those sounds? |
| 5 | Ų | were exposed to OC spray? | 5 | | MR. GUNTA: Objection to the form of |
| | | | 6 | | |
| 6 | A | I can't recall if there's anything else. | | | the question. |
| 7 | Q | And it's not a pleasant experience, is it? | 7 | D | THE WITNESS: I believe I could. |
| 8 | A | It is not. | 8 | _ | Y MR. TAHDOOAHNIPPAH: |
| 9 | Q | And when you say "pain," I mean, it's an | 9 | Q | , |
| 10 | | extreme pain? | 10 | A | Yes. |
| 11 | A | Yes. | 11 | Q | You don't have, like, some sort of superhuman |
| 12 | Q | And when you say you "can't breathe," you mean | | | hearing capability? |
| 13 | | you feel like you're suffocating? | 13 | A | |
| 14 | A | You can breathe; it just feels like you can't. | 14 | Q | • |
| 15 | Q | Okay. So you're not actually going to | 15 | | trained on different firearms that you're able |
| 16 | | suffocate, but it feels like you are | 16 | | to distinguish between them? |
| 17 | | suffocating? | 17 | A | Correct. |
| 18 | A | Correct. | 18 | Q | And you could probably distinguish between |
| 19 | Q | And you also, it says, received training on | 19 | | different calibers of ammunition even? |
| 20 | | beanbag shotguns; is that right? | 20 | | MR. GUNTA: Just hold on a second. |
| 21 | A | Correct. | 21 | | I'm just going to object to the form of the |
| 22 | Q | Okay. What is a beanbag shotgun? | 22 | | question as being vague, but subject to the |
| 23 | À | A beanbag shotgun is itself is a normal | 23 | | objection, go ahead and answer. |
| 24 | | shotgun. Ours are just colored with orange | 24 | | THE WITNESS: As per different |
| 25 | | an orange stock and an orange handpiece. They | 25 | | calibers, I don't think I could do that. |
| | | Page 39 | | | Page 41 |
| 1 | | 1 480 37 | | | 1 450 11 |
| | | fire beanbag rounds. | 1 | ВУ | MR. TAHDOOAHNIPPAH: |
| | 0 | fire beanbag rounds. All right. And what are beanbag rounds | _ | _ | MR. TAHDOOAHNIPPAH: Do you think you could distinguish between |
| 2 | Q | All right. And what are beanbag rounds | 2 | Q | Do you think you could distinguish between |
| 2 3 | _ | All right. And what are beanbag rounds themselves? | 2 3 | Q | Do you think you could distinguish between different types of firearms: pistols, rifles, |
| 2 3 4 | _ | All right. And what are beanbag rounds themselves? It's a normal shotgun round; it's just inside | 2 3 4 | Q | Do you think you could distinguish between different types of firearms: pistols, rifles, shotguns? |
| 2 3 4 5 | A | All right. And what are beanbag rounds themselves? It's a normal shotgun round; it's just inside of it is a wadded-up beanbag. | 2 3 4 5 | Q | Do you think you could distinguish between different types of firearms: pistols, rifles, shotguns? MR. GUNTA: Same objection. Go ahead |
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Page 46 Page 48 1 A Yes. 1 A Correct. Is that something that you also went back over 2 Q And that started out as just a traffic stop? 3 at the class you went to in the last year? 3 A 4 A Yes. Q Was there anything about that call that you 4 5 were permitted to do because of your 5 Q All right. The Exhibit 1 we've been discussing, if you go back to that and you go internship? In other words, was there anything 6 7 back to that third paragraph, it says that 7 about that incident that you would have been 8 8 "Officer Wernecke stated that he had been an pulled out of if you had just been a normal 9 intern with the GBPD for two years so he was Step 1 recruit without having this internship? 10 allowed to go on some extra calls that most 10 A No. I have a question. May I use the 11 recruits wouldn't go to until Step 2, including 11 bathroom? 12 some weapons calls." Do you see that? 12 Q Yeah. Let's take a break. 13 A I do. 13 (Brief recess taken.) 14 Q And is that accurate; you were, in fact, 14 BY MR. TAHDOOAHNIPPAH: 15 allowed to go on some calls other -- that most 15 Q Officer Wernecke, when did you graduate from recruits wouldn't go on until Step 2? NWTC specifically? 16 16 17 A I don't know the day. It would have been 17 A Correct. 18 Q And who -- who made the decision to allow you 18 spring of 2018. 19 Q So May of 2018, approximately? 19 to do that? 20 A It would have been my trainer, Officer O'Brien. 20 A Approximately. 21 Q All right. Let's talk about October 19th, 21 Q Okay. Did he need to get approval for that 22 from someone above him, or did he just have 22 2018. At that time, you were in the first step 23 discretion to make that call? 23 of your five-step field training officer 24 program; is that right? 24 A It would be discretionary on him. 25 Q On Officer O'Brien? 25 A Correct. Page 49 Page 47 1 Q And your field training officer was Officer 1 A Correct. On the trainer, yep. O'Brien? So at Step 1, what are the types of calls that 3 most recruits are permitted to go on? 3 A Correct. 4 MR. GUNTA: We're talking about the 4 Q Okay. What are the duties and responsibilities 5 FTO training now? 5 of a field training officer as it concerns the 6 MR. TAHDOOAHNIPPAH: Yes. 6 7 7 A I don't know. You would have to ask the field MR. GUNTA: Okay. 8 THE WITNESS: On Step 1 you're doing 8 training officer. 9 a lot of geography of the city. You're going 9 Okay. Well, what did you understand his role 10 to things such as 911 hang-ups, civil disputes. 10 was as a field training officer? 11 BY MR. TAHDOOAHNIPPAH: To guide me on calls and help me when I needed 11 A 12 Q All right. So what were the -- anything else? 12 13 A Not that I can think of. Just various calls of Okay. And he's supposed to be supervising you? 13 Q 14 that nature. 14 A 15 Q What were the extra calls that you were 15 Q He's supposed to be observing what you're permitted to go on? Weapons calls is one 16 16 doing? 17 mentioned here, right? 17 A Correct. 18 A Correct. 18 Q Making sure you're doing things correctly? 19 Q Anything else that you were extra allowed to go 19 Α Correct. 20 20 O Correcting you when you make mistakes? 21 A Not that I can think of. 21 A Correct. 22 Q All right. On October 19th -- we're here 22 And at this time, October 19th, 2018, you were Q 23 because on October 19th, 2018, there was an 23 about five months out of graduation from NWTC? 24 incident involving a man named Jonathon Tubby, 24 A Approximately. 25 right? 25 Q And you were a few weeks out of passing the

Page 50 Page 52 1 five-week mini academy? of your ability? 2 A Yes. 2 A Correct. Q Did Officer O'Brien observe you searching the 3 So all the training and education you had was pretty fresh in your mind still? squad car? 4 5 A I don't recall if he did. 5 A Correct. 6 Q You started -- it says here, if you go to the Q All right. But as your field training officer, 7 part of his job would be to observe you bottom, the second-to-last paragraph of the 8 searching the squad car? 8 page we've been looking at, that you started 9 MR. GUNTA: Objection to the form of that afternoon at about 2:15 p.m.? 10 A Correct. 10 the question. Go ahead and answer if you can. THE WITNESS: It would be. 11 Q If you turn to the next page and go down to 11 12 about the third or fourth paragraph, it talks 12 BY MR. TAHDOOAHNIPPAH: about attending roll call at 2:15 p.m.? 13 13 Q Did he typically -- this is -- this is --14 A I see it, yes. 14 strike all that. 15 Q All right. After that it says that you had to 15 Searching the squad car, that's "make your squad ready for patrol." Do you see 16 something that you personally do every time 16 17 that? 17 that you're going to go out on patrol? 18 A I do. 18 A I do it every time I pick out a squad car. 19 Q And to do that, you searched the back seat of And did Officer O'Brien generally observe you 19 the squad car? 20 searching the squad car? 21 A I did. 21 A I don't recall. 22 Q When you search the vehicle, you're searching 22 Q So how long were you his trainee? for contraband? 23 A I was his trainee approximately a week and a 24 A Correct, contraband or weapons. There 24 25 shouldn't be anything in the back seat. 25 Q All right. How many times in that week and a Page 51 Page 53 1 Q But someone that was arrested by the previous half, approximately, did you go out on patrol? 1 user of that squad car that was in the back 2 A I don't know the number of days. As our 3 seat might have ditched something into the 3 rotation is five on, three off, I don't know 4 back? 4 the exact amount of days I would have been on 5 A It's not outside the realm of possibilities. 5 patrol with him. 6 Q So you searched to make sure that that didn't Q But more than five? 6 7 A happen? It would have been more than five, yes. 8 A Correct. 8 Q And you don't recall of that more than five 9 Q And your search followed department procedures? 9 times on patrol whether or not he ever observed 10 you searching the vehicle? 10 A I don't know if it's a procedure, but we're 11 explained to check the back seat, under the 11 A Yeah, I don't recall. 12 seats to make sure there's nothing in the car. 12 All right. At some point you stop a vehicle that's driven by Jonathon Tubby, right? 13 Q And are you explained how to -- like, an order 13 14 A I did. 14 of how to check it and where to look? 15 Q Between the time you searched the back seat and 15 A I don't recall if there's an order. the time you made the stop of Mr. Tubby's 16 16 Q Did they explain a procedure for checking the 17 vehicle, had anyone else been in the back seat 17 back seat of the car at all? 18 of that squad? 18 A I don't know if they expressed a procedure per 19 se; they just informed us to check the back 19 A No. 20 Q So at the time you stop him, you're confident 20 seat and make sure there's nothing in there. 21 there's no weapons in the back seat of that 21 Q All right. And that's what you did? 22 22 A Correct. squad car? 23 A Yes. 23 Q And there was no weapons inside? 24 A There was not. 24 Q At some point the decision is made to arrest 25 Q And you did follow the instructions to the best 25 Mr. Tubby; is that fair?

| 1 | | Page 54 | 1 | | Page 56 |
|--|---|--|--|------------------|--|
| 1 | | | 2 | 0 | any weapons on him. |
| 2 | Q | Who made that decision? I don't recall when or who made that decision. | 3 | Q | And you were confident in that search at that time? |
| 3 | А | | | A | |
| 4 | | I was under or I was informed that he had a | 4 | | At that time, I was. |
| 5 | | warrant. I don't recall if I confirmed that | 5 | Q | And to have missed something as large as a gun, |
| 6 | 0 | over the radio or if my trainer did. | 6 | | that would be pretty extraordinary, right? |
| 7 | _ | So the reason for his arrest was a warrant? | 7 | | MR. GUNTA: Objection to the form of |
| 8 | | A warrant and the marijuana that was found in | 8 | | the question. Go ahead and answer if you can. |
| 9 | | the car. | 9 | | THE WITNESS: It's not outside the |
| 10 | Q | , , , , , , , , , , , , , , , , , , , | 10 | DZ | realm of possibilities. |
| 11 | | searched? | 11 | | Y MR. TAHDOOAHNIPPAH: |
| 12 | A | | 12 | Q | But it would be a pretty extraordinary event to |
| 13 | Q | 3 3 | 13 | | miss something that big? |
| 14 | | He was. | 14 | | MR. GUNTA: Objection to the form of |
| 15 | | All right. You previously explained to me how | 15 | | the question. |
| 16 | | you were trained to search a suspect. Did you | 16 | | THE WITNESS: I guess can you define |
| 17 | | follow that training when you searched him? | 17 | | "extraordinary"? |
| 18 | | I did. | 18 | | Y MR. TAHDOOAHNIPPAH: |
| 19 | | Can you describe how you searched Mr. Tubby in | 19 | Q | Well, you said it's not outside of the realm of |
| 20 | | particular? | 20 | | possibility, right? |
| 21 | | I searched him the exact way that I had stated | 21 | A | |
| 22 | | earlier. | 22 | Q | But it is not something within the realm of |
| 23 | | All right, Did Mr did Officer O'Brien | 23 | | probability; it would be very unusual for |
| 24 | | observe that search? | 24 | | something that large to be missed, right? |
| 25 | A | He did. | 25 | | MR. GUNTA: I'm objecting to the form |
| | | Page 55 | | | Page 57 |
| 1 | Q | All right. Did he ever correct you or tell you | 1 | | of the question as to the use of the phrase |
| 2 | | that you had made some mistake in searching | 2 | | "that large," but subject to the objection, go |
| 3 |) | Mr. Tubby? | 3 | | ahead and answer. |
| 4 | A | No. | 4 | | THE WITNESS: Weapons come in various |
| 5 | Q | Did you find anything on Mr. Tubby when you | 5 | | sizes and shapes. They aren't as conventional |
| 6 |) | searched him? | 6 | | as a normal handgun, so it's possible that |
| 7 | A | There were some personal belongings of his. I | 7 | | individuals can hide items. |
| 8 | | don't remember what they were. I secured them | 8 | ВУ | Y MR. TAHDOOAHNIPPAH: |
| 9 | | in a bag that was put in my car. | 9 | Q | |
| 1 | _ | | | V | They can hide items; that's what you said? |
| 10 | Q | Okay. These were just some personal affects | 10 | A | They can hide items; that's what you said? Items, weapons. |
| 10 11 | | | | _ | |
| |) | Okay. These were just some personal affects | 10 | A | Items, weapons. |
| 11 |) | Okay. These were just some personal affects that he had on him? Correct. | 10 11 | A Q A | Items, weapons. They can hide even some weapons, right? Correct. Okay. But specifically talking about guns, |
| 11 12 | A Q | Okay. These were just some personal affects that he had on him? Correct. You don't remember specifically what they were? | 10 11 12 | A Q A | Items, weapons. They can hide even some weapons, right? Correct. |
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Page 62 1 it's a small TV screen, you could say, and it's 1 A Anything could happen. Any cooperative subject 2 on a swivel. It was positioned up towards --2 could turn on a second and try to engage me in 3 it would have been facing, if anyone, Erik 3 some way. 4 O'Brien. Q I mean, at any point in time I could lunge over 5 Q Okay. Do you know if Officer O'Brien was the -- the table right now and start to fight 5 looking at that monitor? 6 6 you, right? 7 A I don't know. 7 MR. GUNTA: Objection to the form of 8 Q So you were driving the squad car, and he was 8 the question. Counsel, you know that's sitting in the passenger seat? 9 argumentative under the definition. You're not 10 A Correct. 10 creating an argument; you're asking an 11 Q Okay. Up until the point that you pulled into 11 argumentative question. Objection to the form the sally port, had you seen, heard or sensed 12 12 of the question. Go ahead and answer it if you anything suspicious about Mr. Tubby at all? 13 13 14 A No. 14 THE WITNESS: It's not outside the 15 Q And you hadn't looked back at him in the back 15 realm of possibilities, sir. 16 of the squad at all? 16 BY MR. TAHDOOAHNIPPAH: 17 A No, I had not. 17 Q But you don't perceive a threat from me, do 18 Q And you hadn't looked at the monitor at all? 18 you? 19 A I had not. 19 A No. 20 Q So you didn't observe anything about him 20 And if you do, let me know because I don't want 21 putting his hands in front of his body? 21 to be threatening at all. 22 A No. 22 MR. GUNTA: I do. 23 Q So the next thing after you pull into the sally 23 MR. TAHDOOAHNIPPAH: Yeah. 24 port is that you get out of the squad car, open 24 BY MR. TAHDOOAHNIPPAH: 25 your trunk, and put your weapons inside the 25 Q If -- so what I'm talking about is whether you Page 63 Page 65 1 trunk? 1 perceive a specific threat, not whether a 2 2 A Once inside the sally port, yes, I removed my threat is in the realm of possibility, whether 3 weapons from me and put them in the trunk. 3 you perceive a specific actual threat coming And that's standard procedure when you get to from a person. Do you understand what I'm 4 4 Q 5 5 the sally port? saying? 6 A Correct. 6 Correct. 7 Q And the reason that you were willing to take 7 At that time, did you perceive any actual 8 off your weapons at that time is that you 8 threat from Mr. Tubby? 9 didn't perceive any sort of threat from 9 A No. 10 Mr. Tubby? 10 And if you had perceived an actual threat, 11 A I took them off at that point because it's our 11 would you have still put your weapons in the 12 policy. 12 trunk? 13 Q Okay. If you had perceived a threat from 14 Q But then you opened the door of the squad to 14 Mr. Tubby, would you still have taken your 15 weapons off and put them in the trunk? take him out, right? 16 A Can you read that back, please? 16 A I did. 17 (Last question read.) 17 Q And then what happens next? 18 THE WITNESS: I guess can you define 18 A I immediately noticed that it appears that his hands are in front of him balled up under his 19 in what -- what a "threat" would be? Because 19 20 anybody in the back of a squad car could try to 20 shirt, his jacket. His knees are angled 21 21 fight me as soon as we got them out. towards the door that I opened, and he is --22 BY MR. TAHDOOAHNIPPAH: 22 his upper body is leaning into the squad car. 23 Q All right. You said his hands were balled up 23 Q Well, did you think that he was going to fight 24 you the second that you tried to get him out at 24 under his shirt or jacket? 25 that time? 25 A Yes. It appeared that his hands were in front

Page 66 Page 68 of him underneath his jacket. Q So you were looking at Mr. Tubby through the 2 Q All right. At that point in time, did it look 2 back window of the squad car? 3 to you like his hands were a gun? 3 A Correct. 4 A At that time I just saw that his hands were Q And it says "Officer O'Brien shined his 4 bulged up under his jacket. 5 flashlight into the back window of the squad 6 Q Did it look like he had anything inside his and said Tubby had something in his hands." Do 6 7 hands or just that they were balled up? 7 you see that? 8 A I could only tell that they were balled up. 8 A I do. Q All right. What happened -- you shut the door Q Okay. So the first suggestion that Mr. Tubby had anything in his hands came from Officer 10 at that point in time? 10 11 A I had asked him to step out. At one point I 11 O'Brien? 12 put my hand on his knee to guide him out of the 12 A Correct. car, and at one point he makes the comment of 13 13 Q It says "Officer O'Brien said Tubby had a gun." 14 "I'll do it." 14 Do you see that? I do. 15 Q Okay. What did that mean to you at the time, 15 A "I'll do it"? 16 Q So at this time it's just you two in the sally 16 17 A Well, during this whole point, I'm confused as port with Mr. Tubby? 17 18 to why his hands are in front of him. It's 18 A Correct. something I don't normally see. It's not 19 19 O And Officer O'Brien specifically says that 20 something that someone normally does. Normally 20 Tubby has a gun? 21 people want to get out of my squad car. They 21 A Yes. 22 don't want to be sitting in there because it's 22 O Did he say he saw a gun or he just said he had 23 uncomfortable. When I heard that, I was 23 a gun? What specifically did he say? 24 24 A He just makes the statement "He has a gun." honestly a little confused. I was a little 25 frightened as to what he meant by that. Okay. Did you believe he had a gun at that Page 67 Page 69 Q So you didn't take any specific meaning out of 1 point in time? 1 that? 2 2 A At that point I did. 3 A I didn't know what to think of it. 3 Q And that's based on what Officer O'Brien said? Q All right. I want you to turn to the sixth Correct. 4 A Q Was there anything else that happened besides 5 page of the exhibit which is marked Page 5 at what Officer O'Brien said that led you to the bottom. If you go to about the fifth 6 7 paragraph, it starts with "Officer Wernecke believe that Mr. Tubby had a gun? 8 said he didn't hear Tubby say anything else." 8 A No, sir. 9 Do you see that? 9 Q And, in fact, in the next sentence it says 10 A Correct. 10 "Officer Wernecke said he couldn't see anything 11 Q It says "Officer Wernecke said he saw Tubby's in Tubby's hands." Do you see that? 11 12 hands balled up in his jacket, and he just saw 12 A Correct, I do. 13 a bulge there." Do you see that? So you were looking at the same vantage point 13 14 A I do. 14 as Officer O'Brien, but you didn't see a gun? 15 Q So that's what we just talked about, right? We weren't quite at the same vantage point. I 15 A You saw his hands, but you didn't see anything was directly behind where Mr. Tubby was 16 16 17 specifically inside of his hands? 17 positioned in the car, and Officer O'Brien was 18 A Correct. 18 somewhere to my right shining his flashlight. 19 Q It says "Officer Wernecke stated that he and 19 I didn't have my flashlight out, so I couldn't 20 Officer O'Brien were positioned behind the 20 see into the car. 21 trunk of the squad"; is that right? 21 Q Okay. So he's to your right, and he's shining 22 A That is correct. 22 the flashlight into the car? 23 Q That's where you guys were behind the car? 23 A Correct. 24 A Once we shut the door, that's where we were, 24 Q And it's illuminating the inside of the squad, 25 25 yes. right?

| | | D 09 | | D 100 |
|---|---|---|--|---|
| 1 | | Page 98 correct? | 1 | Page 100 MR. TAHDOOAHNIPPAH: Well, we can |
| 2 | ۸ | I | 2 | look at the whole thing. I'm just you can |
| 3 | Q | Or just I want you to pay attention to this | 3 | do it on your time. We can take a break. I'm |
| 4 | Ų | area of the video right here, okay? | 4 | asking questions about it right now. |
| 5 | A | | 5 | MR. GUNTA: All right. You go ahead. |
| 6 | Q | And I'm going to back it up, and we can look at | 6 | BY MR. TAHDOOAHNIPPAH: |
| 7 | Q | this in full speed. | 7 | Q All right. So this Mr. Tubby's head comes |
| 8 | | Okay. So would you agree that you | 8 | into this video at about nine seconds into the |
| 9 | | could see Mr. Tubby's head come into the frame? | 9 | video at 9:10:58 p.m., correct? |
| 10 | Α | If that's him in the video, yes. | 10 | MR. GUNTA: Excuse me a second. I'm |
| 11 | 0 | Well, would you agree that someone's head came | | just going to object. I just want to look at |
| 12 | * | into the frame? | 12 | the video and see what I can see on it. It's |
| 13 | Α | | 13 | small. Do you mind if I look at it? I just |
| 14 | 0 | Okay. And you were at the scene at that time, | 14 | can't tell what you're talking about. |
| 15 | | right? | 15 | MR. TAHDOOAHNIPPAH: Yeah. |
| 16 | Α | Correct. | 16 | BY MR. TAHDOOAHNIPPAH: |
| 17 | Q | All right. And the person whose head came into | 17 | Q Well, the thing that's important for our |
| 18 | | the frame was on the ground, right? | 18 | purposes is, Officer Wernecke, can you tell |
| 19 | A | | 19 | what I'm talking about? |
| 20 | Q | Well, you were there. Was there someone on the | 20 | MR. GUNTA: Oh, no, it's also |
| 21 | | ground at that time? | 21 | important that I know what you're talking |
| 22 | A | Yes. | 22 | about, Counsel. So I can't see what you're |
| 23 | Q | Okay. Was there who was that person? | 23 | referring to, and I'm just telling you that. |
| 24 | A | Mr. Tubby would have been on the ground. | 24 | You're asking my client questions about that, |
| 25 | Q | Okay. Was there anyone else on the ground at | 25 | and I don't see what you're referring to. I |
| | | Page 99 | | Page 101 |
| 1 | | | | |
| | | the time? | 1 | have a right to see that. |
| 2 | A | Not to my knowledge. | 2 | have a right to see that. BY MR. TAHDOOAHNIPPAH: |
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| Page 102 | | | Page 104 |
|--|--|---------------------|--|
| 1 Q Well, this is you by the concrete barrier, | 1 | 0 | And you haven't jumped over the concrete |
| 2 correct? | 2 | _ | barrier yet, right? |
| 3 A Correct. | 3 | A | I have not, yes. |
| 4 Q And this is before you've jumped over it, | 4 | Q | |
| 5 right? | 5 | _ | fired lethal rounds? |
| 6 A Correct. | 6 | A | No. |
| 7 Q And so you jumped over it before he was shot, | 7 | Q | Okay. You're there. Are you looking at |
| 8 right? | 8 | ~ | Mr. Tubby? |
| 9 A When I jumped over the wall, it was | 9 | Α | • |
| approximately when he was shot. I don't know | 10 | | vision was obscured by the wall there. I can't |
| exactly which second those rounds started | 11 | | tell. |
| coming. | 12 | Q | |
| 13 Q Okay. Because a few minutes ago we you | 13 | | you saw Mr. Tubby laying like that on the |
| testified that he was shot at 9:11:01 p.m. Do | 14 | | ground? |
| you remember that? So are you changing your | 15 | A | |
| testimony now, or are you still confident that | 16 | Q | Do you know how he got on the ground at this |
| 17 (it was 9:11:01?) | 17 | • | particular moment? |
| 18 A I believe I said approximately around that | 18 | A | • |
| 19 (time. I don't know the exact time.) | 19 | Q | In between your I mean, your head is looking |
| 20 Q Okay. So as far as when he was shot, it was | 20 | • | in his direction, right? |
| when you had completed jumping over the | 21 | Α | I'm looking in that general direction, yes. |
| concrete barrier, as you were jumping over the | 22 | Q | Okay. Is there something that obscures your |
| concrete barrier or before you jumped over the | 23 | | line of sight there? |
| concrete barrier? | 24 | A | I can't see into from there the wall is |
| 25 A It was as I was jumping over and falling to the | 25 | | there. I can only see what's directly in front |
| | | | |
| Page 103 | | | Page 105 |
| 1 ground. | 1 | | of me. |
| ground. Q Okay. And in this frame of the video, you | 1 2 | Q | of me. Okay. So the wall this portion of the wall |
| ground. Q Okay. And in this frame of the video, you haven't started jumping over the concrete | | Q | of me. Okay. So the wall this portion of the wall right here is what you're talking about? |
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| | Page 114 | | | Page 116 |
|--|--|--|-----------------------|--|
| 1 | danger of being killed to fire regardless of | 1 | | jail. |
| 2 | any potential crossfire? | 2 | Q | But you don't know why they did that? |
| 3 | MR. GUNTA: And kill another officer | 3 | A | |
| 4 | is what you're asking. Go ahead and answer. | 4 | Q | • |
| 5 | MR. TAHDOOAHNIPPAH: If you could | 5 | | person that opened it? |
| 6 | answer the question as posed. There's been a | 6 | A | I don't. |
| 7 | lot of interjections and | 7 | Q | 3 |
| 8 | MR. GUNTA: I withdraw the statement | 8 | | of your squad car was because the back window |
| 9 | from the record. You can leave it, obviously. | 9 | | had been broken, right? |
| 10 | MR. TAHDOOAHNIPPAH: Can you please | 10 | A | |
| 11 | repeat the question? | 11 | Q | , & |
| 12 | (Last question read.) | 12 | A | |
| 13 | MR. GUNTA: Go ahead and answer the | 13 | Q | 1 2 |
| 14 | question. | 14 | | after he was sprayed with OC spray, right? |
| 15 | THE WITNESS: I believe it would be | 15 | A | |
| 16 | justified. However, I didn't want to | 16 | Q | |
| 17 | potentially fire at an officer. | 17 | | force him out of the vehicle? |
| 18 | BY MR. TAHDOOAHNIPPAH: | 18 | | I don't. |
| 19 | Q So even if you were fearful, you weren't so | 19 | Q | Do you know who made the decision to try to |
| 20 | fearful that you were willing to risk | 20 | | force him out of the vehicle? |
| 21 | crossfire? | 21 | | I don't. |
| 22 | MR. GUNTA: Objection. That isn't | 22 | Q | 1 , |
| 23 | what he said. Go ahead and answer. | 23 | | police officer to try to force a potentially |
| 24 | THE WITNESS: I was fearful for my | 24 | | armed person out of a vehicle? |
| 25 | life. I didn't want to pose a danger to | 25 | A | No. |
| | | | | |
| | Page 115 | | _ | Page 117 |
| 1 | Officer O'Brien. | 1 | Q | Using your common sense, does it seem like a |
| 2 | Officer O'Brien. BY MR. TAHDOOAHNIPPAH: | 2 | Q | Using your common sense, does it seem like a good idea to force someone out of a vehicle if |
| 2 3 | Officer O'Brien. BY MR. TAHDOOAHNIPPAH: Q So your fear wasn't enough that you would have | 2 3 | | Using your common sense, does it seem like a good idea to force someone out of a vehicle if that person were armed? |
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Page 1
           IN THE UNITED STATES DISTRICT COURT
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            FOR THE EASTERN DISTRICT OF WISCONSIN
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 4
 5
     Susan Doxtator, Arlie Doxtator,
     and Sarah Wunderlich, as
 6
     Special Administrators of the
     Estate of Jonathon C. Tubby,
 7
                  Plaintiffs,
 8
 9
                  vs.
                            Case No. 1:19-cv-00137-WCG
     Erik O'Brien, Andrew Smith,
10
     Todd J. Delain, Heidi Michel,
     City of Green Bay, Brown
11
     County, Joseph P. Mleziva,
12
     Nathan K. Winisterfer, Thomas
     Zeigle, Bradley A. Dernbach,
     and John Does 1-5,
13
14
                    Defendants.
15
16
             DEPOSITION OF: LT. THOMAS ZEIGLE
17
18
         TAKEN AT: Brown County Sheriff's Office
             LOCATED AT: 2684 Development Drive
19
                    Green Bay, Wisconsin
2.0
                       January 10, 2020
21
                   11:02 a.m. to 3:57 p.m.
22
23
24
     REPORTED BY PAULA A. ERICKSON, C.S.R., R.P.R.,
25
     C.L.R.
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Page 10

- 1 Q. Did you have any other career before 2 you started in law enforcement?
- A. Just some factory jobs and, you know,
- 4 manual labor jobs like that.
- Q. All right. And you are a lieutenant
- 6 with the Brown County Sheriff's Office?
- A. I am.
- 8 Q. How did you -- How does one become a
- 9 lieutenant?
- A. It's basically a promotional procedure.
- 11 Usually you start as a patrolman and work your
- 12 way up to a sergeant and then a lieutenant, so
- 13 it was basically a seniority process.
- Q. Is there lock step promotions or do you
- 15 need to go through some sort of promotion
- 16 process?
- 17 A. There is a promotional process.
- Q. Is that something that you are
- 19 nominated for or that you volunteer for?
- 20 A. You volunteer for it.
- 21 Q. And is there a -- what is the process
- 22 for each promotion?
- A. To be a sergeant, it's an interview.
- 24 When I got promoted, it was an interview and to 24 line when it comes to the SWAT team or is there
- 25 be honest with you, I can't remember what else.

- 1 like a multi-agency SWAT team; is that accurate?
- A. Yes.
- 3 Q. Describe to me how that works.
- A. So we have members from the Brown
- 5 County Sheriff's Office, we have a member from
- 6 the Oneida Tribal Police Department on our team,
- 7 we have four members from the De Pere Police
- 8 Department on our team and we have two members
- 9 from the Ashwaubenon Public Safety Department on
- 10 our team.
- 11 Q. Whenever a SWAT team is deployed, do
- 12 officers from all those agencies are they all
- 13 deployed simultaneously or does it just depend
- 14 on who is available?
- 15 A. Whoever is available. You know, if
- 16 somebody is on vacation, they won't be able to
- 17 respond; but generally if we put out a call,
- 18 whoever is available will respond.
- Q. How long have you been a SWAT
- 20 commander?
- 21 A. A SWAT commander for four years, I
- 22 believe.

1

- 23 Q. All right. So are you the top of the
- 25 someone above you?

Page 11

- 1 I know since then they changed the process.
- 2 Q. Okay.
- A. But to become promoted to a lieutenant,
- 4 it was an interview with the sheriff and the
- 5 chief and the captain.
- Q. How long have you held the rank of
- 7 lieutenant?
- A. Since 2012.
- Q. Do you have a certain set of officers
- 10 that you supervise?
- A. No. Not really. The reason I say that
- 12 is because the lieutenants work a different
- 13 shift than the patrolman, so basically it
- 14 rotates and we just supervise whoever is on our
- 15 shift at that certain time.
- Q. Okay. So you have responsibility over
- 17 a certain shift and whichever officers are
- 18 working that shift?
- 19 A. That's correct.
- Q. Some other witnesses have testified
- 21 that you are the SWAT commander for the Brown
- 22 County SWAT team; is that accurate?
- 23 A. That's correct. Yes.
- Q. Some other witnesses have also
- 25 testified that the Brown County SWAT team is

- Page 13 A. No. I am the top of the line.
- 2 Q. How did you become the SWAT commander?
- A. Through attrition. Basically I started
- 4 out as an operator, worked my way up to being
- 5 assistant team leader, team leader, assistant
- 6 commander, then commander.
- 7 Q. And, again, is that something that you
- 8 apply for or something that someone else
- nominates you for to be the SWAT commander?
- 10 A. Usually you are basically nominated to
- 11 do it by the outgoing commander.
- 12 Q. Uh-huh.
- 13 A. So it's usually just on his word
- 14 basically.
- 15 Q. How many years total have you been on
- 16 the SWAT team?
- 17 A. Since 2002.
- 18 Q. What types of additional training do
- 19 SWAT team members receive?
- A. Our team trains an additional 16 hours
- 21 per month and the way we break it down is we
- 22 have two six-hour blocks and then a separate
- 23 four-hour block and we train everything from
- 24 firearms to less lethal tactics to --
- 25 THE REPORTER: I'm sorry. To what

4 (Pages 10 - 13)

Page 18 Page 20 1 A. If I could back up. 1 rear door and send in the canine who I now know Q. Yeah. 2 is Mr. Tubby to try to, you know, control the A. On my way over, I had called the 3 situation that way. 4 communications center on the radio and asked if Q. Was the idea that the canine would 5 the ranking officer on the scene for Green Bay 5 remove him from the vehicle? 6 could give me a call just to give me some more A. That was my assumption, yes. 7 information; and if I remember correctly Q. All right. What did you do next? 8 Lt. Allen from the city called me. 8 A. I told him I did not agree with that 9 Q. Okay. What did you guys talk about on 9 plan. 10 the phone? 10 Q. All right. Why did you not agree with 11 A. Basically the same thing that 11 that plan? 12 Lt. Buckman and I talked about. Just what they A. The reason I didn't agree with it is 12 13 had, the situation, so I told him I was just a 13 because based on the information that I got 14 couple minutes out at the time, so... 14 based on my training I assumed that to be a Q. And what did he tell you about what the 15 barricaded situation, so basically a standoff 16 situation was? 16 with an individual that's armed or potentially 17 A. The same thing. That there was a 17 armed; and so, ultimately, it's a barricaded 18 gentleman in the back of a Green Bay squad car, 18 subject, no different to me than a barricaded 19 that was making gestures and, you know, led 19 subject in a house and there is different ways 20 officers to believe that he had a gun. 20 that we approach that type of situation. Q. All right. After you talked to 21 And the plan that they had actually put 22 Lt. Allen on the phone, what happened next? 22 the safety of Mr. Tubby in more danger than my A. I arrived on scene at the jail. Parked 23 plan and it also put the danger of the officers 24 outside the sally port and -- Do you want me to 24 in harm's way, too, which it might have been a 25 keep going? 25 contingency plan but not a good primary plan. Page 19 Page 21 Q. Yeah. Q. All right. You have mentioned that 1 2 A. Okay. Got out of my squad car. At the 2 that plan would put Mr. Tubby in more danger. 3 time, I was parked probably about 15 yards away 3 Why is that? 4 from the entrance for the sally port. I saw A. Well, what we are -- what they would 5 Officer Eric Allen approaching me so I -- and I 5 have been doing if they would have put that plan 6 think Lt. Allen was right behind him so I talked 6 in motion is they would have been approaching 7 with Eric and basically got the same synopsis 7 him and basically would have caused the 8 that I got from Lt. Allen and Lt. Buckman, that 8 confrontation instead of the plan that I had 9 there was a guy in the squad car in the sally 9 come up with, it gave him options to surrender. 10 port that was thought to have a gun. 10 Q. So in other words, they are removing 11 Q. When you arrived, were there any 11 him or approaching the vehicle would be 12 other -- was Sgt. Katers there? 12 escalating the situation? A. Correct. A. He was. 13 Q. Were there any other Brown County 14 Q. And with a barricaded subject, you want 15 Sheriff's Office officers there? 15 to deescalate the situation? A. I believe Sgt. Dernbach was already A. Yes. You want to give them options. 17 there. 17 You want to give them the opportunity to 18 Q. Anyone else? 18 surrender. A. I don't recall. 19 Q. All right. So why, and I apologize if Q. All right. What happened next? 20 20 I am asking again but I may have missed it, why A. And I don't recall if it was Eric or 21 exactly is it more dangerous for Mr. Tubby 22 Lt. Allen, I think it might have been Lt. Allen 22 himself if they approach the vehicle with the 23 but they had said that they had come up with a 23 canine? 24 game plan to use the bear cat, a team of 24 MR. SPARKS: Just object to form. 25 officers and a canine to basically open up the 25 Asked and answered. Go ahead.

6 (Pages 18 - 21)

Page 22

- 1 THE WITNESS: Okay. So I can answer?
- 2 MR. SPARKS: Yes.
- 3 THE WITNESS: Okay. Just because when
- 4 they would have put that plan in motion when
- 5 they would have started approaching the vehicle,
- 6 it gives basically Mr. Tubby no opportunity to
- 7 surrender. I mean, once they release that
- 8 canine, you know, it's very hard to recall that
- 9 canine so it didn't give him an option to
- 10 surrender if that makes sense.
- 11 BY MR. TAHDOOAHNIPPAH:
- 12 Q. Yeah. In other words, once the plan is
- 13 going, at a minimum he is going to be injured by
- 14 the canine?
- 15 A. That's correct.
- 16 Q. All right. And you said it also
- 17 created more danger for the officers themselves?
- 18 A. That's correct.
- 19 Q. Why was that?
- 20 A. Through my training through the
- 21 National Tactical Officers Association, we
- 22 adhere to what's called Safety Priorities and
- 23 those Safety Priorities help us to plan any type
- 24 of SWAT operation and basically there is four
- 25 steps.

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Page 23

- The first one is hostages, second one
- 2 is civilians or innocent citizens, third is
- 3 officers and then fourth is the suspect or
- 4 suspects, whatever you have.
- 5 So by putting Green Bay's plan in
- 6 motion, by approaching an individual that
- 7 obviously they thought had a weapon, they would
- 8 have been putting the safety of the suspect
- 9 above the officers which in tactical situations,
- 10 you never do, so; and, again, that would have
- 11 been done just by approaching that vehicle.
- 12 Q. And so the reason you are specifically
- 13 saying that is because of the risk that he would
- 14 shoot the officers as they approached?
- 15 A. That's correct.
- 16 Q. You mentioned some specific training in
- 17 from something called the National Tactical
- 18 Officers -- and I missed the last part. What
- 19 was it?
- 20 A. Association.
- 21 Q. Association. All right. How much
- 22 training have you received regarding barricaded
- 23 subjects in particular?
- 24 A. Are you asking for like the number of
- 25 classes I have taken or --

l Q. Just trying to get kind of a general

Page 24

- 2 sense however you would describe it.
- 3 A. Sure. I have received an extensive
- 4 amount of training from the National Tactical
- 5 Officers Association. I have been to multiple
- 6 training sessions throughout the country. I
- 7 have been to conferences throughout the country,
- 8 Salt Lake City, Pittsburgh.
- 9 Q. All right. And that's all in
- 10 connection with the National Tactical Officers
- 11 Association?
- 12 A. That's correct.
- 13 Q. Have you received training from some
- 14 other source regarding barricaded subjects?
- 15 A. Yeah. I have also received training
- 16 from Alan Brosnan. He owns -- it's Tactical
- 17 Energetic Entry Systems I believe, so he is a --
- 18 you know, he trains SWAT officers, too, on
- 19 barricaded tactics.
- 20 Q. Any other source of training for
- 21 regarding barricaded subjects?
- 22 A. No. Most of it has been through the
- 23 I'll call it the NTOA. That's just the acronym
- 24 for it, so we don't have to say it all the time,
- 25 but they are the foremost experts in the

Page 25

- 1 country, probably the world as far as teaching
- 2 law enforcement tactics or SWAT-related tactics.
- 3 Q. And NTOA are you like a member of that
- 4 association?
- 5 A. I am an individual member and we also
- 6 have a team membership, too.
- 7 Q. Brown County Sheriff's Office has a
- 8 team membership?
- 9 A. Correct.
- 10 Q. All right. When did you first start
- 11 participating with NTOA?
- 12 A. When I got on the team in 2002.
- 13 Q. On the SWAT team that is?
- 14 A. Correct. Yeah. We had a team
- 15 membership, and I believe I got my individual
- 16 membership in 2007, 2008, somewhere in there I
- 17 have got it. It's in the records or database.
- 18 Q. And you continue to be a member today?
- 19 A. I am
- 20 Q. All right. You mentioned that they
- 21 have conferences that you have attended?
- 22 A. Yes.
- Q. Is that annual or semi-annual kind of
- 24 thing?
- 25 A. They have an annual conference every

7 (Pages 22 - 25)

Page 28 1 year. Usually somewhere, you know, some major 1 A. They were. 2 city. One year it was in Phoenix, one year was 2 Q. Did they attend that? 3 Salt Lake, one year was Pittsburgh, so I believe 3 A. I believe they were, yes. 4 I have been to -- and then one year in 4 Q. Okay. The NTOA Commander II, was the 5 Milwaukee, so I have been to a total of four 5 Green Bay Police Department SWAT team also 6 conferences. 6 invited to that? 7 Q. All right. And you mentioned some A. I believe they were. 8 other training that they do. What was that? 8 Q. And did they attend that? A. Yeah. So they will put on specific 9 A. I don't recall that specific one. 10 training, you know, where they will teach, you 10 Q. Do you know if the Green Bay SWAT team 11 know, what they call resolution of a barricaded 11 has a team membership to the NTOA? 12 subject or they will teach them, you know, a 12 A. I'm not sure on that. 13 five-day course on hostage rescue, you know. 13 Q. The courses that you have traveled to 14 They also teach it's called NTOA 14 go to in other places, how many of those have 15 Commander I, so there is actually a lot of 15 dealt with barricaded subjects? 16 different subjects that they teach. A. So the one conference I took in 17 Q. All right. And are those something 17 Milwaukee, that was five days just dedicated to 18 that they bring to you or that you go to them? 18 barricaded subjects; and the courses that I have A. We have hosted courses here with the 19 taken like in Phoenix, Salt Lake, and 20 NTOA, but I have also gone to different cities 20 Pittsburgh, I have attended basically four-hour 21 like Eau Claire, for example, couple years ago. 21 blocks or eight-hour blocks of training and all 22 Q. How many courses has the Brown County 22 of those conferences touched on barricaded 23 Sheriff's Office hosted for NTOA here? 23 subjects. A. Sorry about that. So, personally, I Q. Okay. Based on your training in 25 believe I have set up training here for NTOA 25 dealing with barricaded subjects, what is the Page 27 Page 29 1 three or four different classes. 1 proper protocol for engaging such a subject? 2 MR. SPARKS: Object to form. 2 Q. Were any of those on barricaded 3 subjects specifically? 3 MR. GUNTA: Objection to form. Go 4 ahead. I'm sorry. I apologize. A. So the NTOA Commander I, which is a MR. SPARKS: Just object to form. 5 five-day course, they have a specific block 6 within that NTOA within that week that deals MR. GUNTA: Object to form. THE WITNESS: So I can answer? 7 just with barricaded subjects. Q. All right. And was that something that MR. SPARKS: Go ahead. 9 you had put on here at the Brown County THE WITNESS: Generally it usually 10 Sheriff's Office? 10 starts out with a patrol-based response, so A. Yes. 11 patrol officers will arrive on scene, you know,

12 Q. And you attended that? 13 A. I did.

14 Q. Any other of the courses that you 15 hosted here at Brown County deal with barricaded

17 A. We also hosted an NTOA Commander II 18 course which I believe covered barricaded

19 subjects as well.

Q. All right. Any other of the courses 21 you hosted here deal with barricaded subjects?

A. I don't believe so.

Q. All right. The NTOA Commander I

24 course, was the Green Bay SWAT team invited to

25 attend that?

12 take into account, you know, what the weapon is, 13 you know, what the specific threats are. 14 They'll look for staging areas, media, staging 15 and stuff like that; but they'll basically set 16 up an inner and outer perimeter. Inner 17 perimeter protects the actual structure itself. From that point what they will do is, 19 you know, after they have all their assets in 20 place, they'll generally make a phone call to 21 someone inside the structure and they'll try to 22 get them to come out peacefully. 23 If that doesn't happen and there is 24 a -- you know, it depends on the situation but 25 if patrol isn't successful in calling out the

8 (Pages 26 - 29)

1 subject themselves, that's when the activation

- 2 is made for the SWAT team to respond.
- 3 BY MR. TAHDOOAHNIPPAH:
- 4 Q. All right. You mentioned that the
- 5 inner perimeter is to contain the structure
- 6 holding the suspect him or herself?
- 7 A. Correct.
- 8 Q. What is the outer perimeter? Where
- 9 should that be established?
- 10 A. The inner perimeter is just basically,
- 11 again, is based on what the individual may have
- 12 for a weapon, whether it's a rifle or pistol but
- 13 the outer perimeter is meant to keep the public
- 14 safely back basically to just give a safety
- 15 buffer between the inner and the outer
- 16 perimeter.
- 17 Q. All right. When the SWAT is activated,
- 18 what are the -- what's the next step after that?
- 19 MR. SPARKS: Same objection. Go ahead.
- THE WITNESS: Okay. So what SWAT will
- 21 do is we'll respond and basically take the place
- 22 of the perimeter personnel. We'll also get
- 23 what's called an emergency team in place which
- 24 consists of about four or five officers that are
- 25 in place and ready to go in case the subject

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2 A. Like I said, just to maybe see where we

Page 32

- 3 can see him in the house.
- 4 Q. To obtain some sort of visual contact,
- 5 is that the goal?

1 that is what?

- 6 A. Exactly. Yep.
- 7 Q. All right. You mentioned that maybe
- 8 five minutes would be an appropriate interval of
- 9 time. Is there some sort of criteria you use to
- 10 evaluate what the proper interval of time is?
- 1 A. It usually deals with location, time of
- 12 day, whatever the criminal offense is. So all
- 13 of that really we take into account based on how
- 14 long we are going to try to -- we don't call it
- 15 negotiating in that situation. We call it
- 16 communication.
- 17 O. Communication?
- 18 A. Yeah.
- 19 Q. All right. So after you create this
- 20 diversion to try to get a visual, what's the
- 21 next step?
- A. Next step if we want to we might
- 23 actually even break out a window or two.
- Q. And what's the purpose of that?
- 25 A. Same thing, to see if we can get any

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- 1 does come out and wants to surrender or else if
- 2 he comes out and points a gun, they are ready to
- 3 respond.
- 4 So at that point, you know, depending
- 5 on the situation, we may give the subject, you
- 6 know, if it's a criminal barricade situation,
- 7 NTOA standards, some teams will give them five
- 8 minutes and once they get on scene, they get
- 9 their resources in place, they'll make contact.
- 10 Sir, you have five minutes to surrender;
- 11 otherwise, you know, the next step is taken.
- 12 Q. And what would the next step be?
- 13 A. Next step is it might be something as
- 14 simple as throwing a rock on the window or
- 15 throwing a rock on the roof just trying to get
- 16 him to react.
- 17 Q. Some sort of display of force of some
- 18 kind?
- 19 A. It could be; otherwise, it could just
- 20 be some type of diversion.
- 21 Q. Okay.
- A. Just to see if he opens up a blind just
- 23 to see if, you know, we can see where he is at
- 24 in the house or --
- 25 Q. All right. And then the purpose of

- 1 type of reaction. If we haven't had any type of
- 2 contact with them, you know, sometimes we have
- 3 individuals that are incapacitated due to
- 4 alcohol so he might be passed out, just to see
- 5 if we can get some type of reaction to start
- 6 some type of dialog with them.
- 7 Q. All right. So the purpose of trying to
- 8 get a visual or get a reaction is to determine
- 9 if they are conscious and to try to establish a
- 10 dialog?
- 11 A. Ultimately, yes.
- 12 Q. All right. What's the next step,
- 13 breaking a window, you haven't been able to
- 14 establish a dialog. Let's say you do establish
- 15 a dialog. You break a window, they pop their
- 16 head out and you are talking to them and they
- 17 are responding, would you then negotiate?
- MR. SPARKS: Object to form. Go ahead.
- 19 THE WITNESS: Okay. Again, it depends
- 20 on what type of situation it is. If it's --
- 21 we'll say it's a criminal barricade. If it's a
- 22 criminal barricade, guy in a house, we will
- 23 generally do what's called a five-minute
- 24 warning. We'll establish contact with them and
- 25 let them know you got five minutes to come out

Page 46 Page 48 1 A. Yes. 1 of those guys that will take a suggestion, you Q. And you don't like that because none of 2 know, based on rank and just go with it and get 3 these other steps would have been taken yet? 3 the job done. Lt. Allen, like I said, I was met 4 with some contention from him. A. Correct. 5 Q. All right. So what do you tell Officer Q. When you say met with some contention, 6 and Lt. Allen? 6 what specifically did he say or do? A. So they tell me that what their plan A. He, if I remember right, he said that 8 was is to use a number of officers, go up, open 8 he had talked with Commander Gering who was the 9 up the door with the canine and use the canine, 9 Green Bay police commander on the phone. 10 then send him into the rear seat with Mr. Tubby. 10 Lt. Gering was not on scene and they thought the Based on everything that I just 11 best plan was to introduce the canine so when I 12 explained here, I didn't think that was -- I 12 told him my plan, he didn't seem to like that. 13 thought that was possibly a contingency plan but Q. When you say he didn't seem to like it, 14 not our best laid plan, not our best primary 14 I mean, what specifically whether what he said, 15 plan. And that's what my plan was when I was 15 his body posture, anything, what makes you say 16 talking to them is to basically treat it like a 16 that? 17 barricaded subject. 17 A. His body language and just his overall Q. And the training that you just 18 demeanor. 19 discussed that all is talking about a barricaded Q. What was your response to that? 20 subject within a building? A. I just took note of it and said that 20 21 A. No. 21 basically this is the plan that we are going to 22 use because a situation like that, somebody has 22 Q. No? 23 A. A building, house, or vehicle. 23 to step up and make a decision; and based on my Q. So it includes -- specifically would 24 years of experience, I thought that was the best 24 25 include a situation where someone was barricaded 25 plan to go with. Page 47 Page 49 1 within a vehicle? Q. Did you tell him that you had authority A. It would. 2 to make the final decision? 3 Q. That's something that the NTOA A. With that situation, my objective was 4 specifically goes over? 4 to basically build a unified command and work 5 A. Yes. 5 with Lt. Allen to basically solve the problem, Q. All right. What did you -- you say you 6 so it was a county building so ultimately, you 7 told them you didn't like the idea, right? 7 know, like I said, somebody has to be in charge. 8 A. Uh-huh. 8 I was the lieutenant that day but as far as the 9 Q. What was their response? 9 operational portion of the planning, my intent 10 MR. SPARKS: I'm sorry. Was that a 10 was to work with Lt. Allen to get this to a 11 yes? 11 peaceful resolution. 12 THE WITNESS: I'm sorry. Yes. 12 Q. But did you assert yourself as being in 13 BY MR. TAHDOOAHNIPPAH: 13 command because of the fact that it was a County 14 Q. What was their response? 14 building? 15 MR. GUNTA: Objection to the form but 15 A. And I'm sorry. Are you talking about 16 go ahead. 16 like overall incident commander, like in charge

17 THE WITNESS: I was met with a little 17 of the whole operation or --18 bit of I guess contention from Lt. Allen. He Q. Well, sure. You know, like we said, 19 seemed upset that I wasn't I guess on board with 19 you have a -- you should understand every 20 their plan. 20 question, so let me make sure that I understand 21 BY MR. TAHDOOAHNIPPAH: 21 your answer so I can ask good questions. 22 Q. Uh-huh. 22 Did you view yourself as in charge of A. I have known Officer Allen for a number 23 the overall situation? 24 of years and Eric he is a very solid guy, good 24 A. Yes. 25 guy. Lieutenant -- he didn't seem -- he is one 25 Q. Okay. And why was that? 13 (Pages 46 - 49) Case 1:19-cv-00137-WCG File or 15 February February File of 17 Document 120-15

Page 50 Page 52 1 A. Because it was a County facility, So my plan was just to handle it like a 2 County parking lot, it was a County building. 2 barricaded situation. You know, we couldn't see 3 Green Bay was there and like I said, they had a 3 in the back window and so we weren't a hundred 4 lieutenant supervisor in charge so my intent was 4 percent sure if Mr. Tubby could hear, so one of 5 to work with him; but, yeah. Overall as far as, 5 the things like if you break down a front door 6 you know, cutting off access to the building and 6 or you break out that back window, you at least 7 stuff like that, I mean, that's what I was there 7 have some type of communication port where you 8 for and to help, like I said, resolve the 8 know that the subject can hear you. 9 situation. 9 Q. So your plan was to break out the rear 10 window of the squad vehicle? Q. All right. Did you tell Lt. Allen that 11 you felt that you were in charge of the overall A. Correct. Q. Did you have any -- did you 12 situation because it was a County property? A. I don't know if I said I was in charge 13 specifically discuss with anyone the next steps 14 because it was a County property, but I told him 14 after breaking the window? 15 that since it's a County facility that I would 15 A. I don't recall. 16 have been in charge. Q. In your mind, did you have a plan as to 17 Q. All right. With respect to kind of 17 next steps after breaking out the window? 18 formulating a specific plan of how to engage A. I did, yes. 19 Mr. Tubby, did you feel that you yourself were Q. And what was that? 19 20 in charge of that as well? A. Just like I laid out as far as the 21 A. Yes, but, again, working with Lt. Allen 21 barricade response. So break out the back 22 to, you know, whether he had any other insight 22 window. Introduce the OC, see what type of 23 because when I told him my plan, he didn't seem 23 reaction we would get. That also gives him an 24 to -- he seemed, you know, I guess disappointed 24 opportunity to, you know, to talk, to surrender. 25 for a word but that didn't mean that we couldn't Whenever you use OC or CS in any type Page 51 Page 53 1 go forward and try to work together, you know, 1 of environment whether it's a house or a 2 with other tactics or techniques or 2 vehicle, you have got to give that individual an 3 communication, you know, we could try. 3 escape route or a way out; and the reason I 4 I mean, it wasn't just shutting down 4 picked the back window is because if you were to 5 and saying, you know, I am the sole -- you know, 5 break that out, it gives him a way out or an 6 my word goes. I mean, that's -- we have never 6 escape route. 7 done that with any departments we have worked 7 If we would have broken out the side 8 with. It's always been a, like I said, 8 window, there were bars there and there would 9 basically a unified command situation. We work 9 have been no way for him to get out of that car. 10 together. Q. All right. Why do you need to give the Q. So even though he seemed disappointed, 11 person an escape route if you are introducing OC 12 did he specifically object to the plan that you 12 spray or CS? 13 offered? A. Because if you don't give them an 13 A. No. Not that I recall. 14 escape route or a way to get out, they are Q. Okay. And what specifically was the 15 basically caged in an environment that's, you 16 plan that you offered? 16 know, flooded with OC or CS. They are basically 17 A. So if I break it down for you, so we 17 trapped in there. 18 had officers surrounding the squad car and 18 MR. TAHDOOAHNIPPAH: Let's go off the 19 basically the scene. We had -- so we had 19 record for a second. 20 presence taken care of as far as intervention 20 (Whereupon, a discussion was had 21 options. Dialog, the officers had been trying 21 off the record.) 22 to communicate with Mr. Tubby for, I don't 22 BY MR. TAHDOOAHNIPPAH: 23 remember exactly when the call came in or when 23 Q. So when you give the person an escape 24 they got to the sally port, but they would have 24 route, what -- is the hope that they'll use the

25 escape route to surrender or just that they are

25 started dialog there.

Page 66 1 Sgt. Dernbach. Was he on the scene when the 1 doing, it would have taken eyes off of whatever 2 they were watching at the time and taking their 2 bear cat went into the sally port? 3 eyes off their area of responsibility. A. Yes. Q. All right. And we talked a little bit Q. Couldn't you have gotten on the radio 5 and said, okay, everyone stay where you are but 5 before about sharing the plan, and I believe you 6 said the plan was shared with Katers and 6 here's the plan, what's going to happen? 7 Dernbach; is that right? A. Again, the individuals that were aware 8 A. I am not a hundred percent sure if 8 of the plan were the ones that needed to be 9 Dernbach was aware of the plan but Katers was. 9 aware of the plan, so... Q. So if you weren't aware of the plan, Q. Okay. Did you do anything to try to 11 share the plan with Dernbach? 11 you didn't need to know what the plan was? 12 A. If he wasn't aware -- If he wasn't on A. No. That's not what I am saying. 12 Q. Well, some people they didn't get the 13 scene when it was talked about, I don't remember 13 14 if I had a chance to talk to him or not. I 14 plan shared with them, right? 15 MR. SPARKS: Object to form. Lack of 15 don't recall. Q. All right. So same question for 16 foundation. 17 Mleziva, did you do anything to share the plan 17 THE WITNESS: Correct. 18 BY MR. TAHDOOAHNIPPAH: 18 with him? A. No. He would not have been part of the Q. And so I am just curious as to why it 20 planning process. 20 wasn't shared with them and is it your testimony Q. So when he arrived, there was no 21 that they didn't need to know? 22 attempt made to communicate to him this is 22 A. No. 23 what's about to happen or this is what's going 23 Q. Okay. So why didn't they know then? 24 MR. SPARKS: Object to form. Go ahead. 24 on? 25 THE WITNESS: Because, again, to tell 25 A. No. Page 67 Page 69 1 Q. Why not? 1 each individual officer what the game plan was, A. Because we had our primary players in 2 was just not in the best interest of what was 3 place that were going to move forward with the 3 going on at the time. 4 plan as far as people being in the bear cat. 4 BY MR. TAHDOOAHNIPPAH: Q. Wouldn't it be important for all the 5 Q. And why not? 6 officers on the scene to know what to expect? 6 A. Just for times' sake, it would have MR. SPARKS: Object to form. Go ahead. 7 7 been a big undertaking to go around to each THE WITNESS: There was a number of 8 individual officer and say this is what we are 9 officers on scene and to go to each individual 9 going to do. 10 officer with a game plan, that wouldn't -- I 10 Q. And you couldn't have used the radio to 11 just tell everyone at one time? 11 don't know what I want to say here. I don't 12 want to say it would have been a waste of time, 12 A. Again, at the time I didn't think that 13 but to go to each individual officer and tell 13 was necessary. 14 them the exact game plan, that just would have

Q. So you just didn't think it was

14

15 necessary that everyone on the scene knew the 16 plan?

MR. SPARKS: Object to form.

18 up and said one time here's what we are going to 18 THE WITNESS: No. That's not what I am 19 saying. I just said I am saying that I didn't

20 think it was important. I thought the main

21 players that were part of the plan --

22 BY MR. TAHDOOAHNIPPAH:

23 guys -- some of the guys probably had an area of 23 O. Uh-huh.

> 24 A. -- were aware but some of the other

25 people that were around there, no. They didn't

15 been a waste of time in my eyes.

16 BY MR. TAHDOOAHNIPPAH:

19 do and have everyone go do it?

Q. Why not?

A. Based on the situation, no.

24 responsibility or certain job and to bring

A. Just because it would have taken

25 everybody away from whatever job they were

Q. You couldn't have just huddled everyone 17

17

20

21

Page 70 Page 72 A. Correct. 1 know the plan. 1 2 Q. And you didn't think it was necessary Q. And you were personally observing that? 3 3 for them to know the plan even though they were Q. And I think before we used the 4 at the sally port? 5 Exhibit 21 in front of you to talk about what 5 MR. GUNTA: Objection to the form. 6 your general area was during the incident. Do 6 MR. SPARKS: Join. 7 THE WITNESS: Yeah. I guess I don't, 7 you recall that? 8 A. I do. 8 no. 9 9 BY MR. TAHDOOAHNIPPAH: Q. Okay. Do you have access to a pen of 10 some sort or if you can just grab that pen and Q. Even though if something were to happen 11 they are there and they are on duty, they would 11 just circle kind of the area that you were in 12 during the night of October 19, 2018. 12 be required to act? A. I'm sorry. Just to confirm, so when I 13 MR. SPARKS: Object to form. Vague. 14 Calls for speculation. Go ahead. 14 saw Sgt. Katers break out the window or just 15 during the entire time? 15 MR. GUNTA: Join. Q. The general area you were in the entire THE WITNESS: Do you want me to answer? 16 16 MR. SPARKS: Yeah. Go ahead. 17 time. 17 18 18 THE WITNESS: I'm sorry. Can you A. Okay. So it would have been in the 19 paved driveway portion here and then partially 19 repeat the question one more time. 20 MR. TAHDOOAHNIPPAH: Can you please 20 in the grass area right there. Q. All right. So about how many feet is 21 read it back? 21 22 (Whereupon, the record was read 22 it from this part of the pavement to the 23 entrance to the sally port? 23 as requested.) 24 MR. SPARKS: Just real quick to clarify 24 MR. SPARKS: Same objection. Go ahead. 25 what part of the pavement are you talking about 25 MR. GUNTA: Renewed. Page 71 Page 73 1 there? 1 THE WITNESS: Again, in a situation 2 like that, officers are required to respond 2 BY MR. TAHDOOAHNIPPAH: 3 based on what is put in front of them, so... 3 Q. The part of the pavement that's been 4 BY MR. TAHDOOAHNIPPAH: 4 circled. Q. So it's not important that they know 5 MR. SPARKS: There are several parts of 6 what to expect other people will be doing? 6 the pavement circled here. I just want to make 7 MR. SPARKS: Same objection. 7 sure it's clear on the record. 8 MR. GUNTA: Join. 8 BY MR. TAHDOOAHNIPPAH: THE WITNESS: Again, based on the I'll 9 Q. Do you understand the question? 10 call it the totality of the circumstances, the 10 A. I do. Just to confirm so my distance 11 situation, I informed the major players that 11 here to the apron of the concrete here? 12 were involved in putting forward the plan what 12 Q. Yeah. 13 the plan was. I didn't have time to let A. So at any time based on where I was 14 everybody know exactly what was going on. 14 moving so probably ten feet. 15 BY MR. TAHDOOAHNIPPAH: 15 Q. And the distance from the apron to the 16 concrete where you were, I mean, whether you are Q. Okay. But in an ideal world, you'd 17 agree that it would be important for everyone 17 here on the left side or your right I guess or 18 that was there to know what the plan was? 18 the other left side, that's ten feet regardless, 19 MR. SPARKS: Same objection. Go ahead. 19 right? THE WITNESS: In an ideal world, 20 A. Approximately. Q. After Sgt. Katers breaks out the glass, 21 correct. 22 BY MR. TAHDOOAHNIPPAH: 22 what happened next? A. So after Sgt. Katers broke out the Q. After the window was breached,

24 glass, if I recall correctly Officer Allen was

25 in the turret and he grabbed his OC spray that

24 Sgt. Katers used some sort of implement to knock

25 out the glass; is that correct?

Page 74 1 was on his duty belt and sprayed it into the 1 it went into the back seat area. 2 back of the squad car through the broken out Q. All right. What happened next? 3 back window. 3 A. At some point in there I talked with 4 Q. Okay. Didn't someone hand him a larger 4 Lt. Allen and at the time, he did not have 5 canister to use? 5 anybody -- any of his officers with the 6 A. After that, correct. 6 capability of using less lethal like a 12-gauge 7 Q. After that. So he first sprayed his 7 beanbag round or anything like that so I made 8 own in there; is that right? 8 the suggestion to him that you might want to get 9 A. That's correct. 9 one of your officers with less lethal on the Q. And then someone handed -- was there a 10 scene. 11 problem with his that someone needed to hand him 11 Q. Okay. And what did he do? 12 the larger canister? 12 A. He -- I don't know the officer's name A. Yes. The smaller canister that, you 13 but one of the officers ended up accessing their 14 know, we commonly wear on our duty belts it 14 12-gauge which fires a 12-gauge beanbag round so 15 doesn't spray that far and there is not that 15 they had it on scene at that time. 16 much concentration at all. It's more of a Q. All right. When Officer Allen sprayed 17 stream, so it's just not that much OC that gets, 17 that OC spray, had anyone directed him to do 18 you know, put into the back there. Q. So the larger canister has the 19 A. I don't -- I don't know. I am not 20 advantage of having more propulsion and also a 20 sure. 21 higher concentration of OC itself? 21 Q. All right. So it wasn't you directed A. That's correct. 22 him to do it? Q. All right. So who got the larger 23 A. No. 24 canister for him to use? 24 Q. You didn't said, okay. Enough time has 25 A. I did. 25 passed waiting for compliance. Go ahead and use Page 75 1 Q. Where did you get that from? 1 the OC spray now? A. I got that from the back of our 2 2 A. No. 3 lieutenant's squad car. Q. Did you think enough time had elapsed Q. You said our lieutenant's squad car? 4 to move on to the next step based on your 5 A. Uh-huh. 5 training from the NTOA? Q. You share that -- do all the A. I thought enough time had elapsed. 7 lieutenant's share the squad car? 7 Q. How much time had elapsed? 8 MR. SPARKS: Is that a yes? 8 A. I guess from when are you referring to? 9 THE WITNESS: Yes. 9 Q. From when the window was broken to when 10 BY MR. TAHDOOAHNIPPAH: 10 OC spray was introduced into the vehicle. Q. So that's the one that you drove to the 11 12 scene? 12 I recall correctly. 13 A. Correct. Q. So you went back and got it from

A. This is a guesstimate. Ten seconds if Q. All right. What happened after the 14 less lethal shotgun was given to the Green Bay 15 wherever it was parked and brought it into the 15 police officer? 16 sally port? A. Again, I don't know where that was --17 A. I went and retrieved it and then 17 where that occurred, you know, between the OC 18 actually it got handed up to Officer Allen 18 deployment with the larger canister so I don't 19 through different officers. 19 know exactly where that occurred but after Q. Okay. Then what happened next? 20 20 Officer Allen sprayed in the back seat, at some A. Officer Allen sprayed the larger 21 21 point Mr. Tubby got up out of the back seat and 22 canister in through the broken rear window. 22 onto the trunk of the squad car. 23 Q. Okay. Did it hit Mr. Tubby, the stream 23 Q. All right. Could you see him from 24 of OC spray? 24 where you were at that point in time? 25 A. I don't know if it hit him, but I know 25 A. I could see him, yes, jump out from the

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1 suicidal subject?

- 2 A. No.
- 3 Q. Okay. Why did you consider him to be a
- 4 criminal barricade versus a barricaded suicidal
- 5 subject?
- 6 A. Because at the time he was -- his
- 7 actions he was holding the other officers all at
- 8 bay and not complying with their legal, lawful
- 9 order to take him into custody.
- 10 Q. So it was the fact that he had -- I
- 11 mean, suicidal subjects they may not comply with
- 12 officers that are around them, right?
- 13 A. Correct.
- 14 Q. So was it more the fact that he was
- 15 already in custody or that there were -- is that
- 16 what made you think of it as a criminal
- 17 barricade situation?
- 18 A. That was part of it, yes.
- 19 Q. I think, you know, when we talked about
- 20 criminal barricade before, we talked about some
- 21 certain crimes like someone that commits a
- 22 homicide and then is barricaded in the house.
- 23 Do you recall that?
- 24 A. I do.
- 25 Q. Does the severity of the crime factor

Page 114 A. I did not.

Q. Did you look into the sally port at 3 all?

- 4 A. I don't recall. When I arrived at the
- 5 scene, Officer Allen and Lt. Allen met me, if I
- 6 refer to Exhibit 21 again --
- 7 Q. Sure.
- 8 A. -- over in this grassy area there is a
- 9 parking lot over on my left-hand side here so
- 10 they met me over there and that's when we
- 11 initially made contact with each other.
- 12 Q. All right. So you don't recall if you
- 13 had gone and looked what's inside the sally port
- 14 before you talked to them?
- 15 A. I don't recall. I don't believe I did,
- 16 but I don't recall for sure.
- 17 Q. More than likely didn't just given the
- 18 layout of the scene?
- 19 A. Correct.
- 20 Q. Going back to the continuum for dealing
- 21 with a criminal barricade, I believe you
- 22 testified the first kind of step in that
- 23 continuum is that the patrol officers are on the
- 24 scene. Do you recall that?
- 25 A. I do.

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Page 11

- 1 into the analysis of how to handle a criminal
- 2 barricade situation?
- 3 A. Absolutely.
- 4 Q. Do you know what crime Jonathon Tubby
- 5 was arrested for?
- 6 A. I do not.
- 7 Q. Did you do anything at the time to try
- 8 to find out?
- 9 A. After the fact after, I don't know if
- 10 it was the night after, I became aware of what I
- 11 believe it was a warrant for failure to report
- 12 to jail.
- Q. So but you didn't find that out until
- 14 after the fact?
- A. Correct.
- Q. And you didn't do anything on the scene
- 17 to determine what his crime had been that he was
- 18 being arrested for?
- 19 A. No.
- Q. And that he was not something that
- 21 Lt. Allen or Officer Allen told you?
- A. Not that I recall, no.
- Q. Before you talked to Lt. Allen, had you
- 24 gone into the sally port to assess the situation
- 25 for yourself?

- Q. Okay. As it relates to Jonathon Tubby,
- 2 when you got there, were patrol officers on the 3 scene?
- 4 A. Yes.
- 5 Q. The next step in the continuum I think
- 6 you said was to make sure that there was an
- 7 inner perimeter. Do you recall that?
- 8 A. I do.
- 9 Q. Did you assess whether there was an
- 10 inner perimeter?
- 11 A. I did.
- 12 Q. Okay. Was there an inner perimeter?
- 13 A. There was.
- 14 O. And how was that formed?
- 15 A. If I can refer to Exhibit 21 here.
- 16 That was formed by officers basically creating
- 7 an arc around the actual scene and the squad car
- 18 with guns drawn.
- 19 Q. Okay. Below this where this diagram
- 20 cuts off there is a door, a garage door, right?
- 21 A. Correct.
- Q. And when the bear cat came in, that
- 23 garage door was left open, was it not?
- A. I believe it was, yes.
- 25 Q. So didn't that break the inner

Page 118 1 perimeter?

- 2 A. Based on the time of night, there was
- 3 really no activity back in that area. Our major
- 4 focus, again, was just I am sure the deputy or
- 5 officers' focus was this way but, yes, this was 6 open, so...
- 7 Q. So there was a break in the perimeter?
- 8 A. It wasn't -- if I recall, I don't know
- 9 if there was other officers covering that
- 10 officer visually. I just did not see down that
- 11 way at all. There may have been officers
- 12 looking that way. I don't know.
- 13 Q. So you are not sure whether there was a
- 14 break in the inner perimeter?
- 15 A. Correct. I don't know.
- 16 Q. Did you do anything that night -- The
- 17 next step in the continuum is having an outer
- 18 perimeter; is that right?
- 19 A. Correct.
- 20 Q. Did you do anything to assess whether
- 21 there was an adequate outer perimeter?
- A. We did. We eventually put an officer
- 23 out on the entrance on St. Anthony Drive to
- 24 restrict access to the jail area.
- 25 Q. So that people with arrestees weren't

Page 12

- 1 were they trying to communicate with him for?
- 2 MR. SPARKS: Objection. Form. Vague 3 as to time. Go ahead.
- 4 THE WITNESS: When I arrived on scene,
- 5 I heard them -- you know, heard officers trying
- 6 to talk with Mr. Tubby.
- 7 BY MR. TAHDOOAHNIPPAH:
- 8 Q. All right. The next step in the
- 9 continuum is to activate a SWAT team; is that 10 right?
- 11 A. Sometimes based on the situation, yes.
- 12 Q. All right. How long are you supposed
- 13 to wait between the phone call step and the
- 14 activate the SWAT team step typically?
- 15 A. It really depends. Teams are
- 16 different. Like with my chain of command that I
- 17 have to make a call to the sheriff and let him
- 18 know exactly what's going on and then he makes
- 19 the determination whether the SWAT team is
- 20 activated or not.
- 21 Q. But that's obviously a practical
- 22 consideration for the Brown County Sheriff's
- 23 Office, right?
- A. I am not sure what you mean by that,
- 25 sir.

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- 1 constantly driving up the driveway and piling up
- 2 in a traffic jam?
- A. Correct or citizens coming to bail
- 4 somebody out or something of that nature.
- 5 Q. All right. So is that the sole -- is
- 6 that the one thing that's the only thing to
- 7 establish the outer perimeter?
- 8 A. That is, yeah, that's the main entrance
- 9 for the I guess the jail property, so, yes.
- 10 Q. All right. The next step in the
- 11 continuum we discussed for criminal barricades
- 12 you refer to as phone call. Do you recall that?
- 13 A. I do.
- 14 Q. Was there ever any attempt made to do a
- 15 phone call step with Jonathon Tubby?
- 16 A. No. Because the dialog that the
- 17 officers were engaged with him in was
- 18 sufficient. I mean, they were close enough in
- 19 distance where he should have been able to hear
- 20 what they were, you know, asking him to do so...
- 21 Q. And did they, in fact, try to
- 22 communicate with him?
- 23 A. I heard -- yeah. I heard officers
- 24 trying to communicate with him, yes.
- 25 Q. Okay. How long was that -- how long

- Q. Well, you are talking about what you as
- 2 a Brown County Sheriff's Office lieutenant have
- 3 to do to activate a SWAT team, right?
- 4 A. Correct.
- 5 Q. I am talking about the continuum for
- 6 the NTOA. Did they have a recommendation as to
- 7 how long you are supposed to attempt to engage
- 8 the subject in dialog before you start to
- 9 activity a SWAT team?
- 10 A. No. There is no -- they don't set a
- 11 standard for amount of time that you are
- 12 supposed to talk with somebody from a
- 13 patrol-based response up until you try to active
- 14 the SWAT team, no. They don't talk about that.
- Q. On this night of October 19, 2018, was
- 16 a SWAT team ever activated?
- A. Are you referring to Brown County SWAT
- 18 or Green Bay SWAT or both?
- Q. Any SWAT team.
- 20 A. I know Brown County SWAT was not
- 21 activated. As far as Green Bay SWAT, I do not
- 22 believe they were activated and the only reason
- 23 I am aware of that is because Commander Gering
- 24 was consulted at home, so; but as far as
- 25 actually getting the Green Bay SWAT team on

Page 126 Page 128 1 have been a mistake? 1 you know, having somebody walk up on scene and A. It's something that should have been 2 being involved in the situation so in my 3 analysis of what was going on, this is a 3 included, yes. 4 situation that needed to be addressed and Q. Like you have five minutes or we are 5 going to deploy OC spray, something like that? 5 controlled as soon as possible. MR. SPARKS: Object to form. Go ahead. 6 BY MR. TAHDOOAHNIPPAH: 7 THE WITNESS: We probably would not 7 Q. So part of your motivation was the 8 have let him know what we were exactly going to 8 desire to return the jail to normal operations? 9 do for a tactic, but we would let him know that MR. SPARKS: Object to form. 10 Mischaracterizes his prior testimony. Go ahead. 10 it's in his best interests to surrender or to THE WITNESS: That's part of it, yeah. 11 follow our commands. 12 Yes. 12 BY MR. TAHDOOAHNIPPAH: 13 BY MR. TAHDOOAHNIPPAH: Q. All right. At the time that window was 14 broken, was there some exigency that existed Q. Is there any other -- is there a second 15 that required a law enforcement to have a visual 15 sally port to this jail? 16 of him immediately? 16 A. No. 17 MR. GUNTA: Objection to the form of 17 O. Is there another entrance that could be 18 the question. Calls for a legal conclusion. 18 used? 19 MR. SPARKS: Join. 19 MR. SPARKS: Object to form. Vague. 20 THE WITNESS: Just so I am certain, can 20 THE WITNESS: To get into the jail? 21 you repeat your question? 21 BY MR. TAHDOOAHNIPPAH: MR. TAHDOOAHNIPPAH: Can you read it 22 22 Q. Is there any other entrance that could 23 back, please? 23 be used to get an arrestee into the jail? 24 24 (Whereupon, the record was read A. Yes. 25 25 Q. Just one other or multiple other as requested.) Page 127 Page 129 1 MR. GUNTA: Same objection. 1 entrances? 2 A. Commonly the two entrances that are MR. SPARKS: Join. THE WITNESS: We wanted to make sure, 3 used are, and I'll refer to Exhibit 21 again, 4 the primary entry is the sally port obviously 4 again, we talked about the communication portal 5 here and then there is a service door and it 5 and like I said, with the windows fogged up, you 6 needed as much visual input of what he was doing 6 would be to my right here, just off side, 7 outside the apron there. That will actually 7 in there as possible. So I guess from my perspective, there 8 lead -- actually, it's going to be up here. 9 That actually leads into the booking area, so 9 was an exigency to get that window out of the 10 way so we could see exactly what's going on and 10 that's a secondary point of entry. Q. Do you have any other points of entry 11 exactly what we have. 11 12 BY MR. TAHDOOAHNIPPAH: 12 for arrestees? 13 Q. What were you specifically concerned 14 about with not being able to see him? 14 Q. Is there like a public point of entry 15 A. The weapon. 15 for people that are visiting the jail? Q. So you wanted to see whether he had 16 A. There is. 17 actually had a weapon? 17 Q. How many of those are there? 18 A. Correct. 18 A. Just one main one. 19 Q. All right. Where is that in relation Q. Was there any other reason why you 20 couldn't have just waited longer to see if he 20 to the sally port? 21 would voluntarily surrender before breaking the 21 A. That is going to be, again, referring 22 window? 22 to Exhibit 21 it's not even on this actual 23 MR. SPARKS: Object to form. Go ahead. 23 exhibit but it's going to be way to the right, 24 THE WITNESS: The jail property is open 24 probably 20 yards, somewhere around there.

Q. Is it on -- Is the door facing the same

25

25 to the public and so we always run the risk of,

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- 1 pretty long time in a hostage situation and that 2 in a suicidal situation the officers themselves
- 3 might just leave, why force the situation to a
- 4 head with regard to Jonathon Tubby?
- MR. SPARKS: Object to form. Lacks
- 6 foundation. Go ahead.
- 7 THE WITNESS: Again, he had plenty of
- 8 opportunity to follow the officers' directions
- 9 and submit to arrest or, you know, get him the
- 10 help he needed or whatever was going to happen.
- 11 There was plenty of opportunity to do that.
- 12 BY MR. TAHDOOAHNIPPAH:
- Q. But with another hour don't you think
- 14 he might have just calmed down and voluntarily
- 15 surrendered?
- MR. GUNTA: Object to the form of the 16
- 17 question. Calls for speculation.
- 18 MR. SPARKS: Join. Join.
- 19 THE WITNESS: I don't know, sir.
- 20 BY MR. TAHDOOAHNIPPAH:
- 21 Q. Do you have any reason to think that he
- 22 would not have just calmed down if he had not
- 23 had extra time?
- MR. SPARKS: Object to form. Calls for 24
- 25 speculation.

1

- Page 139
- MR. GUNTA: Join. 2 THE WITNESS: I don't know.
- 3 BY MR. TAHDOOAHNIPPAH:
- Q. You don't have any reason to think that
- 5 he would not have just calmed down?
- MR. SPARKS: Same objection. Asked and 7 answered.
- 8 MR. GUNTA: Join.
- THE WITNESS: The way that he was
- 10 acting in the back of the squad car, I did not
- 11 foresee him calming down.
- 12 BY MR. TAHDOOAHNIPPAH:
- Q. Well, what specifically did you see him
- 14 doing in the back of the squad car that leads
- 15 you to say that?
- A. A lot of -- a lot of moving around.
- 17 You could hear him basically yelling back at the
- 18 officers and stuff like that. You know what
- 19 specifically he said, I can't remember but it
- 20 was animated.
- 21 Q. Well, wouldn't that eventually just
- 22 tire him out?
- 23 MR. SPARKS: Objection. Calls for
- 24 speculation.
- MR. GUNTA: Join. 25

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- 1 THE WITNESS: And I am not saying
- 2 Mr. Tubby was under the influence of something
- 3 but this could be just anybody
- 4 if somebody is under the influence of something,
- 5 they could go for days.
- 6 BY MR. TAHDOOAHNIPPAH:
- Q. You think they could go for days -- I
- 8 mean, if they are in the back of the squad car,
- 9 they are not taking anything additional, right?
- MR. SPARKS: Objection to form. 10
- 11 Incomplete hypothetical. Calls for speculation.
- 12 Go ahead.
- 13 MR. GUNTA: Join.
- 14 THE WITNESS: Not a hundred percent for
- 15 sure.
- 16 BY MR. TAHDOOAHNIPPAH:
- 17 Q. The fact that the doors to the sally
- 18 port were open, did that cause you any sort of
- 19 concerns as far as maintaining a perimeter?
- 20 MR. GUNTA: Objection. Asked and
- 21 answered.
- 22 THE WITNESS: If we would have closed
- 23 the garage doors, what in effect that would have
- 24 done is it would have had cut off any officers
- 25 potential escape route and that's one of the

- 1 last things that we want to do also, so it was
- 2 ultimately a double-edged sword in that
- 3 situation.
- 4 BY MR. TAHDOOAHNIPPAH:
- 5 Q. So was it a conscious decision to leave
- 6 the doors open?
- 7 A. Yes because I think if somebody would
- 8 have made the suggestion to close the garage
- 9 doors, that would have been the equivalent of
- 10 being in a cage basically again without any
- 11 avenue of escape for the officers.
- 12 Q. So if someone had suggested closing
- 13 them, would you have ordered them to remain
- 14 open?
- 15 A. More than likely.
- Q. What type of training does the SWAT
- 17 team receive on intervening to prevent excessive
- 18 force and now I am talking about the Brown
- 19 County SWAT team receive to prevent excessive
- 20 force by another officer?
- A. Since I have been on the team, that is
- 22 not a topic we have ever trained.
- Q. What type of training do Brown County
- 24 Sheriff's officers receive in general regarding
- 25 intervention to prevent excessive force?

Page 142 Page 144 1 A. I have been here since '94 and the one 1 Q. Jerry Johnson? 2 training that I personally received was during a A. Jerry Johnson. Yeah. I know Jerry. I 3 DAT instructor session. It's called -- the 3 believe he was riding with his daughter Christy 4 acronym is Defensive and Arrest Tactics. It's 4 Johnson. 5 what all DAT instructors in Wisconsin are 5 Q. Uh-huh. 6 taught. 6 A. Now it's Shield I think but, yeah. She 7 Q. So was the training to become an 7 was there I think; but other than that, a couple 8 instructor or is this training received from an 8 of the canines too, Joe Merrill and Scott 9 instructor? 9 Salzmann. A. It was to become an instructor, a DAT 10 Q. And did you know any of the other 11 instructor. 11 officers there? Q. And you received training during then A. I did not. 12 13 regarding intervention for -- to prevent 13 Q. How well do you know Officer Salzmann? 14 excessive force? A. Other than some joint SWAT training 14 15 that we do every year, I don't know him. 15 A. Correct. And what happens is the 16 instructor whose name is Gary Klugiewicz. Gary Q. Do you socialize with any of those 17 set up a scenario, basically a hands-on scenario 17 officers you just talked about outside of work? 18 with intervention options, you know, strikes and 19 stuff like that where the role player officer 19 Q. Do you ever notice Officer Salzmann's 20 basically went overboard and delivered excessive 20 tattoos on his forearm? 21 21 amounts of strikes and, you know, to the point A. No. 22 where it was, you know, extremely excessive; so 22 Q. What did you do today to prepare for 23 it was a scenario to see how the potential 23 your deposition? 24 instructors would react to that to see if they 24 A. I took a look at the notice of the 25 would step in and stop that type of behavior. 25 complaint, a portion of video footage from a Page 143 Page 145 Q. And so that's the one time that you 1 Green Bay squad car that was -- that were out 1 2 front by the sally port door. One other thing I 2 received that type of training? 3 A. Correct. 3 think. Oh, interview with Agent Waterstreet. 4 THE WITNESS: Can I ask something? Q. Did you review anything else? 5 5 MR. SPARKS: Sure. A. No. THE WITNESS: I said that was a DAT 6 Q. Did you meet with counsel? 7 instructor. That might have been in like a DAT 7 A. Prior to today? 8 instructor refresher where we went through 8 O. Yeah. 9 training after we got our instructor 9 A. Yes. 10 certification in DAT but it was DAT related, 10 Q. Was anyone else there? 11 so... 11 12 MR. SPARKS: Forrest, do you mind if we 12 O. When was that? 13 take a quick five-minute bathroom break? A. About a week ago. I can't remember the 13 MR. TAHDOOAHNIPPAH: Yeah. That's 14 specific date. 15 fine. 15 Q. All right. I am going to show you a 16 video clip which has been marked previously in 16 (Whereupon, a short recess was 17 taken.) 17 this case as Exhibit 3. Can you see that all 18 right from your perspective? 18 BY MR. TAHDOOAHNIPPAH: Q. All right. Lt. Zeigle, did you know 19 A. I can, yes. 20 any of the Green Bay police officers that were Q. All right. This officer right here on 20 21 the left side of the frame, do you see him? 21 on the scene? 22 A. I knew Officer Eric Allen, I knew 22 A. I do. 23 Lt. Nate Allen, one of the retired Green Bay 23 Q. Do you know who that is? 24 lieutenants was actually doing a ride-along that 24 A. Can we dim the light maybe?

MR. GUNTA: Just so you know, they are

25

25 night.

| | Page 1 |
|---|---|
| 1 | IN THE UNITED STATES DISTRICT COURT |
| 2 | FOR THE EASTERN DISTRICT OF WISCONSIN |
| 3 | |
| 4 | SUSAN DOXTATOR, ARLIE |
| | DOXTATOR, and SARAH |
| 5 | WUNDERLICH, as special |
| | administrators of the Estate |
| 6 | of Jonathon C. Tubby, |
| 7 | Plaintiffs, |
| 8 | |
| | -vs- Case No. 1:19-cv-137-WCG |
| 9 | |
| 0 | ERIK O'BRIEN, ANDREW SMITH, |
| | TODD J. DELAIN, HEIDI |
| 1 | MICHEL, CITY OF GREEN BAY, |
| | BROWN COUNTY, JOSEPH P. |
| 2 | MLEZIVA, NATHAN K. |
| | WINISTERFER, THOMAS ZEIGLE, |
| 3 | BRADLEY A. DERNBACH, and |
| | JOHN DOES 1-5, |
| 4 | |
| | Defendants. |
| 5 | |
| | |
| 6 | |
| 7 | Examination of THOMAS DENNEY, taken at |
| 8 | the instance of the Plaintiffs, under and pursuant to |
| 9 | the applicable Rules of Civil Procedure, before |
| 0 | SAMANTHA J. SHALLUE, a Registered Professional |
| 1 | Reporter and Notary Public in and for the State of |
| 2 | Wisconsin, at the Green Bay City Hall, 100 North |
| 3 | Jefferson Street, Green Bay, Wisconsin, on |
| 4 | December 18, 2019, commencing at 1:35 p.m. and |
| 5 | concluding at 6:33 p.m. |

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Page 10 Page 12 1 an incident that resulted in a fatal shooting 1 A I mean, quite frankly, I think he's just interested in my work and he enjoys the time we 2 at the Brown County Jail in the sally port 2 area. Do you recall that? 3 get together. So it's something he does maybe 3 4 once a year. 4 A I do. 5 Q All right. And you were personally on scene at All right. So you come to the sally port. Do 5 that time? you bring him with you when you come to the 6 7 A 7 sally port? Yes. 8 A That's correct. 8 Q Okay. Why did you first go to the sally port? 9 A So I heard Officer O'Brien get on the radio, Q All right. What happens after you arrive at and he said -- and I don't remember the exact 10 10 the sally port? So I pull into the sally port just inside the 11 words, but they were something to the effect of 11 A entrance door, park my squad car, get out, and 12 "We have a prisoner in the jail who is not 12 getting out of the squad car, and he has I see Officer O'Brien and Officer Wernecke 13 13 14 something in his hands." 14 behind a blue transport van that was parked 15 Q All right. And where were you at the -- where 15 near the entrance door. were you when you heard this? 16 Q Okay. Let's find -- in front of you there 16 17 A I was on the east side of Green Bay. I kind of 17 should be something that was marked previously 18 remember. I think I was in the area of 18 as Exhibit No. 1. University and Danz. So I was in the 19 A Okay. 19 20 neighborhood of five minutes away. 20 Q And if you turn to the last page of that 21 Q Okay. So as a sergeant, do you still go out on 21 Exhibit 1, there's a scene diagram that we have 22 patrol, or do you spend most of your time in 22 been using. 23 the office? 23 MR. GUNTA: That's it. 24 BY MR. TAHDOOAHNIPPAH: 24 A No, the vast majority would be out on patrol. 25 Q All right. So you happened to be within a few 25 Q All right. So there should be a vehicle that's Page 11 Page 13 marked "Denney" on it. Do you see that? 1 minutes, and you hear on the radio that there's 1 2 someone in the sally port with something in his 2 A I do. 3 hands? 3 Q Is that -- that's where you pulled in? 4 A Correct. 4 A Correct. 5 And it was not specified what that something 5 Q All right. And there's another squad that's marked "Haack" on there, and was that -- do you 6 6 7 A That's correct. 7 know Officer Haack? So you didn't hear "He has a gun in his hands," 8 Q 8 A Yes, that's where his patrol vehicle was 9 for example? 9 parked. 10 A I did not hear Officer O'Brien state over the 10 Q All right. So you pull in, and where do you radio "He has a gun in his hands." observe -- you said you observed O'Brien? 11 11 12 Q All right. And did you have a ride-along with 12 A O'Brien and Officer Wernecke. you at that time? Okay. Where were they when you pulled in? 13 Q 14 A I did. So they were standing right near the back side 14 A 15 Q Okay. Who was that? 15 of the transport van. And the back side would 16 A That was my father. 16 be the side closest to the entrance door that's 17 Q All right. What's his name? 17 shown in the diagram. 18 A William F. Denney. 18 Q Okay. What happened next? 19 Q All right. Was he ever a law enforcement 19 So I noticed that Officer O'Brien had his handgun out. I asked O'Brien just a very officer? 20 21 A No. 21 simple "What do you have?" or "What's going 22 Q What was his profession? 22 on?" 23 A He's an accountant. 23 Q Okay. When you asked him that, did you just 24 Q Okay. And why did he happen to be with you 24 roll down your window and shout at him? Did 25 25 that day? you ask him on the radio? How were you

Page 24 Page 22 1 there's a microphone that's attached just below 1 A Yeah, for SWAT operation he would be the 2 2 highest-ranking one there. my chin. 3 3 Q And everyone that's on duty that has their All right. And you mentioned you identified 4 radio on can hear what you're saying? these officers because you knew they were on 4 Yeah, assuming they're on the radio channel 5 the SWAT team and that SWAT-team training 5 A we're using they would hear it. 6 was -- gave them some unique training that 6 7 All right. So you requested SWAT to come in. 7 might be valuable in this situation? Q 8 What happened next? 8 A Correct. 9 Q Okay. What kind of training did you think was 9 A And I just want to clarify. I requested the 10 SWAT officers to come in because I knew that going to be helpful in this situation? 11 A Yep. So, you know, this situation, what we had 11 they had unique training and more experience in 12 12 here, it looked to me like an armed, barricaded some of these situations than others. I did 13 13 subject, and, really, the training from a not -- you know, I did not, like, activate a 14 14 patrol standpoint is pretty clear. If you have SWAT call. I wouldn't even have the authority 15 15 to do that. an armed, barricaded subject, that is probably 16 16 Q Okay. going to be a SWAT function and not a patrol 17 function. Patrol's function there would be to 17 A If I wanted to do that, I would call Lieutenant 18 18 Gering, tell him what the situation was, and contain and stabilize the situation to the 19 19 then he would make that call. So I asked them degree possible and wait for -- and notify 20 20 to come. SWAT. 21 Q Okay. I mean, that's an important distinction. 21 Q All right. So do you know what about their 22 Thanks for that clarification. 22 training enables them to better deal with an 23 23 armed, barricaded subject? So you requested specific officers 24 that you knew had the SWAT training to come in. 24 Α You know, I'm going to have to defer to them. 25 Who were those officers? 25 I'm not a trained SWAT operator, so I think I'd Page 23 Page 25 1 A So I -- Officer Salzmann was working, Officer 1 have to defer to them on that point. Eric Allen, Officer Joe Merrill, and Officer 2 2 O Okay. So you don't have any inkling, idea, 3 Matt Lynch were working. Those are the ones 3 notion at all about what kind of training they 4 that I can think of offhand. 4 might have that helps them with that 5 O All right. And their rank is all officer, so 5 particular --6 they all report to you or were reporting to you 6 A I mean, I know they have some different tools 7 7 on that day? at their disposal. Obviously, they're trained 8 8 A Yes. I think there is one important thing to in the use of the BearCat. They're trained in 9 know here is Eric Allen at the time was an 9 the use of the 40-millimeter gun which has some 10 assistant team leader. So the SWAT team has a 10 different options. It has the wooden dowel, 11 different rank structure than we have. They foam rounds. It has the gas rounds. Those are 11 12 have the commanders and then the assistant 12 some things that patrol doesn't do. You know, 13 13 they just have a bunch of other tools like commanders who are -- in this case, they're all 14 14 lieutenants. Then they have a team leader and that, and, like I said, if I speak any further, 15 a couple assistant team leaders. The team 15 I think I'm probably speaking about things I'm 16 16 not knowledgeable enough to talk about. leaders and the assistant team leaders often 17 act as, like, the leadership actually on the 17 Q All right. Do you know whether the SWAT team 18 ground. Oftentimes the commander might be in a 18 has any sort of training on crisis negotiation? 19 staging area or something like that, not 19 A Sure. So we have a crisis negotiation unit, 20 20 and that unit is attached to the SWAT team. actually on the ground in the middle of the 21 21 situation. So I guess it's worth noting that Although they're different people. You're 22 22 either a negotiator or a SWAT operator. You Eric was an assistant team leader. 23 Q All right. So between Salzmann, Allen, 23 are not both. 24 Merrill, and Lynch, Allen is kind of the 24 Q Okay. So Salzmann, Allen, Merrill, Lynch, none 25 higher-ranking officer amongst those four? 25 of those guys were negotiators?

Page 34 Page 36 1 squad car? 1 show up. There's steps that we need to take to 2 A My goal was to keep the situation stable so 2 keep the officers safe, and that, at that 3 point, is my function. Let's contain the 3 that we could ultimately move to a resolution, 4 and I thought part of keeping that situation 4 situation or maybe more accurately, let's keep 5 stable was getting some more officers there. I 5 the situation contained, and let's take all the 6 think it's also worth noting that at that point 6 steps that we can reasonably take to keep the 7 we believe that we have an armed, barricaded 7 officers safe. And in going through those 8 8 subject in a squad car. You know, initially we steps to keep the officers safe, that, to me, 9 9 had -- when I pulled up, we had three officers is a rapidly evolving situation. An armed, 10 even with access to a firearm on scene. None 10 barricaded subject is not something that we deal with every day. It's not everyday life. 11 of us had helmets on; none of us had ballistic 11 12 12 It's an unusual call at the Green Bay Police vests on. 13 13 Department. In the sally port, there's somewhat 14 limited cover. You know, the cover that we're 14 Q All right. So obviously there's a lot going on 15 working with there would be the engine blocks 15 in the sally port as far as officers are 16 16 of vehicles. That's what accurate cover would arriving, a BearCat's arriving. I assume some 17 be. So getting more officers there allows us 17 Brown County deputies started arriving at some 18 to do some things that we eventually did do. 18 point, right? 19 19 Α Correct. Many of the officers donned ballistic helmets 20 and ballistic vests that offer more protection 20 Q So there's a lot going on outside of Officer 21 21 than the vests that we wear every day. So Wernecke's squad car. Is there anything about 22 having more officers there, you know, does 22 Mr. Tubby or the interior of Officer Wernecke's 23 23 stabilize the situation, and it lets officers squad car that is evolving in any way on the 24 don some equipment that renders them safer than 24 night of October 19th, 2018? 25 25 A they were before. I guess there's two things that come to mind Page 35 Page 37 1 Q All right. When he's in the squad car, those 1 when you ask me that. One is, you know, we 2 doors are locked from the inside, right? He 2 could see that Mr. Tubby was moving around in 3 can't open the door and run out? 3 the back of the squad car. At some points it 4 A They're locked from the -- or they're locked, 4 looks like he's going towards the driver's 5 5 and you can't unlock them from the inside. side; at other points it looks like he's going 6 Q All right. And the space between the rear 6 towards the passenger side of the back seat. 7 7 seats and the front seats has some sort of At some points, too -- and this is early on 8 8 barricade, right? after I show up -- I can tell that he's -- what 9 9 A Correct. direction he's facing, that he's facing back 10 Q What is that barricade? 10 towards officers. That just tells me that he's 11 A Most of them are a combination of Plexiglas and 11 no longer buckled in or it certainly doesn't 12 12 look like he's buckled in and he appears to 13 Q All right. And then there's a window, rear 13 have free rein of the back seat. 14 14 window, right? I think the other thing that's 15 A Correct. 15 noteworthy here is that -- and this started 16 happening pretty quickly after I showed up. 16 Q So he's not getting out of the squad car unless 17 someone is opening the door or breaking the 17 The back seat -- the windows in the squad car 18 window? 18 started fogging up, and that got progressively 19 19 A I would say it's unlikely that he's getting out worse. Pretty quickly after I showed up, you 20 20 without that happening. know, we were really no longer able to see into 21 Q 21 All right. So what about the situation was the squad car because the windows were fogged 22 rapidly evolving? 22 up. That was obstructing our view. 23 A I mean, I guess to me the situation is -- I 23 MR. GUNTA: Excuse me. 24 go -- I mean, we're having a lot of officers 24 (Discussion off the record.) 25 showing up; we're having a lot of resources 25 BY MR. TAHDOOAHNIPPAH:

Page 54 Page 56 1 1 should just say I could see that there was a yes. 2 2 Q Until -- at some point it fogged up too much handgun and a taser inside the trunk, and as I 3 said, the trunk was open. This would -- this 3 where you could no longer see inside at all? 4 would be how we -- what we commonly do when we 4 Α Correct. 5 5 So you were -- nothing going on -- you could go to the jail. We exit our vehicle, we secure Q see nothing? 6 all of our weapons inside the trunk, and then 6 7 7 No. You know, at points I thought maybe we have the prisoner step out. 8 8 when -- I don't know if it was Jonathon was in So I could see that there was a taser 9 9 the right spot or the light was just right or and a handgun inside the trunk. I don't 10 remember -- at some point -- I don't remember 10 something, at points I thought maybe I would catch just a glimpse of him moving from one 11 11 if we communicated to the individuals in the 12 side to the other. I know that the officers on 12 BearCat "Hey, there's a gun and a taser in the 13 scene did some communicating. "Oh, I think he 13 trunk, and the trunk is open" or if we -- I 14 just moved all the way to the passenger seat." 14 would guess that we communicated that to them, 15 15 You'll hear some things like that if we have but at some point they obviously became aware 16 16 audio of that, but we basically couldn't see at that the gun and the taser were in the trunk. 17 17 all. So Officer Lynch and Officer 18 Q All right. You talked about the use of 18 Christensen exit the BearCat or the armored 19 vehicle with a ballistic shield. They use the 19 something called a "ballistic shield"? 20 20 A Hm-hm. ballistic shield as cover. They approach the 21 21 O What is a ballistic shield? back side of this patrol vehicle that Jonathon 22 was in. One of them has the shield; the other 22 So it's a shield that can be carried by an 23 23 officer, and it offers protection from a one has a handgun out. They shut the trunk to 24 24 secure the taser and the handgun inside, and firearm. It can stop bullets, and it can stop 25 more than the vest that officers wear. 25 then they retreat back into the BearCat. Page 55 Page 57 1 Q All right. You said before you could see 1 Q All right. So when you're holding it in front 2 Jonathon kind of moving around, and was that 2 of you, what areas -- kind of going vertically 3 through the back window of the squad car? 3 from your feet to your head, what areas does it 4 A I don't remember exactly what window I was 4 cover? 5 looking at. If I'm looking at the diagram, it 5 And I'll just say that I have absolutely no 6 appears that it would probably have to be the formal training with the ballistic shield, but 6 7 7 back window of the squad car. just based on my knowledge of law enforcement 8 8 Okay. But if the trunk is open, wouldn't that and common sense, you would want to cover the have obscured the back view anyways? 9 vital areas. So you would want to cover the 10 A Yeah, so I would not have a completely 10 head, the upper central nervous system where unobstructed view into that, but I think if you 11 11 all of your vital organs are. That would be 12 look at the diagram it's fairly clear that I am 12 the area you'd want to cover. You know, it's 13 offset, you know, at an angle and behind the 13 big enough probably to cover the entire upper 14 14 vehicle that Jonathon's in. So the trunk does half of someone's body and probably some of 15 15 not open to the point where it's resting on the their lower half, but not all of it. 16 rear windshield. The trunk opens to, you know, 16 Q All right. And you've personally seen 17 about a point, maybe around 90 degrees, and 17 ballistic shields in general, and you also 18 then there still is a space here between the 18 specifically saw the ballistic shield used that 19 trunk and the rear windshield. And, you know, 19 day, right? 20 where my patrol vehicle was at, I was at 20 A Correct. 21 actually a pretty good angle to have some view 21 O And I'm just trying to get a sense of how big 22 22 this is. So it seems like it's several feet through that space. 23 23 So it was partially obstructed by the trunk, tall? Q 24 but not fully obstructed? 24 A That sounds accurate to me. 25 A I could see into the back windshield partially, 25 Q Enough to cover the upper half of your body and

Page 66 Page 68 1 had taken place. 1 benefit of, you know, a year having passed and 2 Q All right. So at the time that all this was having found out more information, who was happening, did you have any way to know the 3 involved in the plan to approach the vehicle 4 plan that was being developed? 4 with the shield? 5 A No. At some point when the BearCat came in, 5 A So the version of events that I have been told Nate Allen came in and said that they were is that Nate Allen, Lieutenant Nate Allen, and 6 7 7 going to break out the rear window on the squad Eric Allen, the assistant team leader of the 8 8 SWAT team, had a discussion about how to car. He told me that. I didn't know what all 9 the steps of the plan were or what exactly was 9 approach the situation. They decided that it 10 10 would be wise to call Commander Gering. He's supposed to come next. I didn't know how that 11 plan was developed or who was involved in the 11 the -- he's Lieutenant Gering, but for SWAT 12 developing of that plan, but he did tell me 12 purposes, he's the SWAT commander, Commander 13 13 Gering. They called him, spoke with him about that as the BearCat is coming into the sally 14 14 port and the plan is being put into motion. it. Lieutenant Gering, he advised that he 15 15 Q All right. So no one ever got on the radio and thought it would be -- and I don't -- I 16 said, "Okay everyone, here's what's going to 16 probably don't know each specific step of the 17 17 happen: our plan is to do this, and then we plan, but his recommendation was that they make 18 18 expect this to happen; then we're going to do an approach on one of the doors of the -- the 19 this," and laid it all out so everyone would 19 rear doors of the vehicle with the ballistic 20 20 know what to expect as they observed it? shield, they open the door, and they use a 21 21 A No. No, no one got on the radio and laid out a canine to extract Jonathon using ballistic 22 cover. That was my understanding of the plan, 22 step-by-step detailed plan. 23 23 Q So when these guys start approaching the and, like I said, I may not know the specifics 24 24 of each and every step, but that was my vehicle, you're just looking at them like, 25 understanding of it. 25 "Okay, I guess they're approaching the vehicle Page 67 Page 69 1 1 now," and you --I then learned that after that plan 2 A 2 Like, approaching the vehicle with the shield? was discussed with Commander Gering, Lieutenant 3 Zeigle from the Brown County Sheriff's 3 Q With the shield, yeah. 4 4 A I mean, I wouldn't say I was surprised by that Department arrived on scene, and then, I guess, 5 interjected himself into the discussion or 5 because that -- to be honest with you, that 6 move made sense to me because the handgun and 6 interjected himself into the planning of how 7 7 the taser were in there and the trunk was wide this situation was going to be addressed. 8 8 open. That move made sense to me. Lieutenant Zeigle, as I understand it, said 9 9 that he did not like that plan and that he Like I said before, you know, I think 10 10 we have to keep the big picture in mind. At thought that we should do it a different way. 11 The different way was to break out the rear 11 some point the goal is to get Jonathon out of 12 windshield of the vehicle and go from there. 12 the car and get him safely into the booking 13 13 area. And before that happens, if I'm in I had heard that Lieutenant Zeigle 14 14 said that because the Brown County Jail is a charge of the plan, I would want that trunk 15 15 closed so that the weapons are secured and county facility and he works for the sheriff's 16 16 they're not even part of that situation. So department, the County, that we were going 17 17 when they came out with the shield and they to -- that he said that we had to do it his 18 18 approached the trunk, I guess that didn't way. That is my understanding of what 19 happened. Like I said, I was not present for 19 surprise me to see. That made sense to me. 20 O 20 It didn't surprise you, but it wasn't something that. My knowledge of that is based on two 21 that you knew was going to happen ahead of 21 reports prepared by Lieutenant Gering and 22 time? 22 Lieutenant Allen, and then just a firsthand 23 23 A conversation with -- when I say "firsthand," Correct. 24 24 Q And you say it makes sense to you, but it still it's a firsthand after the fact, a day-later 25 conversation with Lieutenant Allen about what 25 doesn't make sense to me because to me it just

| | D 74 | | | D 76 |
|--|--|--|-------------|--|
| 1 | Page 74 question? Do you want to hear it again? | 1 | | Page 76 hour? And by "you," just law enforcement in |
| 2 | THE WITNESS: I guess say it one more | 2 | | general. Was there any reason why law |
| 3 | time. I apologize. | 3 | | enforcement couldn't have waited one more hour |
| 4 | MR. TAHDOOAHNIPPAH: Just read it | 4 | | to see what would happen? |
| 5 | back, please. | 5 | Α | I mean, you'd have to ask the people that were |
| 6 | (Last question read.) | 6 | | involved in the plan and had all the |
| 7 | THE WITNESS: As I understand it, the | 7 | | information. You would have to ask them. |
| 8 | plan was certainly to break the window. I | 8 | Q | But from your perspective as a sergeant with |
| 9 | don't know if OC spray was I'm not 100 | 9 | | the Green Bay Police Department, is there any |
| 10 | percent sure if OC spray was something that was | 10 | | reason? |
| 11 | discussed outside of the sally port before we | 11 | A | I didn't see anything, like, a bright-line rule |
| 12 | ever brought the BearCat in. I don't know that | 12 | | that we use in law enforcement that said we |
| 13 | for sure. | 13 | | absolutely could not wait another hour. I |
| 14 | BY MR. TAHDOOAHNIPPAH: | 14 | | didn't see anything like that. I mean, what |
| 15 | Q All right. So those are the two plans. Both | 15 | | was the best way to handle it is a different |
| 16 | of them involve forcing Mr. Tubby out of the | 16 | | question, but I didn't see any circumstances |
| 17 | vehicle. Fair? | 17 | | arise that led me to believe that we had |
| 18 | A Yeah, I think that's accurate. | 18 | | reached some point where we could not wait |
| 19 | Q Okay. If he is armed, why would anyone want to | 19 | | another hour. |
| 20 | force him out of the vehicle? | 20 | Q | Was there any circumstances that arose that led |
| 21 | A You're going to have to ask the people that | 21 | | you to believe you couldn't have waited another |
| 22 | were involved in developing the plan of how | 22 | | four hours? |
| 23 | this situation was going to be approached, but | 23 | A | I didn't see any circumstances come up that led |
| 24 | what I would offer is that we have to keep in | 24 | | me to believe that we couldn't wait another |
| 25 | mind the big picture here, that at some point | 25 | | four hours, but what I would say is, like, we |
| | | | | |
| | Page 75 | | | Page 77 |
| 1 | Page 75 Mr. Tubby the goal is to take Mr. Tubby and | 1 | | Page 77 have to keep the big picture in mind. At some |
| 1 2 | Mr. Tubby the goal is to take Mr. Tubby and get him into the sally port as safely as we | 2 | | have to keep the big picture in mind. At some point, like, we need to move towards a |
| | Mr. Tubby the goal is to take Mr. Tubby and get him into the sally port as safely as we possibly can. That is the plan at some point. | | | have to keep the big picture in mind. At some point, like, we need to move towards a resolution, and I would say certainly |
| 2 | Mr. Tubby the goal is to take Mr. Tubby and get him into the sally port as safely as we possibly can. That is the plan at some point. I mean, we certainly can't leave him in the | 2 3 4 | | have to keep the big picture in mind. At some point, like, we need to move towards a resolution, and I would say certainly throughout the process, however long it may be, |
| 2 3 | Mr. Tubby the goal is to take Mr. Tubby and get him into the sally port as safely as we possibly can. That is the plan at some point. I mean, we certainly can't leave him in the back of the squad car forever. | 2 3 4 5 | | have to keep the big picture in mind. At some point, like, we need to move towards a resolution, and I would say certainly throughout the process, however long it may be, we want to be seeing progress towards that |
| 2 3 4 5 6 | Mr. Tubby the goal is to take Mr. Tubby and get him into the sally port as safely as we possibly can. That is the plan at some point. I mean, we certainly can't leave him in the back of the squad car forever. Q But you could leave him in there for an hour, | 2 3 4 5 6 | | have to keep the big picture in mind. At some point, like, we need to move towards a resolution, and I would say certainly throughout the process, however long it may be, we want to be seeing progress towards that resolution. Progress of some nature. |
| 2 3 4 5 6 7 | Mr. Tubby the goal is to take Mr. Tubby and get him into the sally port as safely as we possibly can. That is the plan at some point. I mean, we certainly can't leave him in the back of the squad car forever. Q But you could leave him in there for an hour, right? | 2 3 4 5 6 7 | Q | have to keep the big picture in mind. At some point, like, we need to move towards a resolution, and I would say certainly throughout the process, however long it may be, we want to be seeing progress towards that resolution. Progress of some nature. All right. You've said today, this afternoon |
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| 1 | Page 102 | | | Page 104 |
|--|---|--|---------------|--|
| 1 | here's a guy that's not really armed but | 1 | | really pretty simple. And to be honest with |
| 2 | instead is kind of faking this so he can go to | 2 | | you, at least from my perspective, they're |
| 3 | the hospital, the psych ward or somewhere else | 3 | | fairly commonly used among people who are |
| 4 | besides the jail that's 50 feet away? | 4 | | arrested. It's not an everyday thing, but it's |
| 5 | MR. GUNTA: I'm going to object on | 5 | | certainly not an unusual thing that would be |
| 6 | multiple grounds at this time. The question | 6 | | noteworthy to me. |
| 7 | has been asked and answered I've counted a | 7 | | So it never crossed my mind that he |
| 8 | minimum of four times. The speculation you're | 8 | | was doing this in an attempt to go to the |
| 9 | asking this officer to involve himself in is | 9 | | hospital, the psych ward or someplace besides |
| 10 | not based upon a legitimate hypothetical | 10 | | jail. I didn't perceive that as a reasonable |
| 11 | question, but is based upon an assumption and | 11 | | explanation of his conduct that night. |
| 12 | statements on your part. So I'm going to | 12 | | MR. TAHDOOAHNIPPAH: |
| 13 | object to the question, and I would like to ask | 13 | 0 | The way you kind of hedged that question makes |
| 14 | that you have explored this area now over | 14 | _ | me think that maybe you did think that he was |
| 15 | and over and over again for the past 20 | 15 | | faking it for some other reason? |
| 16 | minutes that you move on. However, subject | 16 | A | Yeah. So here's where I was at mentally. I |
| 17 | to my objections, you can go ahead and answer | 17 | | believed that the most probable explanation for |
| 18 | the question if you can. | 18 | | what Officer O'Brien had observed was that he |
| 19 | MR. TAHDOOAHNIPPAH: Do you remember | 19 | | was armed with a handgun and suicidal. That |
| 20 | what the question was? | 20 | | was what I believed was the most probable. |
| 21 | THE WITNESS: No, I'm going to need | 21 | | Okay. |
| 22 | you to restate it. | 22 | À | Did it enter my mind that it was possible that |
| 23 | MR. TAHDOOAHNIPPAH: Okay. Let's | 23 | | he did not actually have a handgun and he was |
| 24 | read it back with the stipulation that your | 24 | | faking it? That possibility entered my mind, |
| 25 | objections you just made apply to the question | 25 | | but it is not what I believed to most probably |
| | Page 103 | | | Page 105 |
| 1 | that is going to be read back. Fair? | 1 | | be true. |
| 2 | MR. GUNTA: That's fair. | 2 | Q | Okay. What caused that possibility to enter |
| 2 | | | | |
| 3 | MR. TAHDOOAHNIPPAH: All right. And | 3 | ~ | your mind? |
| 3 4 | MR. TAHDOOAHNIPPAH: All right. And I'm, of course, stipulating to the fact that | 3 4 | ~ | · |
| | | | A | your mind? What caused that possibility to enter my mind is that O'Brien had no one had told me "I" |
| 4 | I'm, of course, stipulating to the fact that | 4 | A | What caused that possibility to enter my mind |
| 4 5 | I'm, of course, stipulating to the fact that they apply, not that they're valid objections, | 4 5 | A | What caused that possibility to enter my mind is that O'Brien had no one had told me "I" |
| 4 5 6 | I'm, of course, stipulating to the fact that they apply, not that they're valid objections, but just so you don't have to remake them, in | 4 5 6 | A | What caused that possibility to enter my mind is that O'Brien had no one had told me "I saw a gun." That's what caused that |
| 4 5 6 7 | I'm, of course, stipulating to the fact that they apply, not that they're valid objections, but just so you don't have to remake them, in other words. Make sense? | 4 5 6 7 | A | What caused that possibility to enter my mind is that O'Brien had no one had told me "I saw a gun." That's what caused that possibility to enter my mind. |
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Page 138 Page 140 1 open my eyes and do something, I could do it. 1 I would guess that it was not the reason that 2 There are other people where if they get 2 Officer Eric Allen used the OC spray. And, you 3 sprayed with OC, they're done. They can't do 3 know, you'll have to ask him exactly what it 4 anything. So it just depends on the person. 4 was, but I don't know, but I suspect that it 5 When you were intentionally exposed, they just 5 wasn't to force him out of the squad car. That sprayed it in your face? 6 was not the purpose of the OC. 6 7 Yeah. 7 Q When he was sprayed, what did you think was Α 8 going to happen? 8 Q How far away were they? A Pretty close. I mean, within ten feet. It was 9 A I didn't know what was going to happen. 9 10 Q Did anyone share with you a plan as to what 10 pretty close. they expected would happen next? 11 How long did they hold their finger down to 11 12 12 A I don't remember anyone sharing a plan with me spray you? 13 of what they expected to happen next or what 13 A The training is about a one-second burst. I 14 don't remember, but I would guess that it was 14 they expected to follow the use of the OC. I 15 don't remember anyone discussing that. 15 about a one-second burst. 16 Q Have you ever been exposed to OC spray? 16 Q Okay. How long of a burst did -- how many 17 A Yes. 17 bursts did Mr. Tubby get? 18 Q When was that? Or, excuse me, how many times? 18 Two, I believe, although I'm not 100 percent Α 19 19 A So I was, like, formally sprayed in the face 20 with it in the recruit academy. So that would 20 Q Do you know how long they were? 21 21 A I don't recall how long they were. be about ten years ago. 22 Q Okay. 22 Is there any sort of standard procedure on how 23 A Just in my line of work, I've been exposed to 23 long they should be? 24 it indirectly. You know, maybe it bounces off 24 Α You know, it's a one-second burst, but 25 the ground or I get some on my hand and then I 25 obviously your perception of time in a Page 139 Page 141 1 do this. So I've been kind of indirectly 1 situation under stress can be affected. It's exposed to it maybe five times since then. 2 also worth noting that when you're that far 3 Q Okay. What are the effects that it has on the 3 away I think that can affect it, too, just human body? 4 because it takes a while for the stream to get 5 5 A I mean, it causes a burning sensation in, like, there. So you spray it, you may see that the your eyes and any skin that it touches. It'll 6 stream's going a little to the left or a little 7 cause you to -- I mean, it'll cause your tear 7 to the right or a little bit off aim, and then 8 ducts to, like, go in overdrive. So you'll 8 you -- as you see the stream heading towards cry, you'll have a difficult time keeping your 9 whatever your target is, you may make an 10 eyes open, you'll feel a burning sensation. 10 adjustment. So those are, I guess, things that 11 Q You'll have difficulty seeing if your eyes are 11 could cause you to deploy the spray for a closed and watering, right? 12 longer time than you might expect. 13 A That's correct. 13 O All right. So when he was sprayed, you don't 14 14 Q Okay. Does it disorient you? necessarily think that the idea was to force 15 A I don't know that I would say it disorients 15 him out of the car? 16 A Yeah. And I don't know exactly what the 16 you. It does make it hard to see, but I don't 17 know that it, like -- I guess disorient is not 17 purpose was, but I would guess that that was 18 how I would characterize it. 18 not the goal of the OC. 19 Q Okay. If he was armed, would you agree that 19 Q Okay. Is it painful? 20 that would have been a bad goal, to force him 20 A Yes. 21 Q How painful is it? 21 out of the car when he's armed? 22 A You know, it varies by the person. So it's 22 A Yes. Well, I -- yes and no. Force him out of 23 the car in that exact situation, yes, I believe 23 uncomfortable to me, it's painful to me, but 24 I'm fairly able to overcome it. I don't like 24 that that would be a bad goal. 25 All right. You had the beanbag gun. What it, but if I got sprayed with OC and I had to 25 Q

Page 146 Page 148 1 get up there and I need to make a quick 1 A So he kind of fell -- he was getting up to his 2 decision because I do not want to be out in 2 feet as I hit him, and he kind of fell down 3 this spot very long. 3 onto the trunk. Then as he fell onto the 4 4 So as I moved out, I see Jonathon trunk, basically his fall continued off to 5 5 coming up out of the rear window of the the -- it would be the driver's side of the 6 vehicle, and I'm thinking "I'm going to look --6 patrol vehicle that he came out of, and he fell 7 I'm going to look for his hands. If I don't 7 onto the ground there. 8 see his hands, I'm going to deploy the beanbag 8 Q Okay. So he was -- he was on the ground? 9 gun." The thought being if he comes out, like, A And when we say "on the ground," I'm saying on 10 with his hands up like "I give up," or 10 the ground very briefly because as he gets to 11 11 something like that, I don't want to shoot him the ground, he gets back up, and he gets up 12 12 with a beanbag gun because he doesn't need to fast. 13 13 Q Okay. And at this point in time when he hits be shot with a beanbag gun. So that was kind 14 of my thought. It was going to be a simple the ground, you're still standing to the 15 decision. If he's not showing his hands, I'm 15 driver's side rear of your squad? 16 going to hit him with the beanbag gun, you 16 A Yes. 17 know, based on the totality of everything I 17 Q All right. And you're holding the -- you've 18 still got the beanbag gun aimed at him? know, mainly being we believe there's a firearm 19 19 A Correct. involved. 20 So as he comes out, he comes out in 20 Q All right. So you're looking right at him? 21 21 A what I would describe as just a very -- it Correct. 22 looked a little awkward to me, the way he came 22 Q Okay. What happens next? 23 out, probably because he was handcuffed and, 23 So he gets up, and he starts running from my 24 you know, didn't have, I guess, full use of 24 left to right. He starts running towards the 25 25 his -- all of his extremities, but it was fast. open sally port door. Page 147 Page 149 1 He came out of that car fast. Faster than I 1 Q All right. At this point in time, had you seen 2 3 his hands? would expect someone would be able to handcuffed in front. He came out. I did my 3 A I did not observe his hands. 4 Could you tell that he was in handcuffs? quick assessment. I didn't see his hands. I 5 discharged one round from the beanbag gun at Okay. So as he gets up, he starts running. I 6 do not see his hands. As he continues running, that point. 7 I have the beanbag gun out, and I'm tracking 7 Okay. Did you give a warning before you fired 8 8 him. And this is where my -- where my memory that? 9 9 Α I did not. in one respect gets fuzzy, and that's most 10 Q Okay. And that was because he came up so fast? 10 likely due to the stress of the situation. I 11 thought that I fired another beanbag round, but 11 A Correct. 12 12 O Any other reason? I really wasn't sure and I really don't 13 13 A No. It wasn't a conscious decision by me to remember when exactly I fired it. not give a warning. It was just I came out, I 14 14 But as he comes -- as he's getting 15 made my -- it all happened so fast that I did 15 closer to the door -- if you look at the not. I didn't make a conscious decision "You 16 diagram, I would say as he's getting to -- you 16 17 know what, I'm not going to give a warning." 17 can see how there's this yellow line painted 18 It's just the way it happened, you know, due to 18 here for the parking spot for the transport 19 the circumstances, that mainly being, you know, 19 van, and that yellow line comes to an elbow. 20 20 the time and the stress. As he's getting to that point, I'm tracking him 21 Q All right. Did you hit him? 21 and I see where his hands are. Or I should say 22 22 A That one I could tell. That one I saw the where his hands are inside his shirt, and his 23 23 round fly towards him. I saw it hit him, like, hands are up like this, and I could kind of see 24 in the lower abdomen. 24 his elbow here, his right elbow which is what I 25 Q 25 Okay. Then what happened? would have a view of. It's kind of out to his

Page 158 Page 160 1 on that. my photos taken, I went home. 2 Q All right. What happens next in this series of 2 Q When Jonathon Tubby was shot, where was your 3 events as far as you personally observed after dad at? 4 rescue decides not to take him? 4 A I don't know. I think he was out here in this 5 5 A So at that point some of the -- one or more of grassy area somewhere, but I don't know for the sheriff deputies that were on scene got, 6 certain. I wasn't focused on where my dad was 6 7 7 like, some of those little cones out and they at that point. 8 started marking some of the evidence, like some 8 Q Did he come with you when you had to go back to 9 of the shell casings that were on the ground. the police department? 10 Crime scene tape went up to secure the crime 10 A Yeah. So I -- well, my squad car was here and 11 scene. I stayed for a period of time basically 11 it was part of the scene, so we weren't going 12 just as crime scene security to make sure that 12 to move it. So my dad -- it was Officer Ecke 13 13 no one came in and disturbed any of the and someone he was training -- he was field 14 14 evidence. training another officer -- they gave us a 15 Q Did anyone move his body during that point in 15 ride. Officer Delsar. That's who it was. 16 time? 16 They gave me and my dad a ride back to the 17 A No. 17 police station. We rode in the back seat of 18 Q Okay. 18 the squad car together. 19 A I don't know how long I was there. It 19 Q Okay. Did he then go home, or did he still 20 wasn't -- it didn't feel like very long. just wait with you while you waited for --21 Eventually there were enough deputies there and 21 A Once we got back to the police station I just 22 they were like, "Hey, you probably don't need 22 told him, "Dad, I don't think there's much more 23 to stay. We'll take over the crime scene." I 23 for you to do," and he headed home. 24 said, "Okay," and I headed back to the police 24 Q All right. When you talked about approaching 25 department. 25 Jonathon when he was on the ground, you said Page 159 Page 161 1 Q Okay. So you went back to the police 1 that there was someone that was aiming a rifle 2 at him? 2 department office? 3 A Yes. 3 A Right. 4 Q Okay. What did you do next? And you said that that was in case deadly force 5 A So at the police department -- I mean, then --5 was needed again? so we have, like, a critical-incident policy. Right. And obviously that situation never 6 6 7 7 This would be a critical incident, an officer happened, but in case he's really not dead and 8 8 using deadly force. So we head up to the he does have a gun and he presents that gun 9 second floor. All officers involved are in 9 again. That would be one example of how 10 there. We're told not to talk amongst each 10 perhaps a deadly force situation could arise 11 other about it. At that point we started what again. Obviously that never happened, so that 11 12 ends up being a very long process of waiting 12 ended up not being needed. 13 for what ultimately ends up being a combination So your use of the word "again" leads me to 13 Q 14 14 of DCI and the sheriff's department to come and believe that you think that a deadly force 15 15 situation had arisen at some point in time start the -- I guess start the investigation. 16 When I say "start the investigation," I mean 16 before he was shot? 17 determine who's going to interview the officers 17 A Yes. I mean, deadly force criteria was met 18 who are witnesses and all that. So we were 18 when Officer O'Brien discharged his firearm. 19 just there in a holding pattern. 19 Q Okay. Why do you say that? 20 Eventually DCI came. They 20 A Because Jonathon -- he presented an imminent 21 photographed my clothes because I had some 21 threat of death or great bodily harm. And I 22 22 blood on my clothes. It was determined that I think it's important to note here that that 23 23 was not going to be giving my interview that imminent threat is -- it's in the perception of 24 night, that I was going to give it at a later 24 the officer, and the perception of the officer 25 25 date. So eventually I -- shortly after I had is based on all of the information that he had

Page 178 Page 180 1 the reason I did not see the canine, did not 1 Q Okay. 2 recognize that the canine was being deployed on 2 MR. TAHDOOAHNIPPAH: Mr. Gunta, can 3 Jonathon was just those human performance 3 you see it? 4 factors. The tunnel vision. 4 MR. GUNTA: I can this time, yes. 5 I think it's also worth noting 5 MR. TAHDOOAHNIPPAH: All right. 6 that I'm behind my -- behind my patrol vehicle. 6 Mr. Castro? 7 As I'm tracking, I'm moving to my right a 7 MR. CASTRO: Yes, I can. 8 little bit. Obviously, the dog is short and 8 BY MR. TAHDOOAHNIPPAH: 9 running on the other side of the patrol Q Now, can you -- do you know who this person 10 vehicle, so there were probably windows where I 10 here on the far left side is? 11 just couldn't have seen the dog, but I think 11 A Honestly, no. I mean, I can see it's a 12 most of the reason I didn't see the dog was 12 sheriff's deputy, but I don't know who that is. 13 tunnel vision. My focus was on Jonathon. 13 Q Okay. And then there's someone here that's So you never saw the dog? 14 Q 14 wearing plain civilian clothing. Do you see 15 A I didn't. I didn't know a dog had been 15 him? 16 deployed until well after I left the sally port 16 A I do. 17 that night. 17 Q Do you know who that is? 18 Q Okay. Had you seen the dog and had the dog 18 A It's Jerry Johnson. 19 19 Q Okay. Who is Jerry Johnson? been engaged with Jonathon, would deadly force 20 still have been justified in your view? 20 A Jerry Johnson is a retired lieutenant from the 21 21 A Yes. To put it simply, yes, because the deadly Green Bay Police Department. He was doing a 22 force is justified because of all the factors 22 ride-along that night. 23 we talked about before: because of the 23 Q Do you know who he was riding along with? 24 24 A He came to the sally port with Officer Walvort. perception that Jonathon is armed with a gun, 25 that Jonathon is running at officers. Just 25 He was out riding with Officer Walvort at the Page 179 Page 181 1 1 time of the incident. because there's a dog engaged on Jonathon does Q Okay. Now, there's a group of about four 2 not mean that those factors aren't present. 3 Q Wouldn't you be concerned about crossfire and 3 officers over here on the right side. MR. GUNTA: Counsel, I don't mean to 4 killing the dog? 4 5 5 A I don't want dogs to get hurt, I like dogs, but interrupt you. Can you just bring it a little I do have a hierarchy of how I value life, and 6 closer because I can't see what they're dressed 6 7 7 humans would be above dogs. So if I'm putting like? 8 8 a dog in danger to prevent a human from being MR. TAHDOOAHNIPPAH: Yep. 9 in danger, that would be acceptable to me. MR. GUNTA: Okay. Go ahead. 10 Q And there's -- so there's no formal policy 10 THE WITNESS: I mean, can I tell who 11 about not using force against someone that's 11 they are? 12 engaged with a canine unit? 12 MR. TAHDOOAHNIPPAH: Yeah. 13 A Not that I know of. 13 THE WITNESS: No. I can tell they're 14 sheriff's deputies. The one individual here, I 14 Q All right. We're going to look at an exhibit 15 that was marked previously today as Exhibit 15 can't tell if maybe that's not a sheriff's No. 3, but if you turn to it in front of you, 16 deputy, if maybe that's a Green Bay PD uniform. 16 17 you're not going to see anything interesting 17 It looks like maybe his pants are darker, but 18 because it's just a slip sheet of a video that 18 I'm not sure if it's that or if it's just the 19 is on my computer that we are going to look at. 19 way that the video looks. But they look like 20 The last time we did this we kind of dimmed the 20 mostly sheriff's deputies. Maybe that one 21 21 lights because it made it easier to see the officer, I can't tell if he's a Green Bay 22 22 video, so let's do that again. police officer or a sheriff's deputy. 23 23 BY MR. TAHDOOAHNIPPAH: All right. Can you see the screen of 24 the computer with the video on it? 24 Q All right. But other than Mr. Johnson, do you 25 A 25 know the names of any person depicted here? Yes.

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1 A No, I either don't know them or just don't

2 recognize them in the video.

3 Q Okay. I'm going to play the video one time

4 straight through, and I want you to tell me if

5 you can ever see yourself on this video.

6 A Okay.

6 A Okay.7 Q Could you ever see yourself in the video?

8 A Yes.

9 Q Okay. We'll play it again, and when you can 10 see yourself, I want you to tell me so I can

11 pause it.

12 A I can see myself now.

13 Q Okay. Which one are you now?

14 A You can see I'm holding the beanbag shotgun.

15 I've got it shouldered.

16 Q That's you right there?

17 A Yeah, behind a number of -- I mean, from the

point of view that we're at, I'm behind a

19 number of officers, yeah.

20 Q All right. So I want you to tell me -- we're

21 going to look through it again one more time,

and I want you to tell me if you can identify

the moment that Officer O'Brien shoots Jonathon

24 Tubby.

25 A Okay. So there's a moment here where you can

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1 A That's the time stamp on the video right now.

2 Q Okay. So your testimony is that at this

3 particular moment in time he had been shot?

4 A Yes.

5 Q All right. And then his head leaves the frame

6 of the camera, right?

7 A It does.

8 Q Okay. Do you know why his body was moving at

9 that point in time?

10 A I know now why it was, but I did not at the

11 time.

12 Q Okay. At the time --

13 A Or I didn't recognize it at the time would

14 probably be more accurate.

15 Q All right. So the canine is dragging him? Is

that what your testimony is?

17 A Yeah, that's what Officer Salzmann told me.

18 Q All right. Would you agree with me that you

can see his right hand right there?

20 A I'm going to get closer.

21 Q Yep, that's fine.

22 A I guess at the moment, no. What are you

thinking is his right hand?

24 Q That object by the bumper. I'll replay it for

25 you.

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see part of Jonathon Tubby's body come just

2 into view. It was -- it was just before that.

3 It was a moment before that.4 Q So the moment before that, that's when he got

5 shot?

1

6 A Yeah. You know, obviously, I can't be

7 specific, but it was just a moment before that.

8 And the reason that I believe that is it looks

9 like that is Jonathon falling to the ground,

and I know that he was shot just before he fell

11 to the ground.

12 Q All right. But you were actually physically

13 there?

14 A Correct.

15 Q And you actually saw him get shot?

16 A Correct.

17 Q So you can definitively say that he was not on

the ground before he got shot, right?

19 A No. He was running towards Officer O'Brien

when he got shot.

21 Q All right. So if you go to the video, you can

see him right about here, correct?

23 A Correct.

24 Q And that's about nine seconds into the video at

25 9:10:58 p.m.?

1 A One more time, if you don't mind.

2 Q Yep.

3 A I mean, that looks to me like a hand. Whether

it's his right hand or his left hand, I don't

5 know. Can I 100 percent say that that's his

6 hand? No, but it looks like his hand.

7 Q All right. So you would agree that it looks

8 like a hand, but you just couldn't say right or

9 left?

10 A I would agree that it looks like a hand, yeah.

11 Q All right. Now, this is still nine seconds

into the video, but his head is not visible

right now, right? He's already exited the

frame at this particular point?

15 A I'm almost positive you are correct. My only

cause for hesitation in saying that I'm not 100

percent sure his head left the frame is that I

believe that that's one of the rear tires of my

19 patrol vehicle and it's dark and his hair was

dark. So I don't know how well his hair would

21 stand out against that tire.

22 Q Okay.

23 A Well, now I see --

24 Q Did you see his head hit the ground in those

25 last couple frames?

| | Page 1 |
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| 1 | IN THE UNITED STATES DISTRICT COURT |
| 2 | FOR THE EASTERN DISTRICT OF WISCONSIN |
| 3 | |
| 4 | SUSAN DOXTATOR, ARLIE DOXTATOR, |
| | and SARAH WUNDERLICH, as Special |
| 5 | Administrators of the |
| | Estate of Jonathon C. Tubby, |
| 6 | |
| | Plaintiffs, |
| 7 | |
| | vs. Case No. 1:19-cv-00137-WCG |
| 8 | |
| | ERIK O'BRIEN, ANDREW SMITH, |
| 9 | TODD J. DELAIN, HEIDI MICHEL, |
| | CITY OF GREEN BAY, BROWN COUNTY, |
| 10 | JOSEPH P. MLEZIVA, NATHAN K. WINISTERFER, |
| | THOMAS ZEIGLE, BRADLEY A. DERNBACH, |
| 11 | and JOHN DOES 1-5, |
| 12 | Defendants. |
| 13 | |
| 14 | |
| 15 | Deposition of SCOTT SALZMANN |
| 16 | Friday, December 20, 2019 |
| 17 | 9:04 a.m. to 12:29 p.m. |
| 18 | |
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| 21 | |
| 22 | |
| 23 | |
| 24 | Reported by Jennifer M. Steidtmann, RPR, CRR, CRC |
| 25 | |

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| | | Page 82 | | Page 84 |
|--|---|---|--|---|
| 1 | О | You say "issues the OC from what I believe", what do | 1 | You open the doors of the BearCat. That's |
| 2 | V | you mean by that? | 2 | the rear doors? |
| | Α | I can't see it. | 3 A | |
| ļ . | 0 | Do you hear it? | 4 Q | Okay. They swing open? |
| l _ | A | No. | 5 A | |
| | Q | Do you see him pressing it down? | 6 Q | |
| l _ | A | No. | 7 | point? |
| | _ | Okay. | 8 A | |
| l . | Q A | I told you that. | 9 Q | You're still holding on to the leash? |
| 1 | | | | I have a 15-foot lead in my hand. |
| 1 | Q | So you just believe that because you knew that was | — | <u> </u> |
| 11 | | the plan? | 11 Q | Okay. |
| | A | Yes. | 12 A | - |
| l | Q | Okay. Go ahead. | 13 Q | |
| | A | Okay. At this time Officer Eric Allen starts to bend | 14 | leash, and he rounds so he rounds the corner ahead |
| 15 | | back down out of the turret, turn to look at me to | 15 | of you, right? |
| 16 | | tell me to deploy Pyro; and simultaneously as Officer | 16 A | |
| 17 | | Eric Allen's doing that, Officer Merrill in the | 17 Q | |
| 18 | | BearCat says he's coming out and begins to back that | 18 | see Jonathon Tubby? |
| 19 | | BearCat up, I believe. | 19 A | |
| | Q | All right. Up until this point from the time you | 20 Q | |
| 21 | | first pulled the BearCat in to the time that Officer | 21 | Wernecke's squad car? |
| 22 | | Merrill says he's coming out, where is Pyro? | 22 A | 8 |
| 23 | A | In the back of the BearCat. | 23 Q | 3 |
| 24 | Q | Okay. Is he on a leash? | 24 | kind of a marking next to squad car? |
| 25 | A | Yes. | 25 A | Yes. |
| | | Page 83 | | D 05 |
| | | | | Page 85 |
| 1 | Q | Are you holding on to the leash? | 1 Q | All right. Is you said he's stumbling? All |
| ١. | Q A | Are you holding on to the leash? Yes. | 2 | All right. Is you said he's stumbling? All right. |
| 2 | _ | Are you holding on to the leash? | | All right. Is you said he's stumbling? All right. So he's on two feet? |
| 2 3 | A | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? No. | 2 | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. |
| 2 3 4 | A Q | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? | 2 3 | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. Okay. And you said you saw his hands? |
| 2 3 4 5 | A Q A | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? No. Is he whining at all? No. | 2 3 4 A 5 Q 6 A | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. Okay. And you said you saw his hands? Under his shirt. |
| 2 3 4 5 | A Q A Q | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? No. Is he whining at all? No. He's just all this stuff is going on and he's just | 2 3 4 A 5 Q | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. Okay. And you said you saw his hands? |
| 2 3 4 5 6 | A Q A Q | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? No. Is he whining at all? No. | 2 3 4 A 5 Q 6 A | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. Okay. And you said you saw his hands? Under his shirt. Under his shirt. Both hands under his shirt? Yes. |
| 2 3 4 5 6 7 8 | A Q A Q | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? No. Is he whining at all? No. He's just all this stuff is going on and he's just silent? He's ready. | 2 3 4 A 5 Q 6 A 7 Q | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. Okay. And you said you saw his hands? Under his shirt. Under his shirt. Both hands under his shirt? Yes. All right. Did you see a gun inside his hands? |
| 2 3 4 5 6 7 8 9 | A Q A Q A | Are you holding on to the leash? Yes. Okay. Is he does he bark at all? No. Is he whining at all? No. He's just all this stuff is going on and he's just silent? | 2 3 4 A 5 Q 6 A 7 Q 8 A 9 Q 10 A | All right. Is you said he's stumbling? All right. So he's on two feet? Yes. Okay. And you said you saw his hands? Under his shirt. Under his shirt. Both hands under his shirt? Yes. All right. Did you see a gun inside his hands? I did not. |
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Page 86 Page 88 1 couldn't see? 1 A Before I even entered the BearCat, I knew there were 2 officers standing near the entrance of the sally 2 A I inferred that he was on his feet running towards 3 the van and then running towards the entrance of the 4 O All right. And that door was open? 4 5 Q Would running into the van be consistent with someone Yes. At the time that I saw that, the door was open, that can't see? 6 7 A Not necessarily. It's consistent with somebody who's 7 Q Okay. Did you ever think maybe it would be a good idea since that door was open to put Officer try to flee or get to a different position. 8 9 Merrill's K-9 by the door? 9 Q Okay. So not necessarily, but possibly? 10 A I did not think of that, no. 10 A It's possible, yes. 11 Q Okay. Did -- were you aware if there were other K-9 11 Q Okay. So he runs into the transport van. Then at 12 units on the scene from Brown County? 12 this point in time do you have a firearm on you? 13 A Yes. 13 A 14 Q Did you ever consider maybe putting their K-9s by the 14 Q Do you have it drawn? 15 door? 15 A No. 16 A I did not think of that, no. 16 Q Okay. Is that -- in your training, is it appropriate 17 Q Did you ever think of, like, let's just shut this 17 to have a firearm drawn while you're also handling 18 down to contain the situation a little better? 18 the K-9? 19 A I did not think of that, no. 19 A It's not ideal, however we can do it, but there's 20 Q All right. So he's running towards the transport 20 other officers that already have lethal cover. 21 van. Is he running towards the transport van or 21 O Uh-huh. 22 towards the door? And I just ask that because the A My job is less lethal with the K-9, so I do not draw 22 23 line that is drawn, you drew this line on here? 23 my firearm. 24 A Yes. 24 Q And you don't think, oh my God, there's an imminent 25 Q All right. It kind of goes first towards the 25 threat of death or great bodily harm to someone, I Page 87 Page 89 1 transport van, then kind of curves around towards the 1 should just pull my gun out and kill this guy right 2 door? 2 now? 3 A And that's exactly what he did. 3 A So the way I interpret that, there's two parts to 4 Q Okay. So he ran towards the van first? 4 that question. Q Uh-huh. Why don't you answer each one. Yes. 5 Did he ever, like, run into the van? Yes, there's an imminence. 7 A I believe he did, yes. 7 Q Okay. 8 Q Okay. So he's kind of -- I mean, he can't -- maybe 8 A Not to my sense but to others. can't see, who knows. And that is the officers by the door? 10 Did you attribute him running into the van 10 A Correct. 11 to the OC spray? 11 Q Okay. 12 MR. GUNTA: Objection to the form of the 12 A Do I think to draw my firearm to answer the second 13 question. Multiple in form. 13 part of that, no, because I have the K-9. 14 Go ahead and answer. 14 Q All right. So you think that there was an imminent 15 THE WITNESS: I don't know what he's danger of death or serious bodily harm to the 15 16 seeing, thinking, or feeling at that time. 16 officers standing by the door? 17 BY MR. TAHDOOAHNIPPAH: 17 A Yes. 18 Q Well, did you infer that he couldn't see from the OC 18 Q Why did you think that? spray because he ran right into a van? 19 A The totality of the circumstances indicated that 20 A With all due respect, sir, I don't know what he was 20 Tubby was armed. He never did anything to -- to show seeing, thinking, or feeling at the time. 21 that he was not armed, and his behavior and 22 Q Yeah. Obviously don't know what another person's 22 mannerisms when he was out was consistent with hands 23 mind-set is. 23 up the shirt still concealing and running towards 24 24 Did you infer from what you observed that officers that I believed he was armed and trying to 25 the OC spray was affecting him sufficient that he 25 get to them.

Page 90 Page 92 1 Q Why did you believe that he was armed? 1 together, right? 2 A Again, the totality of the circumstances with the 2 A With handcuffs, yes. information that was previously given over the radio, 3 Q Yeah. So if someone gets the handcuffs into front, 4 the fact that when he got out his hands were still it would have the same shape and form that you 5 concealed in his shirt, and he was crouched a little 5 observed under Jonathon Tubby's shirt, right? 6 forward and running towards officers. 6 There's a possibility for that, yes. 7 Q All right. Well, you said you heard he had something 7 Q And I don't mean to pick on you, but you said he was 8 in his hands, right? 8 in a manner -- behavior and mannerisms consistent 9 9 A Yes. with going towards the officers at the door, right? 10 O No one ever told you that he had a gun? 10 A Yes. 11 A 11 O But a moment ago you said that his behavior was 12 O And you never saw a gun? 12 consistent with someone that was trying to flee? 13 A 13 A No. You asked me if his behavior was consistent with somebody that had been sprayed, and I said that's not 14 Q You never saw anything in his hands? 14 15 15 A I could not see his hands, no. necessarily it. I said that behavior is somebody 16 16 Q Right. So you never saw anything in them? who's trying to run from officers or run towards 17 A Right. 17 them. 18 Q But yet you have now jumped to the conclusion in your 18 Q That's what you're saying, that your answer to my 19 mind that he was armed? 19 question didn't involve fleeing? 20 MR. GUNTA: Objection to the form of the I did say fleeing, yes. 21 question. 21 Q You did say fleeing? 22 BY MR. TAHDOOAHNIPPAH: 22 A Yes. 23 23 Q And I want to understand why you made that jump? Okay. So how do you know whether he was fleeing --24 MR. GUNTA: Objection to the form of the 24 whether he was trying to flee or run at these 25 question. 25 officers to pose some kind of threat? Page 91 Page 93 1 Go ahead and answer. 1 A Because my perception at the time. That's what I 2 THE WITNESS: Based on my training and 2 know. That's what I can testify to. 3 3 Q Just your subjective -- you can just define his state experience. 4 BY MR. TAHDOOAHNIPPAH: 4 of mind now --5 Q So it's based on your training as a Green Bay police 5 MR. GUNTA: All right. officer that because you couldn't see his hands and 6 BY MR. TAHDOOAHNIPPAH: 6 7 someone had said something's in his hands that he was Q -- to know whether he was escaping or running towards the officer? 8 armed? 8 9 A With the totality of the circumstances, yes. 9 MR. GUNTA: Objection to the form of the 10 Q All right. And you said his behavior and his 10 question. 11 mannerisms made you think that he was posing a threat 11 Counsel, you're just about over the line 12 to the officers at the door? 12 with argumentative. 13 13 A Yes. But you go ahead and answer. That was 14 Q Because you thought he was -- because of why? 14 on -- that type of mannerism and argumentative 15 A Because he was running towards them with his hands 15 conduct towards this witness is uncalled for. 16 concealed up his shirt consistent with that of 16 Please try to control it. I know you're emotional. 17 somebody possibly armed and running towards where 17 We all are. 18 several other officers were. 18 Read him back the question and you answer 19 Q Okay. Well, wasn't having your hands up your shirt 19 the question if you can. 20 20 like that also consistent with someone that just is (RECORD READ.) 21 handcuffed? 21 THE WITNESS: I do not know his state of 22 A That is not the way that we handcuff. 22 mind. I know my state of mind based on the totality 23 23 Q Well, people are handcuffed behind, right? of the circumstances, and I believed that he was 24 24 A Behind, yes. armed based on his behavior and that he was running 25 25 Q But when they're handcuffed, their wrists are put towards officers in an attempt to hurt them. That's

Page 98 Page 100 1 he bit him? 1 Q Yeah. 2 A I apologize for jumping in. 2 A He bit him, yes. 3 Q Which, you know, makes sense in this circumstance, 3 Q Okay. What happened -- what happened next? but I was just making clear on the record what you 4 A So as Pyro engaged Tubby in the rear buttock area, I were doing with your hands when you said that. immediately applied more back pressure to stop Tubby 6 from continuing advancing further towards officers. 6 A I understand. 7 Q Anything else that made it -- that was -- made it in 7 Q Can I -- just let me just pause you right there. your mind, any other factor that was unique to posing 8 So the idea of pulling back is you're 8 a threat instead of merely trying to escape? 9 trying to stop Tubby's forward momentum? 10 A I would go back to what I stated earlier on the 10 A Yes. 11 reasons that I listed that I felt. 11 Q All right. Go ahead. 12 Q Right. And you don't have anything -- nothing 12 A Simultaneously, and when I say simultaneously in my 13 additional that we haven't talked about already? 13 mind it was simultaneously, Pyro engages Mr. Tubby, I 14 14 A No. hear a shot, which I believed to be a less lethal 15 Q All right. Let's go back to the diagram. You have 15 round from a less lethal shotgun. him kind of running towards the transport van, right? 16 Q Okay. Did you hear -- sorry. You heard the less 17 A Yes. 17 lethal. 18 Q Then he turns and kind of rounds the transport van, 18 Did you see the less lethal hit him? right? 19 A I did not. 20 A Yes. 20 Q Okay. What happened next? 21 Q Then there's kind of a circle that you drew? 21 Simultaneously to that, the first round, I hear 22 A Yes. 22 multiple rounds from what I believe to be a .45 23 Q What does the circle represent? 23 caliber handgun. 24 A That is where Pyro -- I believe Pyro engaged him. 24 Q All right. That's pretty specific as far as caliber Okay. When Pyro engaged him, was he still on his 25 O 25 goes. How are you able to make that sort of judgment Page 99 Page 101 leash or had you let go of the leash? knowing the caliber of a gun when you hear it? 1 2 A He was still on lead. 2 A I know typically Green Bay Police Department has 3 Q On lead, sorry. Not leash. 3 carried .45 caliber handguns. We've recently 4 4 All right. So you were about six to eight transitioned to allowing officers to carry a 9 feet behind at this point in time? 5 millimeter. Usually .45 caliber is a little more 6 A That is correct. 6 deep than a 9 millimeter. I just -- when I said .45, 7 7 Q Can you use a pen and just draw which angle you were I assumed that based on the deep throaty sound of it. at behind Pyro? 8 It sounded just a little more loud than a 9 9 A I believe that's already depicted in the solid 9 millimeter. 10 circle. There's a hollow circle and then a solid 10 O Yeah. And at that time you had been with the Green 11 circle just behind that at the transport van. That's 11 Bay Police Department for, like, four years? 12 about where I was. 12 A I'm sorry? 13 Q Okay. Story. I misunderstood. On October 19, 2018, you had been a police officer 13 The hollow circle is where Pyro engaged? 14 for about four years? 15 A Engaged. 15 A No. Q For how long? Oh, for about 13 years? 16 Q Okay. And then you are represented by the filled-in 16 circle? A 2005 to 2018, yeah. 17 18 A Yes. 18 MR. GUNTA: That's about 13 years. 19 Q Okay. When Pyro engaged Mr. Tubby, where did he 19 THE WITNESS: 13 years, yeah. 20 BY MR. TAHDOOAHNIPPAH: engage him? 21 A In the back area. 21 Q All right. So over about 13 years of listening to 22 Q So he bit him in the back somewhere? 22 .45 caliber handguns and training potentially in the 23 A Somewhere in the back. Tubby's back was facing me. 23 field a few times, you kind of have learned what it I believe he got him in the buttock area. 24 sounds like? 25 Q All right. And so when you say "got him", you mean 25 A You get an idea, yes.

Page 102 Page 104 1 Q And you're a firearms instructor also? 1 right? 2 A 2 A No. 3 So you have some sort of additional familiarity with 3 Q So all your familiarity with that sound comes from 4 being a police officer? 5 Α 5 Comes from training and experience, yes. 6 Q And you also said you could tell the sound between a As a police officer? 6 less lethal? 7 As a person. 8 A Yes. I could tell the difference between the first 8 But you have training and experience with a bean bag shot I heard and the multiple shots after that, was a shotgun because of your occupation as a police 10 clear distinct difference. 10 officer? 11 Q Can you describe kind of the difference in the 11 A Yes. 12 sounds? 12 Q All right. You think a reasonable police officer 13 A So again, the less lethal impact round came from a 13 with your experience can tell the difference between shotgun, which again is going to be a little deeper, 14 a bean bag shotgun and a handgun? 15 a little louder, what I would describe as just a 15 MR. GUNTA: Objection. Vague. 16 little more throaty --16 Go ahead. 17 Q Okay. 17 THE WITNESS: I can only answer to what I 18 A -- versus then a smaller -- smaller size, smaller 18 perceive. I don't know what somebody else would caliber handgun, which is going to -- that's still 19 perceive. 20 going to have a deep throaty sound, just not as much 20 BY MR. TAHDOOAHNIPPAH: 21 as a shotgun. 21 Q So you don't have an opinion on whether it would be 22 Q So does it have distinct sounds? 22 reasonable or unreasonable to mistake a bean bag 23 A To some people, yes. To me, yes. 23 shotgun for a real gun? 24 Q Not to everyone? 24 A No, I do not have an opinion on that. 25 A I can't comment on what everyone perceives. 25 One way or the other? Page 103 Page 105 But certainly to you they have a different sound? 1 A One way or another. 2 A To me they had a different sound. That's not a mistake that you made, though? 3 Q Okay. Your familiarity with bean bag shotguns comes 3 MR. GUNTA: Objection to the form of the 4 from your training and experience as a Green Bay 4 question. 5 police officer? 5 THE WITNESS: What is the question? BY MR. TAHDOOAHNIPPAH: 6 A 6 Do you have any other experience with bean bag Q Mistaking a bean bag gun for a real gun wasn't a shotguns outside of being a police officer? 8 8 mistake that you made on October 19, 2018? 9 9 MR. GUNTA: Objection to the form of the Α Outside, no. 10 10 Q You don't go shoot them for fun or something? question. Bean bag shotguns? 11 THE WITNESS: I don't think there's any 11 A 12 Q Yeah. 12 mistake. I -- I heard what I thought was a bean bag 13 13 A No. round simultaneously with multiple lower caliber, 14 O Do you shoot for fun, recreational shooting of 14 what I thought were handgun rounds. handguns? 15 BY MR. TAHDOOAHNIPPAH: 15 16 A I do hunt. 16 Q All right. And what you subsequently learned was that the sound that you thought was a bean bag was a 17 O You hunt? 17 18 A Yeah. 18 bean bag, right? 19 Q So that's with a rifle or shotgun? 19 A Subsequently learned, yes. And the sound that you thought was a handgun was a 20 A I hunt with a shotgun. 20 O 21 Q Okay. So do you ever shoot recreationally with a 21 handgun? handgun? 22 22 A Subsequently, yes. 23 23 A Yes. Yeah. So you didn't make any sort of mistake in 24 24 Q So you have some familiarity with those sounds, but confusing the two? 25 25 you don't ever go shooting bean bag guns for fun, MR. GUNTA: Objection to the form of the

| | | 2.400 | | | D 100 |
|-----|---|--|----|---|---|
| 1 | | Page 130 | 1 | 0 | Page 132 |
| 1 | A | | 1 | Q | Other than your meeting on Tuesday, have you spoken |
| 2 | Q | Has he ever tried to blame Brown County for what | 2 | | with Mr. Gunta about this incident? |
| 3 | | happened? | 3 | A | |
| 4 | | No. | 4 | Q | 1 |
| 5 | Q | Do you think that there was some pressure from Brown | ĺ | | , |
| 6 | | County to try to clear the sally port? | 6 | Q | Other than Tuesday. |
| 7 | | MR. GUNTA: I'm just going to object to | 7 | A | |
| 8 9 | | the form of the question. | 8 | Q | 3 1 |
| 9 | | If you understand the question, go ahead | 9 | | Brown County about this incident? |
| 10 | | and answer. | 10 | A | |
| 11 | | THE WITNESS: Are you asking if Brown | 11 | Q | About this deposition? |
| 12 | | County felt pressure to clear the sally port to | 12 | A | |
| 13 | | maintain normal business? | 13 | Q | |
| 14 | | MR. TAHDOOAHNIPPAH: Yeah. | 14 | | last page, which is this diagram. I have been told |
| 15 | | THE WITNESS: I believe that is a factor, | 15 | | but I want you to correct me if I'm wrong that this |
| 16 | | yes. | 16 | | was generated by something called a FARO scan? |
| 17 | В | Y MR. TAHDOOAHNIPPAH: | 17 | A | I don't know what it was generated by. |
| 18 | Q | You think that's a factor in the you think that | 18 | Q | Have you ever heard of something called a FARO sca |
| 19 | | was a factor in the decision as to when to try to | 19 | A | I have not. |
| 20 | | break the breach the rear window of the squad? | 20 | | MR. GUNTA: Let the record reflect I |
| 21 | A | That I can't testify to. I don't know what the | 21 | | haven't either. I don't know what it is. |
| 22 | | factors were that went into that decision-making. | 22 | В | Y MR. TAHDOOAHNIPPAH: |
| 23 | Q | But you do you would agree that there was at least | 23 | Q | And I may be mispronouncing it, but I think it's |
| 24 | | on some level pressure by Brown County to get the | 24 | | F-A-R-O. |
| 25 | | sally port cleared to resume standard operations of | 25 | A | Again, this my understanding of this image was |
| | | Page 131 | | | Page 133 |
| 1 | | the jail? | 1 | | compiled by DCI. I don't I don't know how they |
| 2 | A | Yes, I would agree with that. | 2 | | got it or what they used to get it. |
| 3 | Q | Okay. Other than Officer O'Brien and DCI, have you | 3 | | MR. GUNTA: I'm not sure if it was FARA or |
| 4 | | talked to anyone else about this incident? | 4 | | FARO. I got someone looking at it, too. I don't |
| 5 | Α | I talked to my wife. | 5 | | know what it is. |
| 6 | Q | All right. Anyone else? | 6 | | MR. TAHDOOAHNIPPAH: All right. |
| 7 | A | No. | 7 | В | Y MR. TAHDOOAHNIPPAH: |
| 8 | Q | All right. You didn't meet with Officer O'Brien, | 8 | Q | And you don't know anything about it? |
| 9 | | Officer Wernecke, and Sergeant Denny along with | 9 | A | No, I don't. |
| 10 | | counsel | 10 | | MR. TAHDOOAHNIPPAH: All right. I'm goin |
| 11 | | MR. GUNTA: Me. | 11 | | to just hand you what's been marked as Exhibit 3. |
| 12 | В | Y MR. TAHDOOAHNIPPAH: | 12 | | That's not going to be too exciting, just for the |
| 13 | Q | on Tuesday? | 13 | | purposes of the record. |
| 14 | A | Oh, yes. A deposition prep if that's what you're | 14 | | MR. GUNTA: He'll explain it to you. |
| 15 | | asking, yes. | 15 | В | Y MR. TAHDOOAHNIPPAH: |
| 16 | Q | Well, did you discuss the incident? | 16 | Q | |
| | A | | 17 | • | which, you know, most of the things that we have are |
| 18 | Q | | 18 | | paper documents, the other exhibits you have, but |
| 19 | * | talked to about this incident. | 19 | | sometimes there's things like audio recordings, video |
| 20 | A | | 20 | | recordings where you couldn't put an exhibit sticker |
| 21 | Q | | | | on an audio or video recording. So we use that which |
| 22 | ~ | with counsel and the other officers, and Officer | 22 | | represents a video recording that I'm going to show |
| 23 | | O'Brien for emotional support, have you talked to | 23 | | you |
| 24 | | anyone else about this incident? | 24 | Α | |
| | Δ | No. | 25 | | |
| | А | 110. | | V | as soon as we get my computer turned on and we ge |

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Page 1
                 IN UNITED STATES DISTRICT COURT
 1
 2
              FOR THE EASTERN DISTRICT OF WISCONSIN
 3
 4
     Susan Doxtator, Arlie Doxtator,
 5
     and Sarah Wunderlich, as
     Special Administrators of the
     Estate of Jonathon C. Tubby,
 6
 7
                  Plaintiffs,
                               File No. 1:19-cv-00137-WCG
 8
     vs.
 9
     Erik O'Brien, Andrew Smith,
     Todd J. Delain, Heidi Michel,
     City of Green Bay, Brown
10
     County, Joseph P. Mleziva,
11
     Nathan K. Winistorfer, Thomas
     Zeigle, Bradley A. Dernbach,
     and John Does 1-10,
12
                  Defendants.
13
14
15
16
              DEPOSITION OF COMMANDER KEVIN WARYCH
17
     DATE: July 10, 2020
18
     TIME: 9:24 a.m.
19
     PLACE: Green Bay City Hall (* Witness Location *)
20
             100 North Jefferson Street
21
            Green Bay, Wisconsin 54301
22
23
     REPORTED BY:
                      PAULA K. RICHTER, RMR, CRR, CRC
24
                      (By videoconference)
25
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Page 86 Page 88 1 MR. CASTRO: Some of them I've 1 MR. GUNTA: Objection to scope and 2 noticed and some of them I haven't necessarily. 2 form again. 3 So I'm not sure what exactly is the issue. Go ahead. 4 MR. TAHDOOAHNIPPAH: Because I'm on 4 THE WITNESS: I can't answer that 5 my -- I have the audio on cellphone, which is 5 question because I'm not in that situation. You 6 supposed to not use bandwidth and be more 6 know, there's so many variables. You know, did 7 reliable. So I'm going to continue to try to --7 the officer have time? Did the behavior that was MR. GUNTA: It could be on this end. 8 displayed to the officer escalate the situation so 9 It's just that sometimes, just like before, you 9 then the officer had to respond? There's no way I 10 come through very clearly, and then you drop off, 10 could answer that question. 11 and then you come through very clearly again. So 11 BY MR. TAHDOOAHNIPPAH: 12 I honestly don't know what the problem is, but 12 Q. Well, isn't de-escalation a practice that's 13 utilized by the Green Bay Police Department for 13 that's what's happening, and all we ask is just to 14 repeat the question. 14 barricade suicidal situations? 15 (Off the record.) 15 A. Yes. 16 Q. And isn't that de-escalation a practice 16 BY MR. TAHDOOAHNIPPAH: 17 Q. It's obviously also very important that you 17 that's used because there's a known risk of injury 18 hear the questions, Commander Wernecke, so please 18 if a barricaded subject is aggressively 19 continue to let me know that you can't. 19 confronted? 20 A. Sure thing. 20 MR. GUNTA: Objection; form and 21 Q. In a barricade situation where the suspect is 21 scope. 22 believed to be suicidal, is it the practice of the 22 Go ahead. 23 23 Green Bay Police Department to attempt to slow THE WITNESS: Yes. 24 down the interaction? 24 BY MR. TAHDOOAHNIPPAH: 25 MR. GUNTA: Form and scope. Go 25 Q. All right. Is containment a concept that Page 87 Page 89 1 ahead and answer. 1 you're familiar with? THE WITNESS: I think regardless of MR. GUNTA: You just dropped off 3 the situation, all -- excuse me. Regardless of 3 completely from our end. 4 the situation, I think every officer tries to slow 4 BY MR. TAHDOOAHNIPPAH: 5 down every incident to methodically investigate, 5 Q. You can probably see I'm trying to hold the 6 make sure nothing is missed. 6 phone close to my mouth so that you can really 7 BY MR. TAHDOOAHNIPPAH: 7 hear me. Can you hear me now? 8 Q. But with respect to a suicidal barricade 8 A. Yes. 9 situation, in particular if the officer escalates 9 Q. All right. Is containment a concept that 10 the situation, that creates a risk of harm to the 10 you're familiar with, a law enforcement concept 11 suspect and the officer. Agree? 11 you're familiar with? MR. GUNTA: Outside the scope and 12 12 MR. GUNTA: Objection; form and 13 objection to form. 13 scope. 14 Go ahead. 14 Go ahead. 15 THE WITNESS: Sir, that was a 15 THE WITNESS: Yes. 16 statement. If you could phrase that in a 16 BY MR. TAHDOOAHNIPPAH: 17 question, I would be more than glad to answer. 17 Q. Is it the practice of the Green Bay Police 18 BY MR. TAHDOOAHNIPPAH: 18 Department to try to use containment in a 19 Q. Well, the statement was, I think, probably, 19 barricade suicidal situation? 20 is it important to slow down the interaction -- or 20 MR. GUNTA: Objection to form. 21 21 excuse me. I think the statement was it's THE WITNESS: Yes. 22 important not to escalate the situation where 22 BY MR. TAHDOOAHNIPPAH: 23 there's a barricade suicidal situation because the 23 Q. All right. And is it the practice of the 24 officer or the suspect may be hurt. And I want to 24 Green Bay Police Department to use containment in 25 know if you agree with that statement. 25 that situation because if the situation is not

Page 90 Page 92 1 contained, the person could pose a risk to others? 1 incident? MR. GUNTA: Objection; scope and 2 BY MR. TAHDOOAHNIPPAH: 3 form. 3 Q. I'm just speaking generally for all barricade 4 Go ahead. 4 situations. THE WITNESS: What situation are you 5 A. For incidents that the Green Bay Police 6 referring to? Just a general hypothetical 6 Department has jurisdictional authority over and 7 barricaded subject, or are you referring back to 7 is the lead investigation agency, yes, we have --8 the Tubby incident? 8 we always try to develop avenues for people to 9 BY MR. TAHDOOAHNIPPAH: 9 surrender so that -- with the overall goal of 10 Q. A general barricade suicidal situation. 10 everybody's safety. MR. GUNTA: Same objections. 11 Q. In creating an avenue for their surrender, is 11 12 12 it the practice of the Green Bay Police Department Go ahead. 13 THE WITNESS: Then the answer is 13 to create a physical space for the person to exit 14 yes. 14 the barricade? 15 BY MR. TAHDOOAHNIPPAH: 15 MR. GUNTA: Objection; scope and 16 form. 16 Q. And for the Tubby situation specifically, 17 wouldn't you agree that containment would be 17 Go ahead and answer. 18 important in that situation to prevent any sort of 18 THE WITNESS: I really can't answer 19 risk of harm to others? 19 that question because every situation is 20 MR. GUNTA: Objection; scope and 20 different. I don't know what -- I mean, it's not 21 form. 21 just as easy saying yeah, there's an avenue to 22 surrender because the situation dictates what the 22 Go ahead. 23 THE WITNESS: I would defer to 23 avenue is or where they are or what's going to 24 Lieutenant Zeigle, who was running that operation. 24 occur. And generally speaking, our goal is for 25 BY MR. TAHDOOAHNIPPAH: 25 that person to peacefully surrender. Page 91 Page 93 1 BY MR. TAHDOOAHNIPPAH: 1 Q. And would you defer to Lieutenant Zeigle 2 because of his expertise in the area or because he 2 Q. All right. If there is an avenue for 3 was the ranking Brown County officer on the scene 3 surrender, is it the practice of the Green Bay 4 or both? 4 Police Department to have an arrest team ready in 5 A. Primarily because he was in charge of that 5 case the person does not surrender? 6 operation because of the sally port being the MR. GUNTA: Objection; scope and 7 form. 7 jurisdictional authority of the Brown County 8 8 Sheriff's Office. But you can go ahead, sir. 9 Q. All right. And the -- it's the practice of 9 THE WITNESS: Yes. The supervisor 10 the Green Bay Police Department to use containment 10 on a scene that we have the authority to do so 11 for a barricaded situation also because if you 11 will identify a group of officers to be the arrest 12 don't contain the person, they may just try to 12 team. 13 flee or escape law enforcement. Fair? 13 BY MR. TAHDOOAHNIPPAH: 14 MR. GUNTA: Objection; scope and 14 Q. All right. If the arrest team is located in 15 form. 15 the BearCat, is it the practice of the Green Bay 16 THE WITNESS: Yes. 16 Police Department to have the door open to allow 17 BY MR. TAHDOOAHNIPPAH: 17 the arrest team to exit expeditiously? MR. GUNTA: Objection; scope and 18 Q. In dealing with a barricade situation, is it 18 19 the practice of the Green Bay Police Department to 19 form. 20 20 try to create an avenue for the person to Go ahead. 21 surrender? 21 THE WITNESS: Are you referring to 22 22 the Tubby incident on this situation? MR. GUNTA: Objection to form and 23 scope. 23 BY MR. TAHDOOAHNIPPAH: 24 THE WITNESS: Could you clarify? 24 Q. I'm referring to general practice for the 25 Are you speaking hypothetical or the Tubby 25 BearCat.

Page 100 Page 98 1 MR. GUNTA: Objection to scope and 1 that threat at the time. So does the officer need 2 form. 2 to specifically say what the threat is? No. It's 3 3 what they believe, what they perceive, what they Go ahead. 4 THE WITNESS: Yes. 4 encounter. So there's many threats, and then the 5 BY MR. TAHDOOAHNIPPAH: 5 officers respond to those threats. 6 Q. All right. If there is a barricade situation 6 BY MR. TAHDOOAHNIPPAH: 7 where the suspect is believed to be armed, is it 7 Q. Right. So if the officer perceives that the 8 the practice of the Green Bay Police Department 8 threat is a gun, it would be important for that 9 for the officer to communicate that to others? 9 officer to say, communicate, I think I see a gun. 10 MR. GUNTA: Objection; scope and 10 Agree? 11 A. Yes. 11 form. 12 12 Q. So if the officer says -- perceives a gun, it Go ahead, sir. 13 THE WITNESS: Yes. 13 would be inappropriate for them to say something 14 else, like, I see a knife? 14 BY MR. TAHDOOAHNIPPAH: 15 Q. And I want to rephrase that question because 15 MR. GUNTA: Objection to the form 16 I want it to be clear what I'm asking. 16 and objection to scope. 17 In the situation where there is --17 Go ahead. 18 in a barricade situation where the suspect is 18 THE WITNESS: You'd have to ask the 19 believed to be armed, is it the practice of the 19 officers who are experiencing that. 20 Green Bay Police Department to communicate the 20 BY MR. TAHDOOAHNIPPAH: 21 belief that the person is armed to other officers? 21 Q. Well, if you were experiencing the belief 22 A. Yes. 22 that someone had a gun, would you say that they 23 had a gun? Would you tell other officers that you 23 Q. And that's obviously important for officer 24 saw a gun? 24 safety. Agree? 25 A. Yes. 25 MR. GUNTA: Object to the form and Page 101 Page 99 1 Q. And it's important to unambiguously 1 scope. 2 communicate that the person is believed to be 2 Go ahead. THE WITNESS: I would report what I 3 armed. Agree? 4 saw, what I perceived, what I felt and what I MR. GUNTA: Scope and form. 4 5 5 believed to be whatever that threat was. Go ahead, sir. THE WITNESS: If the officers have 6 BY MR. TAHDOOAHNIPPAH: 7 Q. So if you perceived the threat to be a gun 7 time and the ability to communicate, yes. 8 BY MR. TAHDOOAHNIPPAH: 8 and you were on the radio calling for assistance, 9 you would use the word "gun." Fair? 9 Q. Right. And you want to be unambiguous and 10 MR. GUNTA: Objection to form and 10 say, we think this person is armed or this person 11 scope. 11 has a gun or a firearm or whatever the case may 12 12 be, correct? Go ahead. 13 THE WITNESS: Yes. 13 A. What do you define as unambiguous? What do 14 you mean by that? 14 BY MR. TAHDOOAHNIPPAH: 15 Q. You want to clearly say, I believe this 15 Q. Is it consistent with Green Bay Police 16 Department practices to bring a ride-along to an 16 person is armed or I believe this person has a gun 17 or I believe that this person has a firearm as 17 armed barricade situation? 18 opposed to saying, I believe this person may have 18 MR. GUNTA: Objection to form and 19 scope. 19 something. Agree? 20 20 MR. GUNTA: Objection; scope and Go ahead. 21 21 form. THE WITNESS: Can you rephrase the 22 22 question, please? Go ahead. 23 BY MR. TAHDOOAHNIPPAH: THE WITNESS: The officers perceive 24 a threat, and what that threat is could be 24 Q. Is it consistent with the policies or 25 different for every officer who's experiencing 25 practices of the Green Bay Police Department to

Page 104 Page 102 1 have an officer bring a ride-along to an armed 1 accepted police practices in Green Bay, Wisconsin 2 barricade situation? 2 to have a ride-along recording law enforcement's MR. GUNTA: Objection to form and 3 response to what was believed to be an armed 4 barricade situation? 4 scope. 5 Go ahead. 5 MR. GUNTA: Scope and form 6 THE WITNESS: No. 6 objections. 7 BY MR. TAHDOOAHNIPPAH: 7 Go ahead, sir. 8 8 Q. If there's a situation that an officer THE WITNESS: Can you rephrase that? 9 responds to with a ride-along and it then develops 9 I think I know the answer, but I just want to make 10 into a situation where there is an armed 10 sure. 11 BY MR. TAHDOOAHNIPPAH: 11 barricaded subject, what would Green Bay Police 12 Department practices be with respect to the 12 Q. Yeah. Would it be inconsistent with the 13 ride-along? 13 locally accepted police practices in your area to 14 14 have a ride-along using a cellphone to record the MR. GUNTA: Objection to scope and 15 form. 15 law enforcement response to an armed barricade 16 Go ahead and answer. 16 situation? THE WITNESS: The goal -- or the 17 17 A. Yes. 18 overall priority for this is the ride-along's 18 Q. All right. 19 safety. So if the officer responds to a situation MR. TAHDOOAHNIPPAH: I would like to 20 that develops into something more severe and 20 take a lunch break if that's okay with everyone. 21 dangerous, the officer needs to make sure -- or 21 MR. GUNTA: How long do you want to 22 that ride-along should not be there, the officer's 22 take? 23 direction is to put that ride-along or to try to 23 MR. TAHDOOAHNIPPAH: If we can come 24 find a safe location for that ride-along so that 24 back at 1:00, that would be perfect for me. 25 they are not in harm's way. 25 MR. GUNTA: Okay. I take it you're Page 103 Page 105 1 BY MR. TAHDOOAHNIPPAH: 1 going to deal with the sergeant after 1:00 at some 2 Q. So would that mean having the officer leave 2 time? 3 the scene with the ride-along or just having the 3 MR. TAHDOOAHNIPPAH: Yeah. That's 4 ride-along kind of move themselves farther away, 4 fine. 5 5 or could it be either or both? THE WITNESS: Am I still on at 1:00 MR. GUNTA: Objection to form and 6 as well? 7 7 scope. MR. TAHDOOAHNIPPAH: Yeah. THE WITNESS: It's all situational. 8 (A break was taken from 12:21 p.m. 9 I mean, you don't know. I mean, you don't know. 9 until 1:02 p.m., after which time Exhibit 10 was 10 Does the officer have time where the location is 10 marked for identification.) 11 occurring? I mean, there's so many variables 11 BY MR. TAHDOOAHNIPPAH: 12 involved. So the officer's direction is to make 12 Q. Commander Warych, good afternoon. 13 sure they get that ride-along to safety and not be 13 A. Good afternoon. 14 in the situation that they're in. 14 Q. I have marked an exhibit Warych 10. It 15 BY MR. TAHDOOAHNIPPAH: 15 should be available for you, so please pull that 16 Q. I take it then that it would be inconsistent 16 up on your screen, and let me know when it's 17 with Green Bay practices to have a ride-along 17 viewable. 18 using a cellphone to record law enforcement 18 A. Yes, it is. I can view that. 19 response to an armed barricade situation? 19 Q. Have you ever seen this before, this 20 20 document? MR. GUNTA: Objection; scope and 21 form. 21 A. Yes. 22 Go ahead. 22 Q. What is it? 23 THE WITNESS: Yes. 23 A. These are the procedures attached to Policy 24 BY MR. TAHDOOAHNIPPAH: 24 300, use of force, in the Green Bay Police 25 Q. Would it be inconsistent with the locally 25 Department policy manual.

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| 2 | UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF WISCONSIN | |
| 3 | FOR THE EASIERN DISTRICT OF WISCONSIN | |
| J | Susan Doxtator, Arlie Doxtator, | |
| 4 | and Sarah Wunderlich, as Special Administrators of the Estate of | |
| 5 | Jonathon C. Tubby, | |
| 6 | Plaintiffs, | |
| 7 | vs. Case No. | |
| | 1:19-cv-00137-WCG | |
| 8 | Erik O'Brien, Andrew Smith, Todd | |
| | J. Delain, Heidi Michel, City of | |
| 9 | Green Bay, Brown County, Joseph P. | |
| | Mleziva, Nathan K. Winisterfer, | |
| 10 | Thomas Zeigle, Bradley A. | |
| | Dernbach, and John Does 1-5, | |
| 11 | | |
| | Defendants. | |
| 12 | | |
| | | |
| 13 | | |
| 7 4 | DEPOSITION OF: NATHAN ALLEN | |
| 14 | | |
| 15 | TAKEN AT: GREEN BAY CITY HALL | |
| τO | LOCATED AT: 100 North Jefferson Street | |
| 16 | Green Bay, Wisconsin | |
| 17 | January 9, 2020 | |
| 18 | 8:30 a.m. to 1:38 p.m. | |
| 19 | REPORTED BY: VICKY L. ST. GEORGE, RMR. | |
| 20 | | |
| 21 | | |
| 22 | | |
| 23 | | |
| 24 | | |
| 25 | JOB NO. 3786670 | |
| | | |

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1 A. No. 2 Q. All right. So v

2 Q. All right. So what did you tell Agent Waterstreet?

3 A. That I asked Denney to -- Denney and the other

4 officers to yell commands at Tubby, and I believe

5 Erik O'Brien went around the transport van in the

6 front to get a closer distance between him and the

7 squad car that Tubby was in to yell at him to wipe

8 the windows.

9 Q. All right. So what actually happened was that there

10 was officers that yelled verbal commands for Jonathan

11 to wipe the windows of the squad?

12 A. Yes.

13 Q. Was there a response?

14 A. No.

15 Q. Do you know whether he could hear those commands?

16 A. Yes. After watching the squad video, you can hear

17 the commands clearly.

18 Q. Well, the squad video, that has like a microphone?

19 A. Yes, it does.

20 Q. That picks up sound?

21 A. Um-hum.

22 Q. And microphones don't pick up the sound in the same

way that the human ear does, right?

24 A. I don't know.

25 MR. GUNTA: Objection to the form of the

Page 42 Page 44

1 could hear them was these -- is the squad video?

2 A. Yeah, at least we know that they -- you could hear

3 the voices inside the squad car.

4 Q. You know that the microphone could pick up the voices

5 in the squad car?

6 A. Yes, yes, that's what I meant to say.

7 Q. All right. So there is no response as far as wiping

8 the windows down. How long did that kind of attempts

9 to give commands last?

10 A. I don't have an exact time for you. I know I gave --

11 I asked Denney and O'Brien to give those commands,

12 and I walked over to meet lieutenant -- not

13 lieutenant, Eric Allen on the sidewalk to formulate

14 this game plan.

15 Q. All right.

16 A. But the initial commands, he wasn't -- he didn't do

17 anything. So when there was no response after a

handful of commands, that's when I walked to the

19 sidewalk and we started formulating a game plan.

20 Q. What did you guys talk about?

21 A. How do we extract him out of this vehicle if he's not

22 going to come out.

23 Q. All right. What were -- what's the kind of ideas to

24 extract him did you come up with?

25 A. My initial plan was to break out the passenger side

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1

question. Go ahead.

THE WITNESS: There is a microphone in the

3 front and the back of the squad. So it was pretty

4 clear when I was listening to and watching the squad

5 video. So whether -- I don't know whether Tubby had

6 a hearing impairment or not, but it was very clear as

7 day on the video.

8 BY MR. TAHDOOAHNIPPAH:

9 Q. Well, you could adjust a microphone to be sensitive or

10 not sensitive somehow, right?

11 A. Yeah, but it's through a shut windows rolled up squad

12 car.

1

13 Q. Right. But I'm just trying to understand why you

14 think that the microphone is an accurate

15 representation of what a human being could hear. So

why do you think that?

17 A. I guess I'm not -- I'm not an audiologist, so I

18 couldn't tell you.

19 Q. So you couldn't say for sure whether he could hear

those commands or not?

21 A. I can tell you for sure that commands were given.

22 Q. But not whether he heard them?

23 A. I'm not -- I'm not Mr. Tubby, so I'm not sure what he

24 had heard inside the squad car.

25 Q. And the only basis you have at all to say that he

Page 45 driver window, the back window, and spray OC and

2 attempt to incapacitate him.

3 Q. All right. Was there a reason why you wanted to do

4 the passenger side window?

5 A. Because -- the driver's side passenger window.

6 Q. The driver's side passenger window?

7 A. Yeah. The driver's side rear passenger window.

8 Q. The driver's side rear window?

9 A. Yeah.

10 Q. Side window?

11 A. Side window.

12 Q. Is there a reason why you wanted to do that window?

13 A. Because there is a cage on that window, so you still

hold containment.

15 Q. And containment is an important -- is important in

this situation?

17 A. Extremely, extremely important.

18 Q. All right. And then you said the OC could

19 incapacitate him?

20 A. Yes.

21 Q. How does that work?

22 A. Well, people have different effects that happen to

them when they get sprayed. More often than not

though it's an irritant to the eyes, and your eyes

25 start to water and you start drooling and your nose

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1 starts running. And my hope was that if that

- 2 happened and you give him the OC, the irritant, that
- 3 he takes his hands out from underneath his shirt and
- 4 starts wiping his face, and you can then plainly see
- 5 that whether he has a gun or not in his hands.
- 6 Q. All right. And that was important because at this
- 7 point in time you still weren't sure whether he
- 8 actually did have a gun?
- 9 A. No. And you still can't see inside.
- 10 Q. All right. It sounds like that was the initial plan,
- 11 but you decided against it?
- 12 A. Yes.
- 13 Q. And why was that?
- 14 A. Because OC is a control or is something to
- incapacitate somebody, it's not a punishment. If I
- lock the doors, I put you in there and I spray OC in
- there, what are your options for getting out or
- surrendering. Turns into a torture chamber.
- 19 Q. Yeah.
- 20 A. Not good.
- 21 Q. Because you don't -- you certainly have no viable way
- 22 to get out?
- 23 A. No.

1

- 24 Q. And --
- 25 A. There is no clear way to see if this guy is going to

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- 1 A. Those guys are all holding perimeter on the vehicle.
- 2 So they all had their assignments.
- 3 Q. Okay.
- 4 A. Eric had showed up after the fact, and we had plenty
- 5 of security set up in the sally port.
- 6 Q. And holding the perimeter, that goes along with kind
- 7 of this notion of trying to -- of containment, right?
- 8 A. Well, for containment and then to observe, you know,
- 9 maybe at some point while we're over there talking
- 10 the game plan, Tubby does wipe the windows or tries,
- 11 attempt to make contact with us and then they can
- 12 just radio that over the air to Eric and I or whoever
- else is standing next or outside the sally port.
- 14 Q. All right. So Officer Allen's position on the SWAT
- team wasn't necessarily the reason you were talking to
- him, but it is the reason why you gave him the phone
- 17 with Lieutenant Gering?
- 18 A. Yes, because he's a SWAT team leader.
- 19 Q. What did you do when he was talking to Lieutenant
- 20 Gering on the phone?
- 21 A. I believe I got a radio transmission from dispatch
- that said hey, the county lieutenant is looking to
- 23 talk to you. Do you have a phone or a phone number
- that he can call you at.
- 25 Q. Okay.

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- surrender, hey, I give, I give.
- 2 Q. Yeah. I can understand that. And was that something
- 3 that you kind of realized yourself, or was that
- 4 something that Officer Allen pointed out to you, or
- 5 was that something that someone else pointed out to
- 6 you'
- 7 A. No, that's kind of with discussions with Eric and
- 8 myself that yeah, this is -- great idea at first but
- 9 how does he tell us clearly that hey, I give, I give.
- 10 Q. Right. Okay. So you kind of scrap that idea?
- 11 A. Yes.
- 12 Q. What's the next idea you come up with?
- 13 A. The next idea was -- I believe the next idea was hey,
- do you think we should get ahold of Lieutenant Gering
- 15 who is the SWAT commander and let's get his input,
- maybe we want to suit up the SWAT team and do this as
- 17 a full SWAT call.
- 18 Q. So did you then in fact call --
- 19 A. I called Lieutenant Gering, I gave him kind of a
- 20 brief overview because I said hey -- because Eric
- Allen is a team leader on the SWAT team, and he was
- 22 my team leader when I was on the SWAT team.
- 23 Q. Is that the reason you were talking to him in the
- 24 first place versus talking to Denney or O'Brien or
- 25 Wernecke or someone else?

Page 49 1 A. My phones were currently tied up, my other phone. My

- 2 personal phone was in my squad bag, so I had to wait
- 3 for Officer Allen to get done talking to Lieutenant
- 4 Gering.

12

- 5 Q. All right. Then what happened next?
- 6 A. Eric gets off the phone with Lieutenant Gering, they
- 7 run me down with the new plan of let's bring the
- 8 BearCat inside the sally port, we'll drive it up, you
- 9 know, within a few feet of the squad car that Mr.
- Tubby is in, see if we can observe anything.
- If not, the plan would be to take a shield
 - team around the front of the BearCat. You want me to
- 13 draw this out or can you --
- 14 Q. I can kind of visualize.
- 15 A. All right. They have a shield team lethal cover walk
- around the front of the BearCat, in between the
- 17 BearCat and the squad car, open the rear door, and
- 18 then we give him commands. And if he didn't come
- out, we would send the dog in from the rear of the
- 20 BearCat to extract him.
- 21 (Exhibit 15 marked.)
- 22 BY MR. TAHDOOAHNIPPAH:
- 23 Q. You've been handed Exhibit 15, and this is to kind of
- 24 follow-up on your suggestion to draw it out. The
- 25 BearCat is depicted in this diagram, correct?

Page 62 Page 64 1 A. Yes. 1 A. Yes. 2 Q. Says "I informed him that we have a suicidal 2 Q. It says here "Lieutenant Zeigle said that the Brown barricaded subject who is possibly armed in the back 3 County Jail sally port is a county building and said 4 he wanted us to break out a window." 4 of a squad car and again advocated for our plan." 5 5 Do you see that? Do you see that? 6 A. That was me explaining this is what we have. 6 A. Yes. 7 Q. Is that -- and that's what he said? 7 Q. So in other words, you didn't agree that you needed to break the window to see what you had because you 8 A. I'm sure that's paraphrased but yes, that's what he already had a working knowledge of the situation? 9 had said 10 Q. All right. And he specifically wanted you to break 10 A. Yes. out the rear window of the squad car? 11 Q. Do you think that he doubted that there was a suicidal 11 12 A. I believe that's what he had told Eric Allen. You 12 barricaded subject who was possibly armed in the back 13 of the squad car? 13 have to ask him that question. 14 14 Q. Okay. MR. SPARKS: Object to form. 15 THE WITNESS: You'll have to ask him. 15 A. But it was the -- break out a window was the plan 16 BY MR. TAHDOOAHNIPPAH: going forward, I guess was his plan going forward. 17 Q. But did you -- you didn't interpret his behavior at 17 Q. All right. You know that as a matter of what the time to express some doubt as to whether there was 18 happened, the rear window was the window that ended up 18 19 getting broken, right? 19 really a suicidal barricaded subject in the squad? 20 A. You'll have to ask him. I think he was more 20 A. Yes. 21 Q. Do you know whose idea it was to break the rear window 21 irritated in what I was questioning him. instead of the side window? 22 Q. You think he was irritated that you were questioning 23 him? 23 A. You're going to have to speak with Eric Allen. I 24 A. Yes. don't remember the conversation. I know there was a 24 25 break a window. I don't remember anything off the 25 MR. SPARKS: Object to the form. Page 63 Page 65 1 BY MR. TAHDOOAHNIPPAH: top of my head about specifics of breaking a window, 1 2 of which window we were going to break. 2 Q. In other words, he was irritated that you were -- was 3 Q. Do you know -- so I would presume then that you don't 3 he just -- do you know why he was irritated? 4 know why they decided to break the rear window versus 4 A. Reaction when I questioned him of what do we do next. the side window? 5 Q. He didn't appreciate kind of having his authority 6 A. I would assume that had to come from somebody. 6 7 7 Q. Do you have any idea as to why they would want to do MR. SPARKS: Object to the form. that? 8 THE WITNESS: I don't know if it was his 9 9 A. No good reason. authority, maybe it was his idea of how to resolve 10 Q. I mean the rear window doesn't have a cage on it, does 10 it. 11 BY MR. TAHDOOAHNIPPAH: 11 12 A. No, it does not. 12 Q. Do you think he was irritated at all that the 13 Q. So that would prevent a -- it would create an escape 13 situation itself was occurring and preventing normal route by breaking the rear window instead of the side 14 operation of the jail? 15 15 window? MR. SPARKS: Same objection. 16 A. Yes. 16 THE WITNESS: No, no. 17 Q. All right. Here it says that you told -- it says here 17 BY MR. TAHDOOAHNIPPAH: 18 "Lieutenant Zeigle then said no, I want you to break 18 Q. So you don't think that there was some pressure on the 19 out a window. I said okay, Tom, once we break out the 19 part of Brown County to get the situation resolved so 20 window, then what?" 20 they could just resume normal jail operations? 21 Is that what you told him? 21 MR. SPARKS: Object to the form, calls for 22 A. Yes. 22 speculation. 23 Q. Lieutenant Zeigle said "well, we need to see what we 23 THE WITNESS: No. 24 have." 24 BY MR. TAHDOOAHNIPPAH: 25 Is that what he said? 25 Q. Did you ever sense any pressure yourself from anyone

Page 122 1 Q. And that's Captain Ben Allen? 2 A. Yes, he was a lieutenant at the time. He's now a 2 3 3 4 Q. Was he coming onto duty, or was he just showing up 4 5 because he heard you were involved? 6 A. No, I -- I attempted to call -- he was the only 7 detective lieutenant I could get ahold of at the 7 8 8 time. 9 Q. Okay. 9 10 A. I did get ahold of Gering, he's a lieutenant 10 11 detective, but his was debrief what had happened. He 11 12 wasn't in town I don't believe or something. And 12 13 then I called Ben, and Ben responded to the area. 13 14 And he's in charge of the --15 MR. TAHDOOAHNIPPAH: Off the record. 16 16 (Discussion off the record.) 17 BY MR. TAHDOOAHNIPPAH: 17 18 Q. So I think we were at the part where you're on the 19 scene still, your brother shows up. What did you do 19 20 20 21 A. When Ben was there we did a walk-through of where 21 everything was positioned. My original plan, the 23 plan that Keith, Eric and I agreed to and thought was 23 24 our best course of action, and then what had happened 24 when we were -- I guess when that plan was taken off 25 Page 123 1 the table and then when Lieutenant Zeigle showed up 2 and this is the plan. 2 3 Q. Did you think it was a mistake to take your plan off the table? 5 A. Yes. 5 6 Q. Why is that? 7 A. I believe to this day that if we went with our plan, Jonathan would have went to the hospital with some 9 dog bites and that would have been the end of the 10 10 day. 11 Q. Is there anything particular about the plan that was 11 executed that you think -- or what about the plan that 13 was executed do you think led to his death rather than 14 just some dog bites? 15 A. Once you breached that back window, you lose all 15 16 containment and you -- he's now in charge, and you're 17 reacting off of whatever he decides to do. 18 Q. Anything else? 19 A. It was just a real bad plan. 19

MR. GUNTA: I'm sorry, I didn't hear you.

22 BY MR. TAHDOOAHNIPPAH:

appropriate at the time?

23 Q. And that's the lack of containment. Was the

introduction of OC spray, do you think that was

THE WITNESS: It was just a real bad plan.

20

24

25

Page 124 1 A. Yeah, I think it was appropriate. It's an option and it's appropriate. If he doesn't comply with commands to show us his hands, the next progression is introducing OC which is a much lower level of force than the use of lethal force or shooting him. 6 Q. Do you think that introducing OC though into a confined space, do you think that forced him out of the vehicle? MR. SPARKS: Object to the form. MR. GUNTA: Objection to the form of the question. Go ahead and answer. THE WITNESS: I don't know if it forced him out of the vehicle. 14 BY MR. TAHDOOAHNIPPAH: 15 Q. Do you think it was foreseeable that he would try to exit the vehicle when OC spray was introduced given that he had an escape route? 18 A. I think breaching the back window gives you an escape route on the onset, and introducing OC is more of a control thing. And the hope was to force him to show us his hands. 22 Q. So the primary deficiency you think in the plan executed was just creating that escape route by breaching the back window? 25 A. Yes. Page 125 1 Q. Is there anything else about that plan that you think was a bad idea? 3 A. Breaching the back window gives him, even prior to us introducing OC, if he has the gun, he can see where we're at and he can start shooting. 6 Q. Any other deficiencies in that plan that was executed? 7 A. I'm sure there is, you know -- we could "what if" that to death. 9 Q. Yeah. Well, let's talk about the open doors for a moment. Both the garage doors were open to the sally port? 12 A. Yes. 13 Q. Do you know why that was? 14 A. I think it allows officers a better cover than a squad car. 16 Q. An open door provides for cover? 17 A. No, the walls here, if we've got -- those. 18 Q. So the idea was to open the doors so that the walls could be used for cover? 20 MR. GUNTA: Objection to the form of the 21 question. Go ahead and answer. 22 THE WITNESS: Yeah. I wouldn't want to 23 get into shooting, shoot-out in a confined area like

that where my only cover is a car door.

25 BY MR. TAHDOOAHNIPPAH:

24

Page 134 Page 136 1 A. It's looked into, but it's not a disqualifier. 1 honorable, it just depends on the specific 2 Q. When you say it's looked into, is it looked into how? 2 circumstances underlying the discharge? 3 A. You're questioned on it. And then if we don't maybe 3 A. Between the circumstances and the potential get what we're looking for, we'll call the candidate's honesty about that. 5 Q. So again, if someone had omitted a less than honorable jurisdiction and maybe pull the report to see what 6 discharge from their employment application, would all happened. 7 Q. So what exactly happened could influence whether or 7 that be disqualifying? not the person would be hired as a police officer? 8 MR. GUNTA: Objection to the form of the 8 9 9 A. Between what happens and the truthfulness of the question. Go ahead and answer. 10 officer. 10 THE WITNESS: It could be. I guess we all 11 11 Q. So if someone omitted disclosing a disorderly conduct understand in that professional standards doing 12 on their employment application, would that be backgrounds, we all make mistakes when we're young 13 disqualifying? 13 and however you learned or come back from that I 14 MR. GUNTA: Objection to the form of the 14 guess. 15 15 BY MR. TAHDOOAHNIPPAH: question. Go ahead. 16 THE WITNESS: Can you ask that again? 16 Q. And if you are omitting it from your employment 17 MR. TAHDOOAHNIPPAH: I'll ask the reporter application, it probably seems --18 to read it back. 18 A. That's bad. 19 (Record read.) 19 Q. That's bad. Let's say that someone omitted like a 20 THE WITNESS: It could be, yes. 20 criminal history or military service less than 21 21 BY MR. TAHDOOAHNIPPAH: honorable discharge from their employment application 22 Q. Is military service something that is part of the 22 but no one finds out about it until later. Is there 23 background check conducted by the professional 23 any policy about whether to discipline or terminate 24 standards division? 24 that person? 25 A. Yes. 25 MR. GUNTA: Object to the form of the Page 135 Page 137 1 Q. Are there any policies about hiring people that have 1 question. had something other than an honorable discharge? 2 THE WITNESS: That has happened. 3 A. I don't think there is a policy whether we would hire 3 BY MR. TAHDOOAHNIPPAH: that person or not if they had a dishonorable 4 Q. It has happened? discharge. Is that what you're asking? 5 5 A. Yes. 6 Q. Yeah. So there is several levels of discharge from 6 Q. When has that happened? the military, right? 7 A. We've had an incident where somebody failed to 8 A. Yes, yes, there are. disclose an incident after the background was 8 9 9 Q. And honorable is typically I would assume you would completed and then they go back and look into it. 10 10 like to see? And if the severity is enough, I guess they would 11 look to seek termination. 11 A. Yes. 12 Q. So if it's something that is not honorable, is that 12 Q. So again, it depends on the circumstances of the crime disqualifying? 13 or military discharge itself? 14 A. It could be. It just depends on I guess what it is 14 A. Yes. or why they were discharged. 15 15 Q. Does the mere fact of the omission lead to any sort of 16 Q. Okay. So does it depend on -- there are several 16 discipline? 17 17 discharge categories, right? MR. GUNTA: Object to the form of the 18 question. Go ahead and answer. 18 A. Yeah, to my knowledge there is. 19 Q. There is like a dishonorable discharge? 19 THE WITNESS: That would be up to the 20 A. Yes. 20 chief of police. That's not my realm. 21 Q. Would that categorically be disqualifying? 21 BY MR. TAHDOOAHNIPPAH: 22 A. Dishonorable discharge could be somebody just went 22 Q. What did you do to prepare for your deposition today? 23 AWOL, and I don't think that would disqualify you 23 A. I met with Attorney Gunta yesterday and reviewed all 24 24 my documents. from gaining employment. 25 Q. Okay. So when it comes to something that is less than 25 Q. Was anyone else there?

Wisconsin Department of Justice Division of Criminal Investigation



Interactive Case File

18-7807
Green Bay PD OID
Jonathon C. Tubby

S/A Kyra Schallhorn

CONFIDENTIAL BC JCT000668

Wisconsin Division of Criminal Investigation Case Report

Case/Report Number: 18-7807/9 - Interview of Sergeant Jason J. Katers

Pages: 101

Sgt. Katers said the next plan was to get a canister of oleoresin capscum (OC) spray and deploy it into the squad car. Officer Eric Allen obtained a canister and sprayed Tubby direct. Sgt. Katers saw Tubb get out of the back window seconds within being sprayed and ran toward officers by the entrance garage door of the sally port. Sgt. Katers said Officer Salzmann deployed his dog and Sgt. Katers heard a bean bag shotgun be deployed along with gun shots.

Sgt. Katers got a shield and approached Tubby with officers and started to render aid to Tubby. The jail nurse came out to assist and continued aid until rescue arrived when they declared Tubby deceased.

Sgt. Katers continued scene security and added he couldn't see much during the shooting but heard the shots be fired after the bean bag shotgun deployment. Sgt. Katers said he is a member of the Brown County SWAT Team and was familiar with the difference of a less lethal and lethal weapon.

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Case/Report Number: 18-7807/10 - Interview of Deputy Joseph P. Mleziva

ACTIVITY:

On Saturday, October 20, 2018, Special Agent (S/A) Carl G. Waterstreet of the Wisconsin Department of Justice, Division of Criminal Investigation (WI DOJ/DCI) assisted with an Officer Involved Shooting investigation that occurred within the Brown County Jail, City of Green Bay, Brown County, WI. As part of that investigation, S/A Waterstreet interviewed Deputy Joseph P. Mleziva of the Brown County Sheriff's Office.

INTERVIEW OF DEPUTY MLEZIVA:

Deputy Mleziva was assigned to the afternoon shift working the East side of Green Bay, Denmark and Manitowoc section, field training Deputy King Jerome. Deputy Mleziva said Lieutenant (Lt.) Thomas Zeigle instructed him to respond to the Brown County Jail to assist with a male subject, later identified as Jonathan C. Tubby (M/I-DOB-12/24/1991) who was inside the back of a Green Bay squad car holding something to his chin.

It took Deputy Mleziva approximately fifteen (15) minutes to get to the Jail and observed several officers already on scene. Deputy Mleziva observed the bearcat armored vehicle next to a Green Bay squad car, several officers with lethal cover, and an officer with less lethal cover all pointed towards the Green Bay squad car. Deputy Mleziva was told Tubby was arrested and had slipped his hand cuffs and was now in the back of the car with what they thought was a gun.

Deputy Mleziva observed an officer from the turret of the bearcat fire a less lethal round into the back window of the squad car, and then saw an officer clear the back window out with a window break tool. Deputy Mleziva heard officers give Tubby several verbal commands, however he did not comply. Eventually he observed an officer deploy oleoresin capsicum(OC) into the back of the Green Bay squad car.

Deputy Mleziva saw a dark haired male (Tubby) get out of the back of the squad through the window in an aggressive manner. Deputy Mleziva disengaged to the south side of the garage door and heard several officers yelling, "stop, show hands". Deputy Mleziva heard what he believed to be a bean bag shot gun discharge and then several gun shots. Deputy Mleziva said he pulled a few officers aside and attempted to develop a plan in a calm manner. Deputy Mleziva looked around the corner of the garage door and observed Tubby laying on the floor. Several officers were rending aid and eventually a nurse came out and assisted with aid. Deputy Mleziva started scene security and a crime scene log until 12:15 a.m. when he was relieved to respond to the Green Bay Police Department.

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CONFIDENTIAL BC JCT000727

ACTIVITY

On Saturday, October 20, 2018, Special Agent (S/A) Ryan Dobbs of the Wisconsin Department of Justice – Division of Criminal Investigation (DCI), interviewed Brown County Sheriff's Department (BCSO) - Jail Division Correctional Officer (C/O) Mitch W. Lemke in reference to an officer involved shooting which occurred at 3030 Curry Lane, City of Green Bay, Brown County, Wisconsin. The officer involved shooting resulted in the death of Jonathon Collins Tubby, M/I, DOB 12/24/1991.

At approximately 2:10 a.m., S/A Dobbs made contact with C/O Lemke in the main lobby sitting area of the Brown County Jail (BCJ). C/O Lemke voluntarily spoke with S/A Dobbs in reference to the officer involved death investigation. C/O Lemke indicated he was currently working a 6:30 p.m. (Friday, October 19, 2018) to 6:30 a.m. (Saturday, October 20, 2018) shift.

C/O Lemke was working on the security team at the BCJ and shadowing C/O Delorit at the time of the incident. C/O Lemke indicated he and C/O Delorit were processing a subject's property in the arrest area, when C/O Lemke observed Green Bay Police Department (GBPD) officers frantically waving for assistance. C/O Lemke advised he observed officers with TASER's drawn, pointing them toward the rear of their squad car. C/O Lemke described his view being at the rear of the GBPD squad car, whereas the squad car's trunk was facing the arrest area windows. C/O Lemke advised C/O Delorit who advised the on duty BCJ Corporal of an incident in the sally port. During the incident, C/O Lemke indicated he heard officers directing the subject to un-fog the windows. C/O Lemke indicated he heard a bean bag shot C/O Lemke advised he heard an officer say "Put your hands up," when the subject began running toward the sally port overhead door entrance (which he observed as well). C/O Lemke indicated he heard approximately five to ten shots, which he believed to the gun shots. C/O Lemke advised the on duty BCJ nurse, who was staged by the main BCJ intake area, was called for. C/O Lemke observed officers checking each other for injuries. C/O Lemke remained in the arrest area for the duration of the incident until law enforcement gained control and secured the scene.

C/O Lemke had completed BCSO Statement Form, prior to his interview with S/A Dobbs. S/A Dobbs did obtain a copy of C/O Lemke's statement form, which was electronically attached to this report.

At approximately 2:21 a.m., the interview with C/O Lemke concluded.

CONFIDENTIAL BC JCT000748

storage devices, the monitor, keyboard, and modem are all instrumentalities of the crime of narcotics trafficking and should all be seized as such.

s. Furthermore, based in part on your affiant's training and experience, as well as the facts and circumstances outlined in this affidavit, your affiant will request the authorization to seize, for later analysis, any electronic data processing and/or storage devices, electronic communication systems which also includes electronic data processing units and/or data storage devices and all electronic memory contained within seized electronic devices, which includes cellular telephones, computers or electronic communication devices and components, which also includes electronic processing units, internal storage devices, together with indicia of use, ownership, possession, or control of such records. The actual analysis of any seized digital evidence will be conducted at a later time due to the protracted time necessary for a full and thorough forensic examination.

FACTS AND CIRCUMSTANCES

9. On the evening of Friday October 19, 2018, the Wisconsin Department of Justice (WI DOJ) – Division of Criminal Investigation (DCI) was contacted by the Green Bay Police Department to investigate an officer involved shooting, which resulted in the death of Jonathon C. Tubby, M/N, DOB: 12/24/1991. The incident occurred earlier that same evening within the sally port of the Brown County Jail.

Earlier that evening at approximately 7:26 p.m, the Green Bay Police Department initiated a traffic stop of a vehicle in the City of Green Bay for displaying unauthorized vehicle registration plates (the registration plate displayed was not associated with the vehicle). The vehicle was a green 1997 Pontiac Grand AM, VIN #1G2NE52T5VC855119.

The vehicle was occupied by the following two individuals:

Theresa A. Rodriguez, F/H, DOB: 11/29/1983 Jonathon C. Tubby, M/N, DOB: 12/24/1991

Both of the aforementioned individuals had arrest warrants; Tubby's arrest warrant was a Felony bench warrant for failure to appear. As a result, both individuals were taken into custody without incident.

The vehicle was removed via law enforcement ordered tow, and later secured into the GBPD impound garage.

In accordance with the WI DOJ/DCI follow-up investigation, Special Agent Carl Waterstreet conducted an visual examination the green 1997 Pontiac Grand AM at the GBPD garage, from the exterior of the vehicle. The vehicle was previously occupied by Rodriguez and Tubby prior to their arrests.

Wisconsin Department of Justice DCI
Case: 21-2101 Document: 16 Filed: 11/01/2021 Pages: 101

Interview 18-7807/24

Report Date: 10/23/2018

Primary Information

Approved Date:

Description: Interview with Jerry Johnson

11/12/2018

Reporting LEO: Sleeman, Tami M (Appleton Narcotics DCI / Wisconsin Department of Justice DCI)

Backup LEO: Waterstreet, Carl G (Appleton HT DCI / Wisconsin Department of Justice DCI)

Approval Status: Approved

Approved By: Sasse, Michael C (DCI Appleton Field Office / Wisconsin Department of Justice DCI)

 Subjects
 Relationship
 Name
 Bio
 DOB

 Interviewed
 Johnson, Jerry (Person)
 59 yr. old, White, Male
 08/22/1959

Narrative begins on the following page.

11/15/2018 10:44:53 Page 1 of 3

Case/Report Number: 18-7807/24

On Friday, October 19, 2018, at approximately 7:30PM, the Green Bay Police Department initiated a traffic stop on a vehicle in the City of Green Bay for displaying unauthorized vehicle registration plates. It was later determined that Jonathon C. Tubby (12/24/1991) was driving the vehicle. Theresa A. Rodriguez (11/29/1983) was the passenger in the vehicle. Both Tubby and Rodriguez were arrested and transported to the Brown County Jail separately.

An incident occurred while in the sally port of the Brown County Jail with Tubby and law enforcement. Tubby died as a result of an officer involved shooting.

On October 19, 2018, the Green Bay Police Department contacted the Wisconsin Department of Justice – Division of Criminal Investigation to lead the investigation into the officer involved shooting.

On Tuesday, October 23, 2018, at 12:40PM, DCI Special Agents Tami Sleeman and Carl Waterstreet interviewed Jerry Johnson (08/22/1959). Johnson was doing a ride-a-long with the Green Bay Police Department on the evening of Friday, October 19, 2018. Johnson retired from the Green Bay Police Department as a police officer.

On October 19, 2018, Johnson advised that sometime between 7:30PM and 8:00PM, a call came into dispatch about an incident at the Brown County Jail in the sally port. Johnson arrived at the scene as a ride-along. Johnson stated that he was outside the sally port during the incident. Johnson stated that he observed a Green Bay Police Officer bring Green Bay Police Officer Colton Wernecke back towards the sally port entrance near a patrol vehicle at which time Officer Wernecke was provided an exterior vest, helmet and a rifle.

Johnson stated that the BearCat arrived on scene. Johnson advised that he did take several pictures and a video of the incident to include the BearCat when it was in the sally port. Johnson had been on the SWAT team when he worked for the Green Bay Police Department and was going to give the video to Green Bay Police Officer Eric Allen who had been in the BearCat at the time of the incident.

Johnson observed an officer in the BearCat spray OC Spray into the back window of the patrol vehicle, where Tubby had been seated. Johnson advised that an officer in the BearCat also used a tool to break out the back window so law enforcement could see into the back seat where Tubby was at.

At one point another patrol vehicle pulled up outside of the sally port and parked to the right (east) side of the entrance door. There was an arrestee in the back seat of the patrol vehicle and Sgt. Thomas Denney asked Johnson to stay by the patrol vehicle and watch the arrestee.

Johnson stated that he heard someone say, "he" was coming out (Johnson believed they were referring to Tubby). Johnson stated that he looked around the area to see where his daughter was, who was also a Green Bay Police Officer and then continued to stay near the patrol vehicle where

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Wisconsin Division of Criminal Investigation Case Report 11/01/2021 Pages: 101 Case/Report Number: 18-7807/24

the arrestee was in. Johnson said that Tubby was out of the vehicle "fast" and Johnson was not sure how Tubby got out so fast. Johnson said that he heard a "pop" like a bean bag round being used, then a short pause and then gun fire. With Johnson's experience as a police officer and a member of the SWAT team, Johnson knew the difference between a "bean bag" round being fired and a firearm.

Johnson advised that it happened quickly, approximately 10 seconds. Johnson stated that Officer Erik O'Brien fired his firearm.

Johnson provide S/A Waterstreet with the photographs and video via email. A copy of the photograph's and video have been uploaded into the DCIR electronic files.

The interview ended at 1:05PM.

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BC JCT000780

Case/Report Number: 18-7807/34

Officer Salzmann thought he heard a less lethal shot fired and then heard shots fired from a handgun. As Officer Salzmann heard the shots, Officer Salzmann saw Tubby "tense up and become rigid." Officer Salzmann saw Tubby fall to the ground.

Officer Salzmann stated that it all happened very quickly anywhere from three to five seconds.

Officer Salzmann advised that he is a firearms instructor and is familiar with the sound of a firearm and a less lethal (bean bag rounds). Officer Salzmann advised that the less lethal and the firearm shots were almost simultaneous. Officer Salzmann stated that he heard two less lethal and six to eight rounds from what sounded like a .45 caliber firearm.

Officer Salzmann stated that he was in the direction of where the rounds were being fired towards and pulled Pyro back. Officer Salzmann stated that the subject had fallen on his stomach and with assistance from Pyro, Tubby was rolled onto his back. Tubby's hands were still up under his shirt and were up towards Tubby's face. Officer Salzmann observed blood in the upper torso area of Tubby. Officer Salzmann observed Tubby "tense up" when the shots were fired.

Officer Salzmann went back to the BearCat and then brought Pyro back to his patrol vehicle using the exit sally port door. Officer Salzmann put Pyro back in his vehicle.

Officer Salzmann went back to the entrance of the sally port. Officer Salzmann said that Tubby still had his hands under his shirt. Other Officers approached Tubby with a shield for protection. As officers were securing Tubby, Officer Salzmann went back in the area of the BearCat at which time Officer Merrill checked Officer Salzmann to make sure Officer Salzmann was ok. Officer Salzmann went back to check on Pyro to make sure Pyro was ok.

Officer Salzmann believed that Tubby was armed and Officer Salzmann believed that when Tubby was running in the direction of the officers that Tubby was going to hurt the officers.

Officer Salzmann spoke with Officer O'Brien who had fired the shots at Tubby. Officer O'Brien was taken away from the scene.

ADDITIONAL INFORMATION

Officer Salzmann was provided a map of the scene. Officer Salzmann drew on the map. The map showed the positions of the vehicles within the sally port. It should be noted that the patrol vehicle that Tubby had been in showed the doors of the vehicle to be open. The doors were not open during the incident. A copy of the diagram has been attached to this report.

The interview ended at 11:48AM.

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Case/Report Number: 18-7807/36

times with no compliance. Officer Allen could see a bulge in Tubby's shirt. Tubby was "mumbling" but Officer Allen could not make out what Tubby was saying.

Tubby was sitting backwards in the back seat, looking out the back window. Officer Allen advised Tubby, that Officer Allen was going to deploy OC. Officer Allen gave another command for Tubby to show his hands, with no compliance. Officer Allen sprayed OC (Oleoresin Capsicum) into the back seat area. Officer Allen then told Officer Salzmann to deploy his K-9, at the same time Officer Merrill advised that Tubby was coming out of the car. Officer Allen repositioned himself so he could see Tubby. Tubby was already standing outside of the vehicle on the concrete floor, by the time Officer Allen could see Tubby. Tubby's hands were still under his shirt with his hands positioned towards Tubby's face. Officer Allen observe K-9, Pyro engaging Tubby on Tubby's right side.

Officer Allen observed the subject run toward the entrance of the sally port door. Officer Allen heard two rounds from a less lethal, referring to bean bag rounds. Officer Allen then heard several shots from a firearm. Officer Allen did not know or see who fired their firearm(s) or the less lethal.

Officer Allen got out of the BearCat and observed officers near Tubby, providing first aid. Officer Allen got back into the BearCat and rendered his 40mm, less lethal safe.

Officer Allen did not recognize Tubby or did not have any prior dealing with the Tubby in the past.

The interview ended at 12:20PM.

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Case/Report Number: 18-7807/39

bar on the top and spot lights on the sides. S/A Schallhorn knows from the investigation that it was squad #42.

Officer Wernecke was wearing his full GBPD uniform, including a long sleeved uniform shirt with shoulder patches and a metal badge on the front. He also wore a baseball style cap with a stitched badge on the front.

Officer Wernecke carries a Glock 17 9mm handgun. He also has access to a rifle, which is kept in the front seat of the squad, between the driver and passenger seats. On October 19, Officer Wernecke was driving the Dodge Charger squad #42, and Officer O'Brien was riding in the passenger seat.

Officer Wernecke attended roll call at 2:15 p.m. and then prepared to go on patrol. A part of making the squad ready for patrol was searching the back seat of the squad before shift. Officer Wernecke said he checks the squad and makes it squad ready before every shift. Officer Wernecke said there was no one else in the back seat of the squad on October 19 before Tubby was placed in the back of the squad.

Officer Wernecke was asked about the earlier part of his shift before his eventual contact with Tubby. He said he and O'Brien went to a weapons call on the west side of the city. The call was for a male who had been running through yards and who had entered a home. The male was apparently on drugs and hallucinating that people were chasing him. The male was taken into custody and was transported to St Mary's Hospital to be medically cleared. Officer Wernecke said another squad did the transport of the male.

Officer Wernecke was asked about his contact with Tubby and what first alerted him to the vehicle Tubby was driving. Officer Wernecke stated that he noticed a large Wisconsin license plate with red letters, with no month or year sticker. He said the plate didn't look right. He started following the vehicle, heading westbound on Main St, just before Madison. The vehicle turned right or northbound on to Madison, turning through an "orange" light without stopping first. The vehicle then turned left into the parking lot of the Hyatt Regency hotel.

As Officer Wernecke followed the vehicle into the hotel parking lot, under the arm that controlled traffic into the lot, he turned on his squad lights. The vehicle continued driving though the parking lot. Officer Wernecke briefly turned on his squad siren and then turned it off again, as the vehicle kept driving through the lot. Officer Wernecke said he thought it was odd that the vehicle was not stopping. The vehicle eventually did stop in the lot, facing eastbound toward Madison St. Officer Wernecke called out the traffic stop over the radio.

Officer Wernecke stated that Officer O'Brien had requested a second squad to respond when the vehicle wasn't stopping. GBPD Officer Tyler Haack showed up on scene.

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in color. Officer Haack stated that he wasn't sure if it was the handcuffs or something else as he wasn't able to see that well.

Officer Haack said that once the back window was raked out he observed the male subject get up higher in the back seat of the squad and started making his way out of the back window of the car. Officer Haack stated that he observed one of the subject's hands up under his shirt with a noticeable bulge in the center Officer Haack wasn't sure what hand this was and said that he of the shirt. could only see the one hand at that time, but believed that it was his right hand that he could see up under the shirt. Officer Haack described the bulge under the shirt as being extremely distinct towards his sternum area. Officer Haack stated that the male got out of the car and started running towards the sally port entrance door where other units (officers) were positioned. Officer Haack said that the male was running towards the officers with his hand still up under his shirt. Officer Haack wasn't sure if the male subject was saying anything or not and described the male running towards the officers to his right angling away from his location. Officer Haack also stated that he recalled someone (officer) deploying a bean bag round, which didn't seem to faze the guy at all. Officer Haack said that he wasn't sure if the k-9 was actually deployed on the subject or not. I asked Officer Haack if he observed the subject get hit with the bean bag round and he said that he heard the bang as the subject was running towards the officers by the entrance to the sally port. Officer Haack stated that he could see the subject closing the distance between himself and the officers by the garage door.

Officer Haack stated that while he couldn't tell what the subject had under his shirt it appeared from his vantage point to be a gun, knife or some type of weapon up under his shirt. Officer Haack said that he could see Officer O'Brien kind of crouch down and then heard a couple loud bangs before seeing the subject fall to the ground. I asked Officer Haack if he actually saw the shooting and he stated that it was more something that he heard, but at the same time he could see the muzzle flash from the weapon. Officer Haack didn't recall the exact number of rounds that were fired, but described it as being more than one and estimated it to be around five (5). Officer Haack stated that his knowledge Officer O'Brien was the only one who fired their weapon.

I then asked Officer Haack what he observed after the shooting and he stated that he went to a position of cover by the back of the Bear Cat at that point. Officer Haack stated that he observed a group of officers go up to the subject and begin life saving measures. Officer Haack said that his role at that point was scene security as other officers were handling the subject who was on the

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reported that the OC caused a reaction in the male almost immediately because he observed him starting to "bounce and jump" all over in the back of the squad car. Then, Officer Eric Allen told him to back the BearCat up so that they could gain a better vantage point of the male. He advised that as he started to back up he heard that the male was getting out and to get the dog so he stopped the BearCat. He said that he heard K-9 Officer Salzmann say that he was deploying out of the back. He said that he could see the male "duck and roll" out of the back seat through the rear window and over the trunk of the squad car. He reported he didn't see the male after that but heard "a lot of yelling." He said that he asked Sgt. Katers if the dog was biting the male because as a dog handler and trainer it was a concern for him. He advised that Sgt. Katers told him that the dog was on the male so his thought was to get out and position himself at the back of BearCat to assist if needed.

Officer Merrill reported that as he stepped out of the BearCat he heard "five, six, seven shots maybe" and thought to himself that it was to many rounds from a shotgun deploying a bean bag round and that it sounded different than a bean bag round. He reported that he peaked around the back of the BearCat and could see that K-9 Officer Salzmann's dog was still biting the male. He said that he heard Officer Salzmann giving the dog a verbal command to release ("out") but the dog wasn't obeying the command. He said that Officer Salzmann was near the squad parked at the entrance of the sally port. He further advised that he only saw Officer Salzmann, his dog, and the male. He reported that he yelled to Officer Salzmann "Tac out. Do a tac out." which meant to perform a tactical release on the dog to release the bite on the male. He then observed Officer Salzmann do a tactical release on his dog. He said that he then told Officer Salzmann to control the dog's head because he didn't want anyone else getting bit unintentionally. He reported that Officer Salzman and his dog came towards him and he told Officer Salzman to get his dog outside; away from everybody, which he did.

As that was happening, Officer Merrill said that he heard Sgt. Denny, Officer Stevens, and Officer Christensen were quickly formulating a plan out loud to deal with the male because he was lying on the ground not moving but his hands were still up underneath his shirt. He said that he yelled out loud that he would get a shield. A plan was devised to approach the subject with the shield, lethal force, and a hands team. He said that he approached the male with the shield, Sgt. Denny (GBPD) and Officer Kevin Stevens went hands on, and someone was there with lethal force. He reported that he placed the shield on the male's upper torso and the males hands were pulled from underneath his shirt. He said that he observed the male's hands were in handcuffs and at the time his hands were empty. He advised that he removed the shield from the male and he could tell that the male wasn't responding as there was no struggle or fight. He said that he put the shield back in the BearCat.

Office Merrill said that as he looked back at the male he could tell he had been shot so at that point he wanted to make sure everyone was ok. He advised

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Haack's location. Officer Walvort explained that the Bear Cat was coming in from the opposite side where the exit garage is for the sally port. Officer Walvort stated that the Bear Cat pulled up next to Officer O'Brien's squad on the driver's side as he was back by Officer Haack's squad. Officer Walvort said that he then observed Officer Allen come out of the turret from the Bear Cat with what he though was a 40mm round gun (less lethal rounds) that he fired through the back window of the squad to open it up so that we could see inside. Officer Walvortsaid that the window shattered to the right side (passenger side) and that is when he could see the subject in the squad slid over towards the driver's side of the backseat. Officer Walvort said that someone in the Bear Cat then was able to break out the driver's side of the rear window so they could get a better view inside the car and of the subject.

Officer Walvort said that he observed the subject in the back of the squad sit up and reposition in the back of the car once the window was broken out. Officer Walvort described the subject as looking emotionally distraught and crying as the subject was looking directly back at him and Officer Haack. Officer Walvort stated that he heard Officer Allen giving the subject commands to show us his hands, but the subject was refusing to obey commands and wasn't showing his hands.

Officer Walvort said that another officer then proceeded to pass a larger can of some sort of chemical spray to Officer Haack who then passed that on to the officers in the Bear Cat (believes Officer Allen). Officer Walvort said that the chemical was then sprayed into the back of the squad car through the broken out window. Officer Walvort said that the spray/chemical seemed to impact the subject's behavior with him moving around more in the back in the car. Officer Walvort stated that he thinks he recalls hearing the subject yelling something and then a short time later he observed the subject start to come out of the back window. Officer Walvort described the subject coming out of the back window as coming out head first. I then asked Officer Walvort if he could see the subject's hands at that point and Officer Walvort said that when the subject had gotten out he saw what he believed to be the subject's left hand (not sure) balled up under his shirt. Officer Walvort said that the hand wasn't really exposed and showed me how it was balled up under his shirt. Officer Walvort indicated that he could see a bulge under the shirt somewhere up by the subject's chest area. Officer Walvort said that the subject then started running and/or stumbling towards the garage door (entrance) where Officer O'Brien and some others were. Officer Walvortsaid that an Officer to his right that he believed to be Sgt. Denney then fired the bean bag (less lethal) round. Officer Walvort didn't actually see the firing of the bean bag rounds, but said that based on his experience that was the gun that was fired. Officer Walvort described the sound of the bean bag gun as being much quieter

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than that of an actual firearm, which is how he was able to tell the difference between the two of them. Officer Walvort also said that he observed the rounds fired from the bean bag gun bouncing, but didn't know if the rounds actually hit the subject or not.

Officer Walvort said that at this time the subject was now on the other side of Sgt. Denney's squad making it hard for him to see everything that was going on as the squad was no between him and what was going on. Officer Walvortthen said that Officer Salzmann had deployed his k-9 partner, but wasn't sure if he actually observed the dog being deployed or just saw the dog later on the subject's lower extremity. Officer Walvort indicated that he was moving towards the garage entry door from his previous position when he observed Officer Salzmann's k-9 partner engaged with the subject somewhere from the chest down (thought legs). Officer Walvort said that it was right around that time that he heard gun shots as he described the explosions as being louder than that of the previous shots. Officer Walvort didn't see any muzzle flash or the actual shots being fired, but he did see blood coming from the subject giving him the belief that someone used their firearm. Officer Walvort stated that at the time the shots went off he wasn't aware of who actually shot their weapon. Officer Walvort said that the subject was on the ground motionless and he observed Officer O'Brien taking a deep breath (autogenic). Officer Walvort said that he then went outside the sally port area near the flowers and bushes.

Upon completion of the initial interview I reviewed my notes with Officer Walvort and asked him if there was anything that needed to be changed, added, or deleted from the notes that I took. This was the point in the interview with Officer Walvortdescribed the subject as being emotionally distraught and that he could hear the subject crying. I also asked Officer Walvort if he recalled how many shots he heard and he said that he didn't know for sure, but said that it was more than one or two. Officer Walvort said that he wasn't involved in any of the life-saving efforts on the subject, but was aware that the subject was being treated by someone. Officer Walvort said that eventually he was assigned to be Officer O'Brien's companion Officer so he eventually gave Officer O'Brien a ride back to the police department.

The interview was then concluded and I turned off the digital recording device. I later created a DVD copy of the audio interview and placed it into evidence at the Brown County Sheriff's Office. A copy with all three interviews that I completed was also made and turned over to Brown County Investigative Lt. Jim Valley so that he could turn it over to DCI Investigators. END//

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